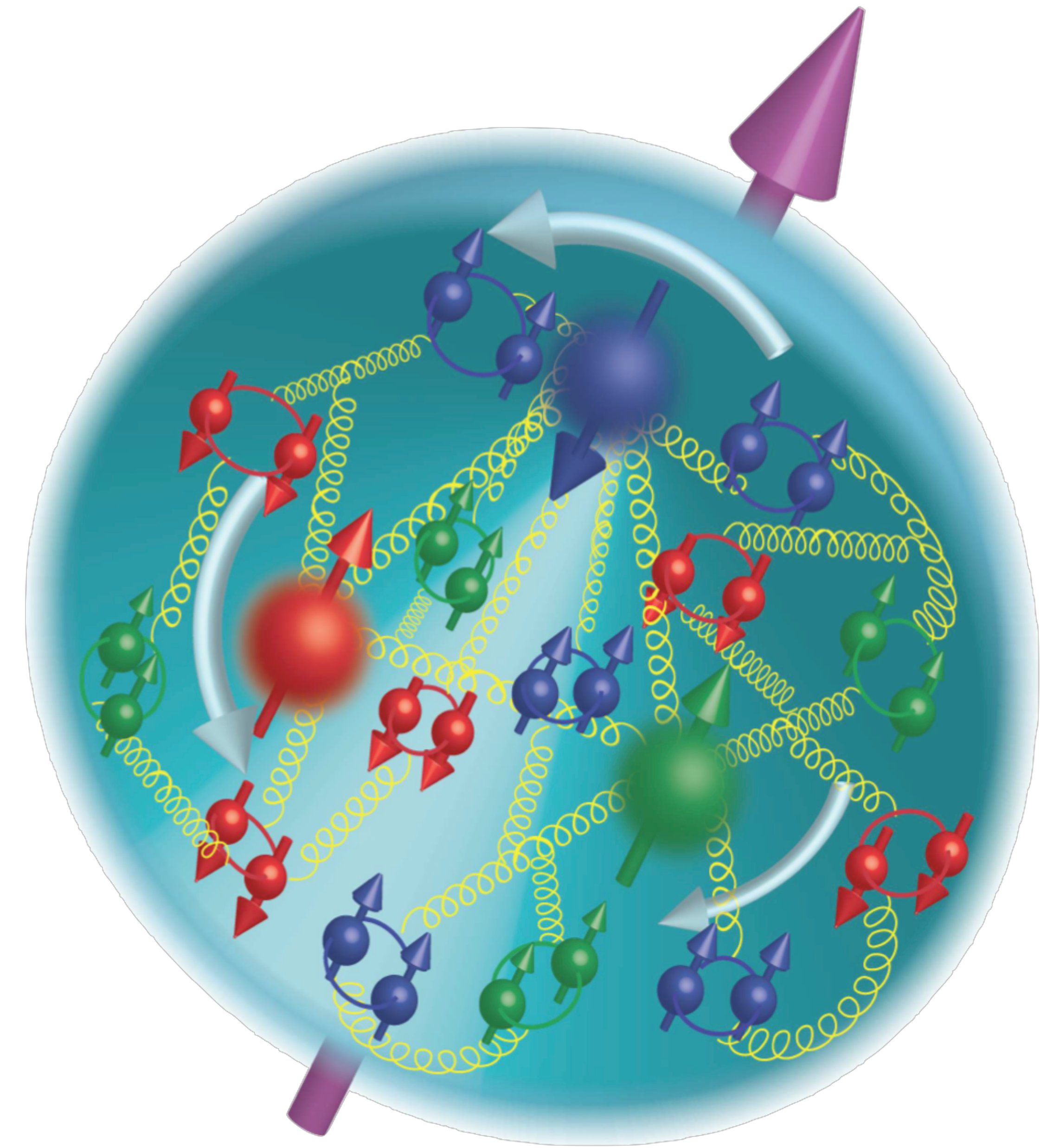


# EXPLORING THE 3D STRUCTURE OF THE NUCLEON

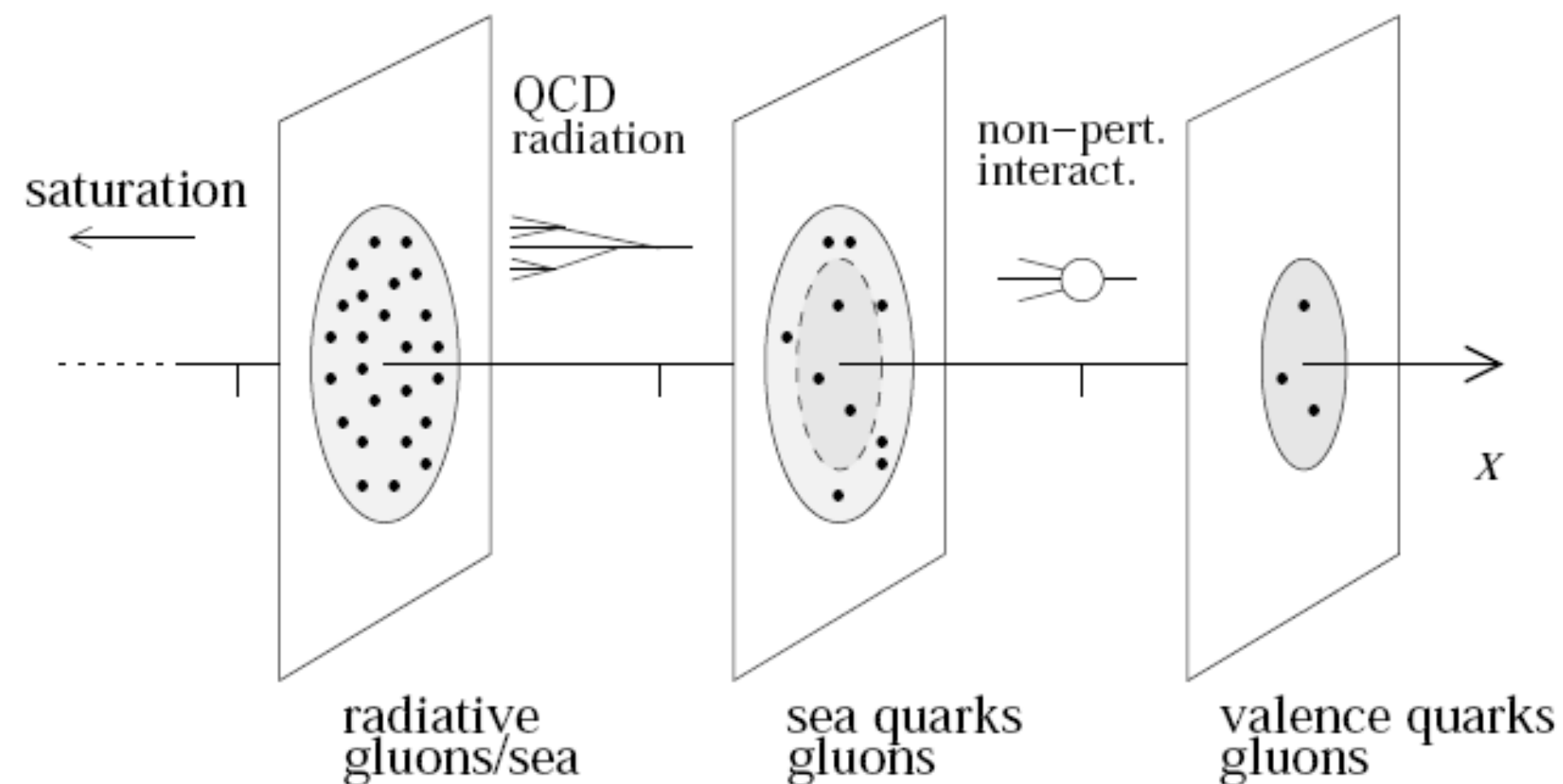
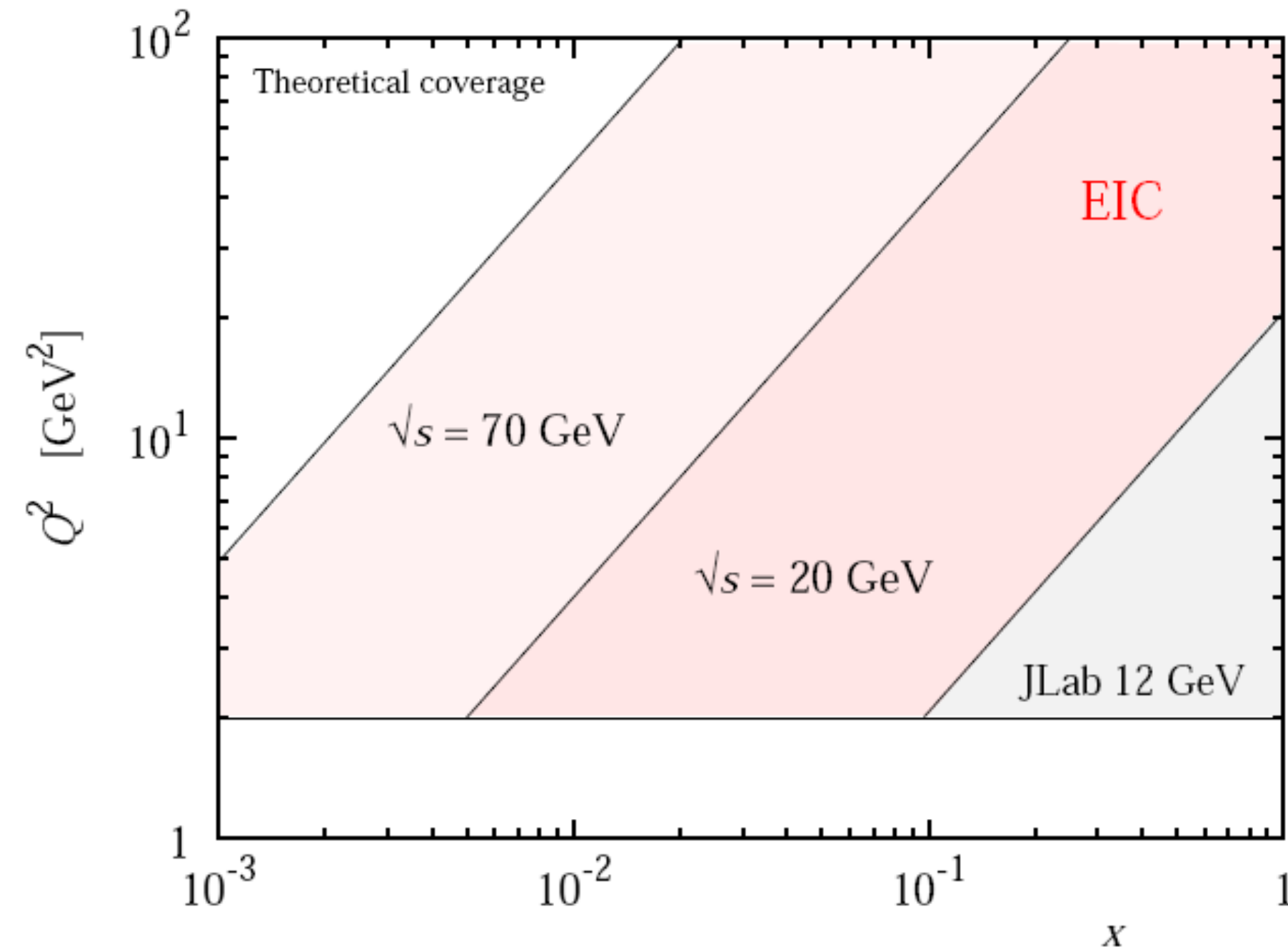
Alexei Prokudin



# MOMENTUM ⚡ EXPLORING THE 3D STRUCTURE OF THE NUCLEON

Alexei Prokudin

# NUCLEON LANDSCAPE



Nucleon is a many body dynamical system of quarks and gluons

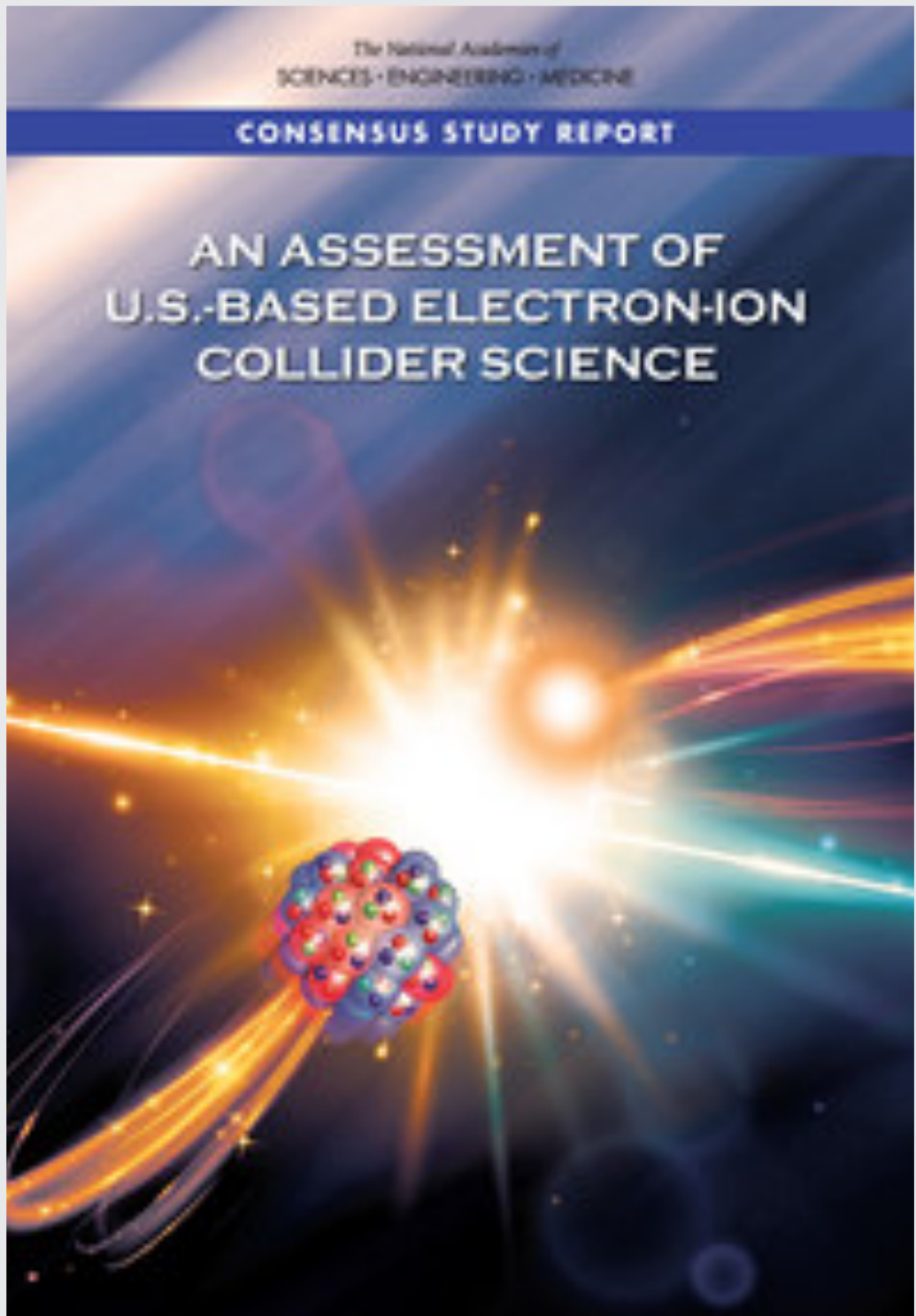
By changing  $x$  we probe different aspects of nucleon wave function

How partons move and how they are distributed in space is one of the directions of development of nuclear physics

Technically such information is encoded into Generalised Parton Distributions (GPDs) and Transverse Momentum Dependent distributions (TMDs)

These distributions are also referred to as 3D (three-dimensional) distributions

# NUCLEON



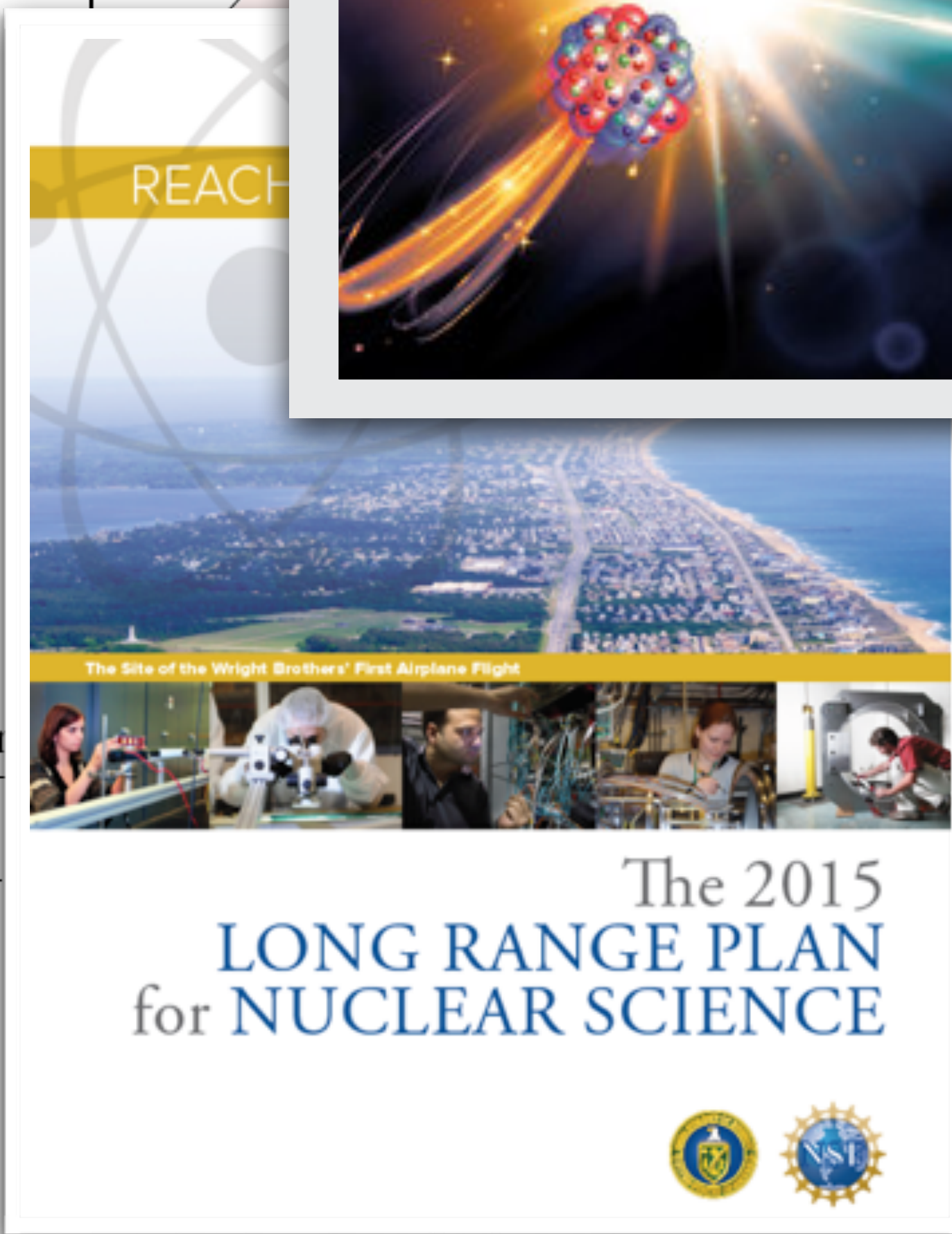
“An EIC can uniquely address three profound questions about nucleons—neutrons and protons—and how they are assembled to form the nuclei of atoms.”

U.S. National Academies of Sciences (2018)

space is one of the directions of development of nuclear physics

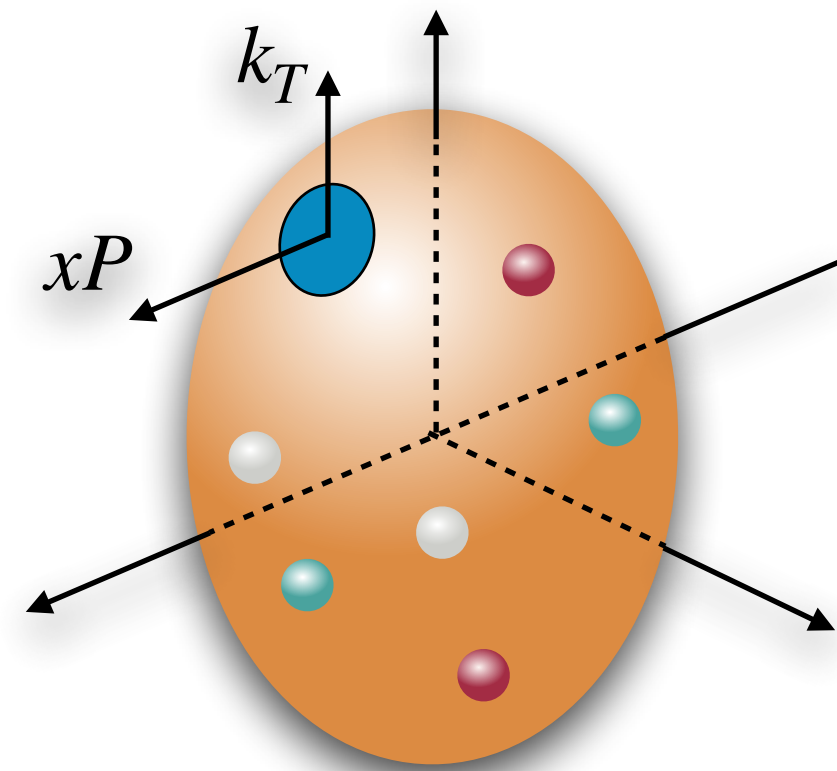
Understanding the structure of hadrons in terms of QCD’s partons (quarks and gluons) is one of the central goals of 2015 NSAC Long-Range Plan

These distributions are also referred to as 3D (three-dimensional) distributions

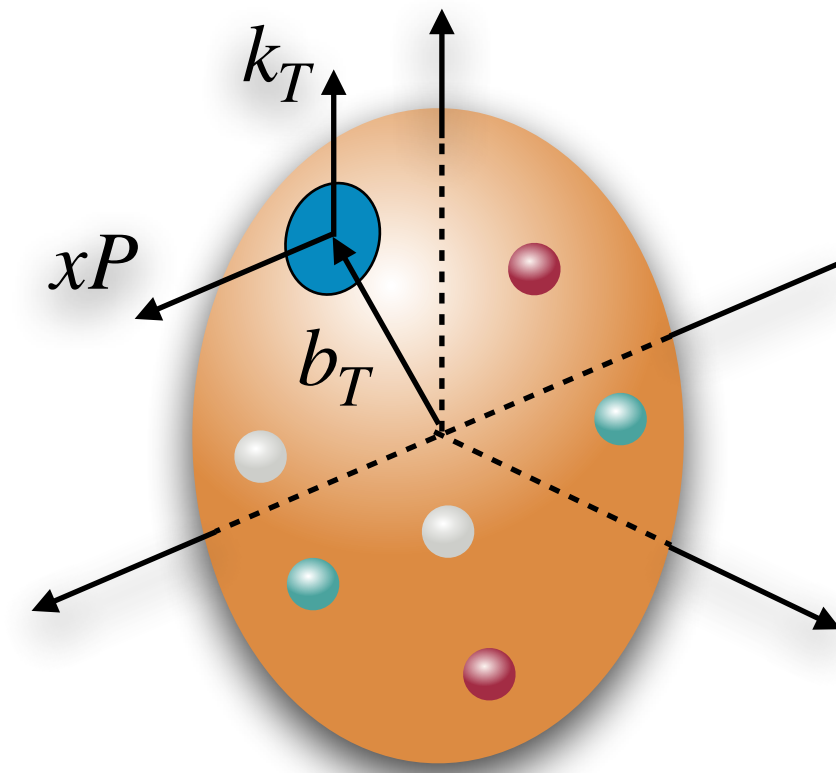
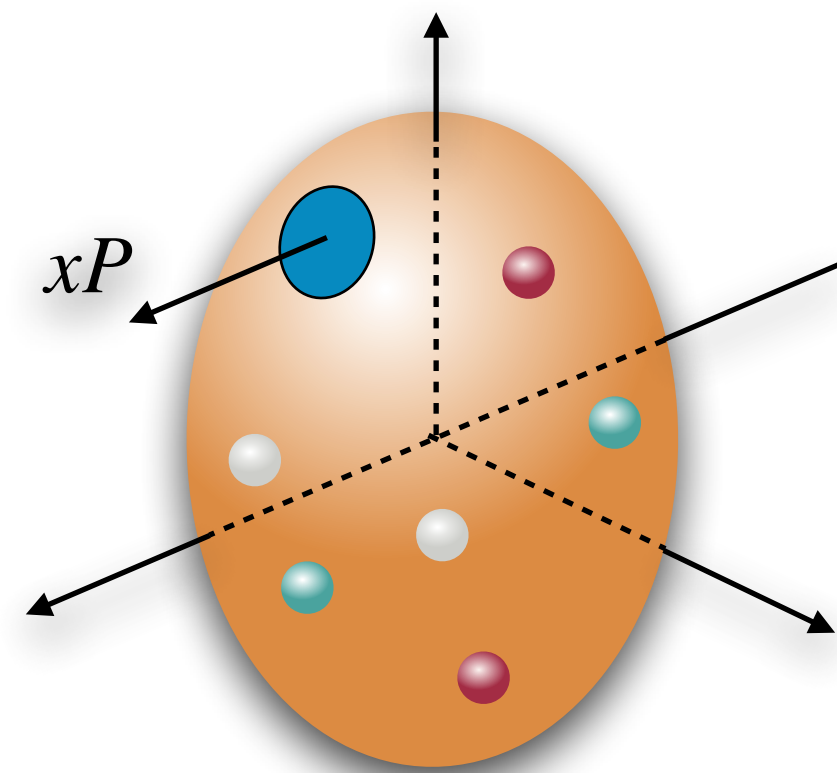


Wigner distributions  
(Fourier transform of  
GTMDs = Generalized  
Transverse Momentum  
Distributions)

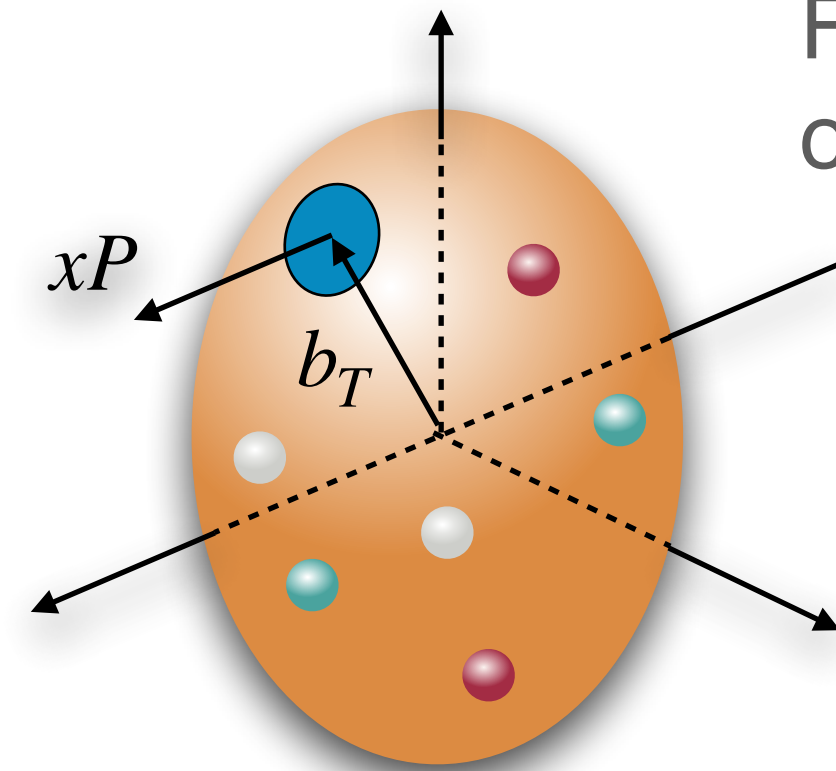
TMDs



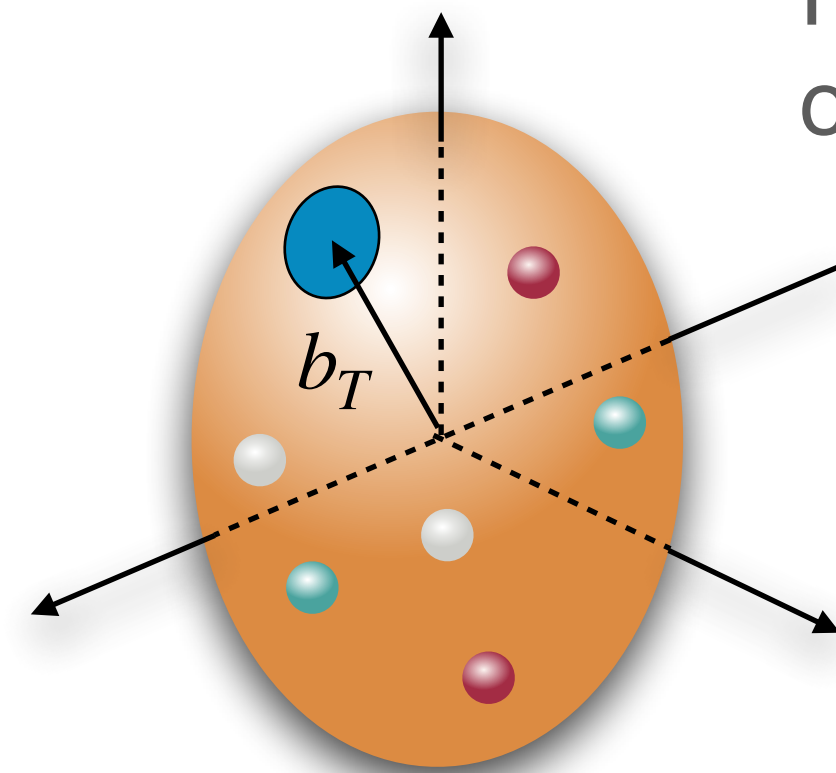
PDFs



Fourier transform  
of GPDs



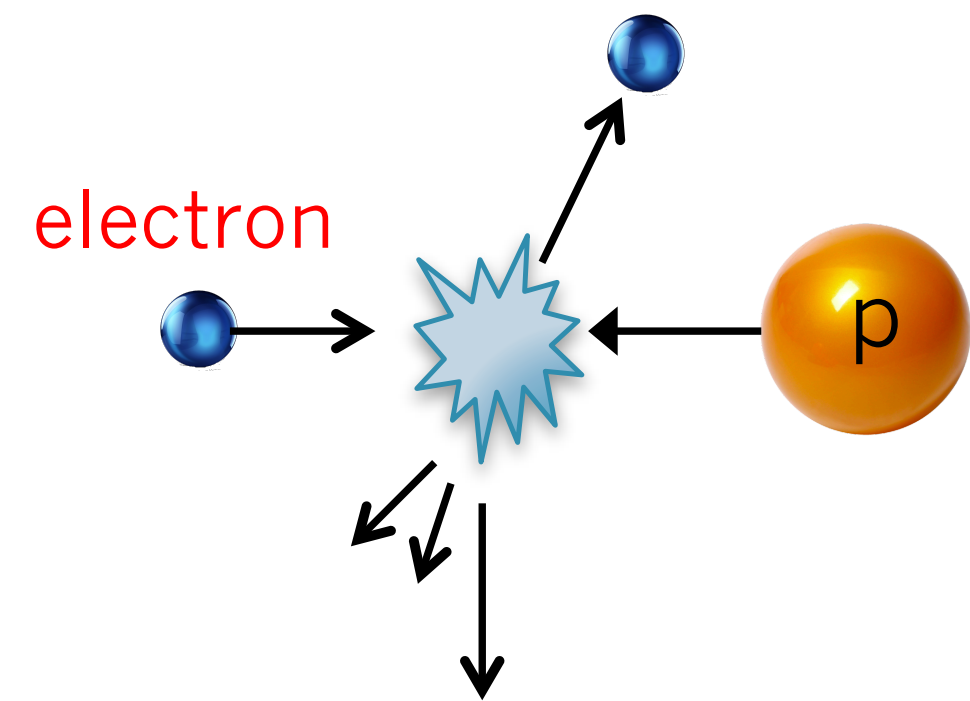
Fourier transform  
of Form Factors



see, e.g., C. Lorcé, B. Pasquini, M. Vanderhaeghen, JHEP 1105 (11)

# QCD FACTORIZATION IS THE KEY!

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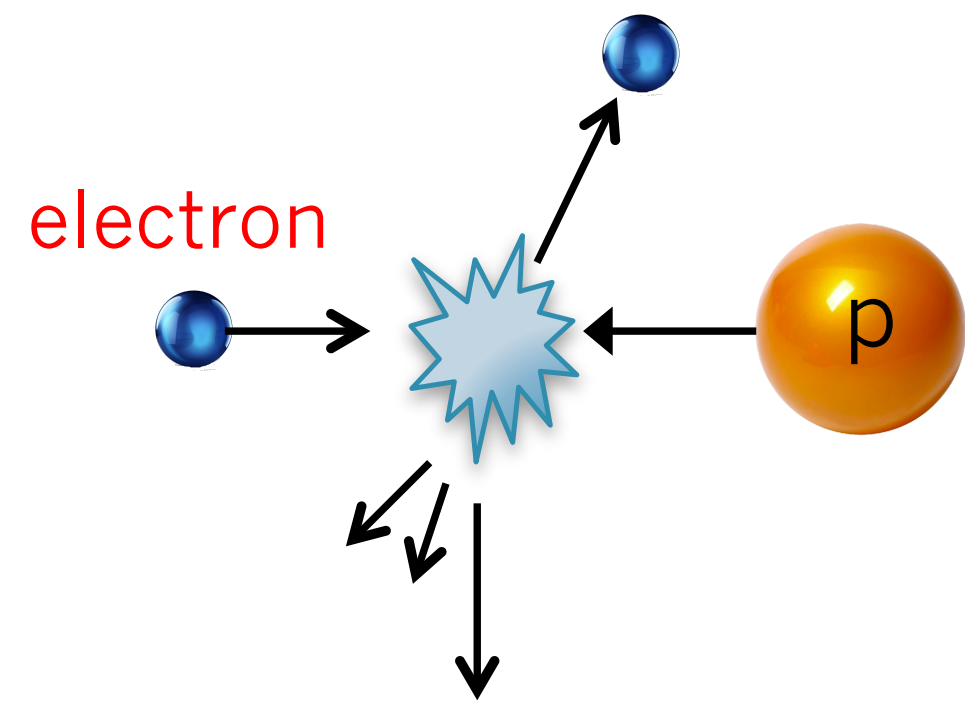


We need a probe to “see” quarks and gluons

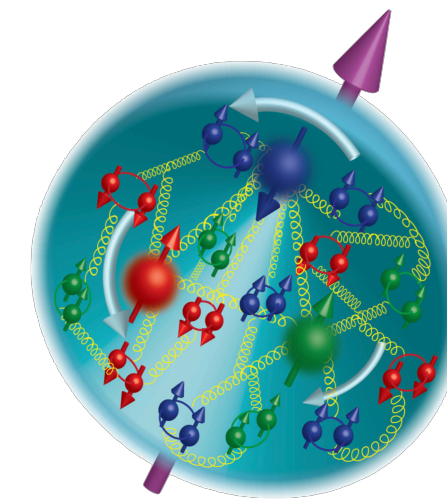
$$\sigma = \left| \begin{array}{c} e \\ \hline P \\ \hline \end{array} \right|^2$$

A Feynman diagram representing deep inelastic scattering. On the left, an incoming electron line (labeled 'e') and an incoming proton line (labeled 'P') meet at a vertex. A wavy line representing a virtual photon connects this vertex to a grey oval representing the proton's internal structure. From the right side of the oval, several horizontal lines representing outgoing particles emerge. The entire diagram is enclosed in large square brackets with a superscript '2' on the right.

# QCD FACTORIZATION IS THE KEY!



We need a probe to “see” quarks and gluons



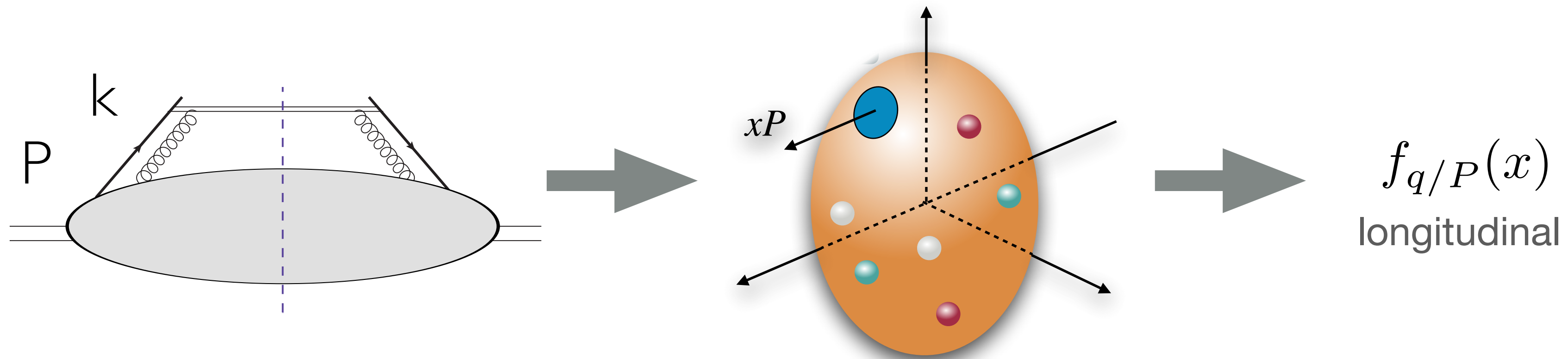
$$\sigma \approx \text{[Diagram of electron-quark interaction]} \otimes \text{[Diagram of proton structure]} + \mathcal{O}\left(\frac{M^2}{Q^2}\right)$$

Factorization
Probe
Structure
Power corrections

# HADRON'S PARTONIC STRUCTURE

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## Collinear Parton Distribution Functions



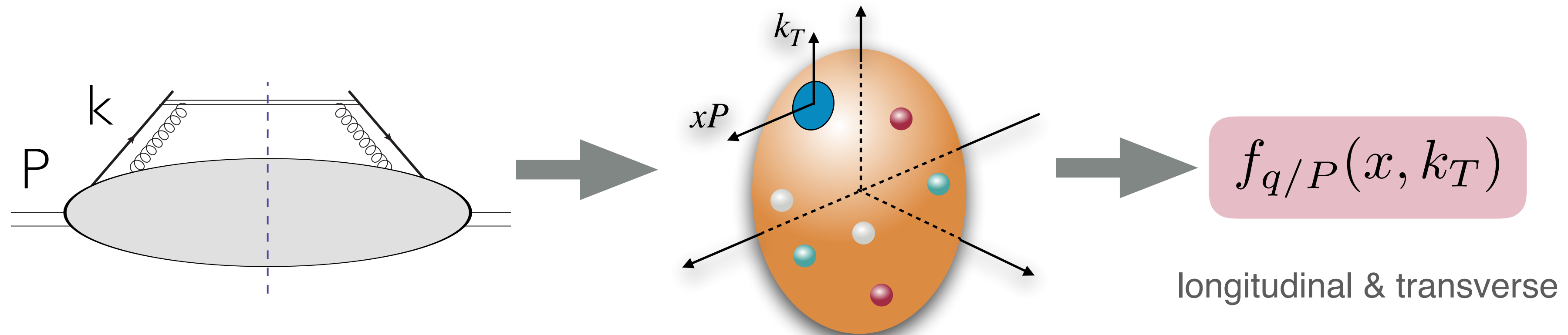
Probability density to find a quark with a momentum fraction  $x$

Hard probe resolves the particle nature of partons, but is not sensitive to hadron's structure at  $\sim \text{fm}$  distances.

# HADRON'S PARTONIC STRUCTURE

To study the physics of *confined motion of quarks and gluons* inside of the proton one needs a new type “hard probe” with two scales.

## Transverse Momentum Dependent functions



One large scale ( $Q$ ) sensitive to particle nature of quark and gluons

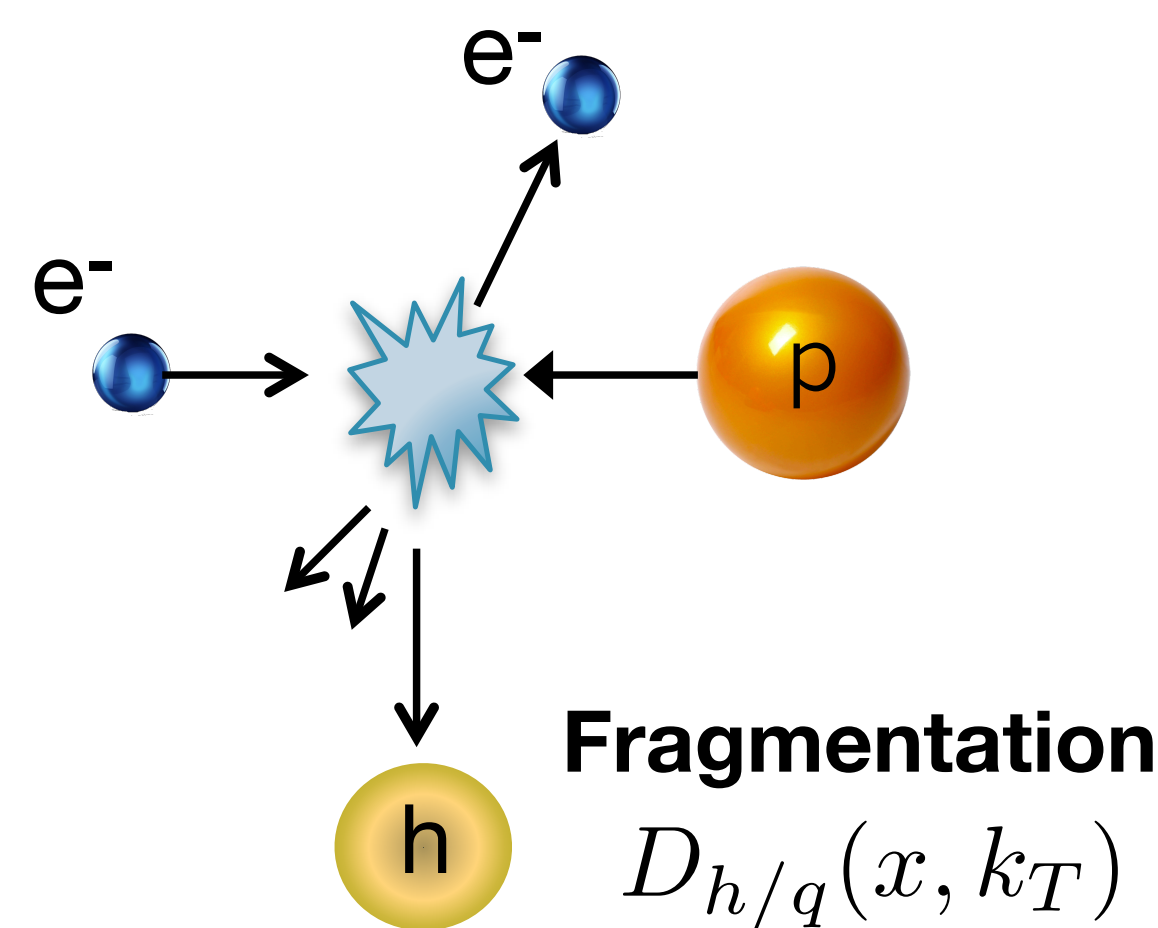
One small scale ( $k_T$ ) sensitive to *how QCD bounds partons* and to the detailed structure at  $\sim$ fm distances.

The confined motion ( $k_T$  dependence) is encoded in TMDs

QCD factorization is proven for a number of processes

**Semi-Inclusive DIS**

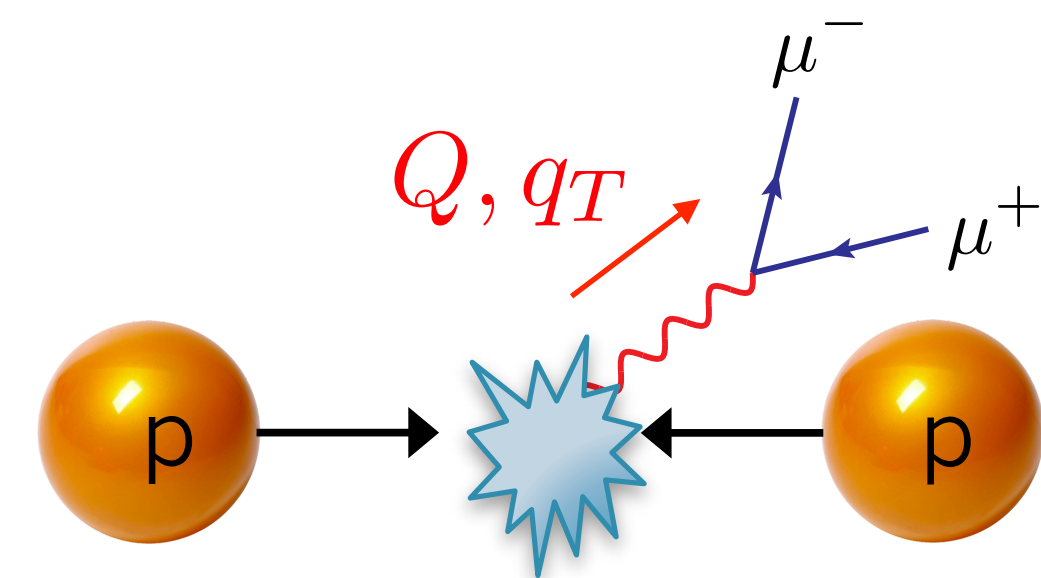
$$\sigma \sim f_{q/P}(x, k_T) D_{h/q}(x, k_T)$$



Meng, Olness, Soper (1992)  
Ji, Ma, Yuan (2005)  
Idilbi, Ji, Ma, Yuan (2004)  
Collins (2011)

**Drell-Yan**

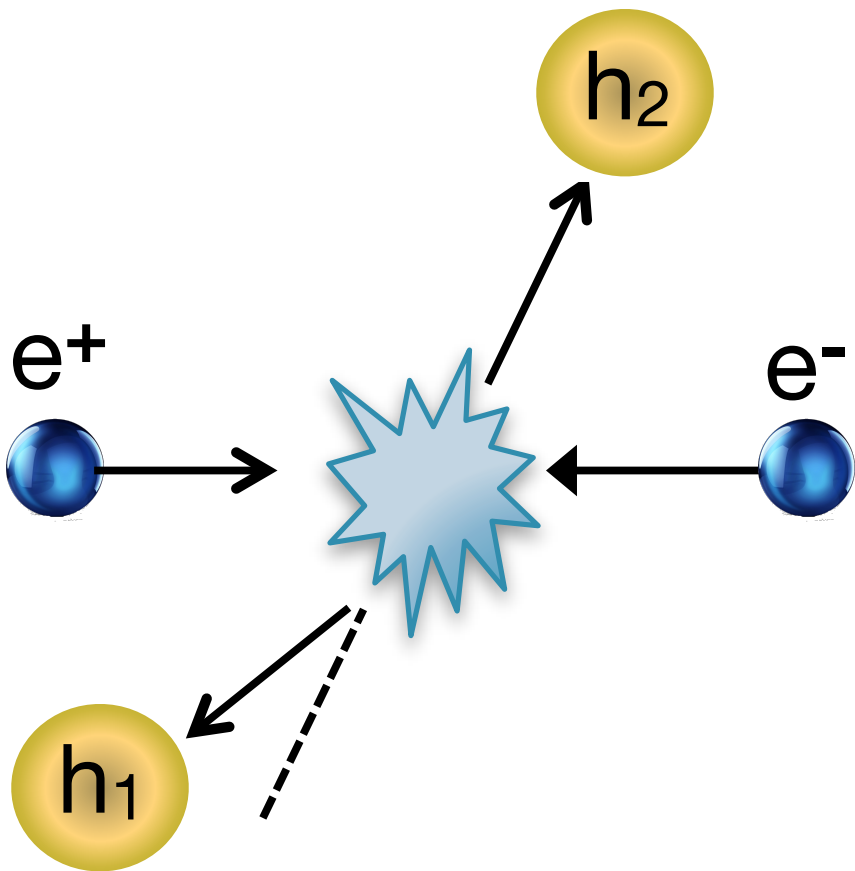
$$\sigma \sim f_{q/P}(x, k_T) f_{q/P}(x, k_T)$$



Collins, Soper, Sterman (1985)  
Ji, Ma, Yuan (2004)  
Collins (2011)

**Dihadron in  $e^+e^-$**

$$\sigma \sim D_{h_1/q}(x, k_T) D_{h_2/q}(x, k_T)$$

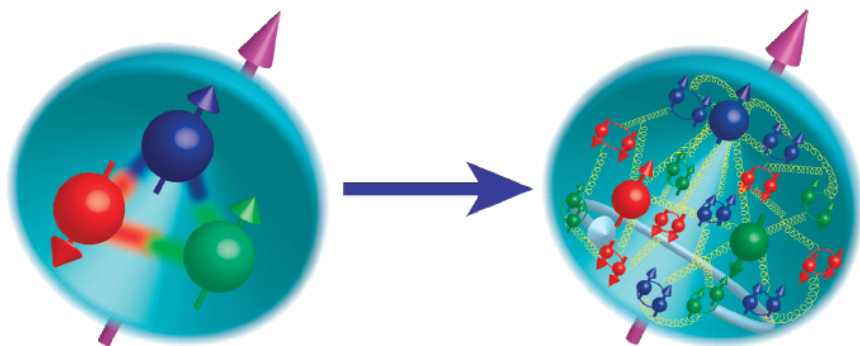


Collins, Soper (1983)  
Collins (2011)

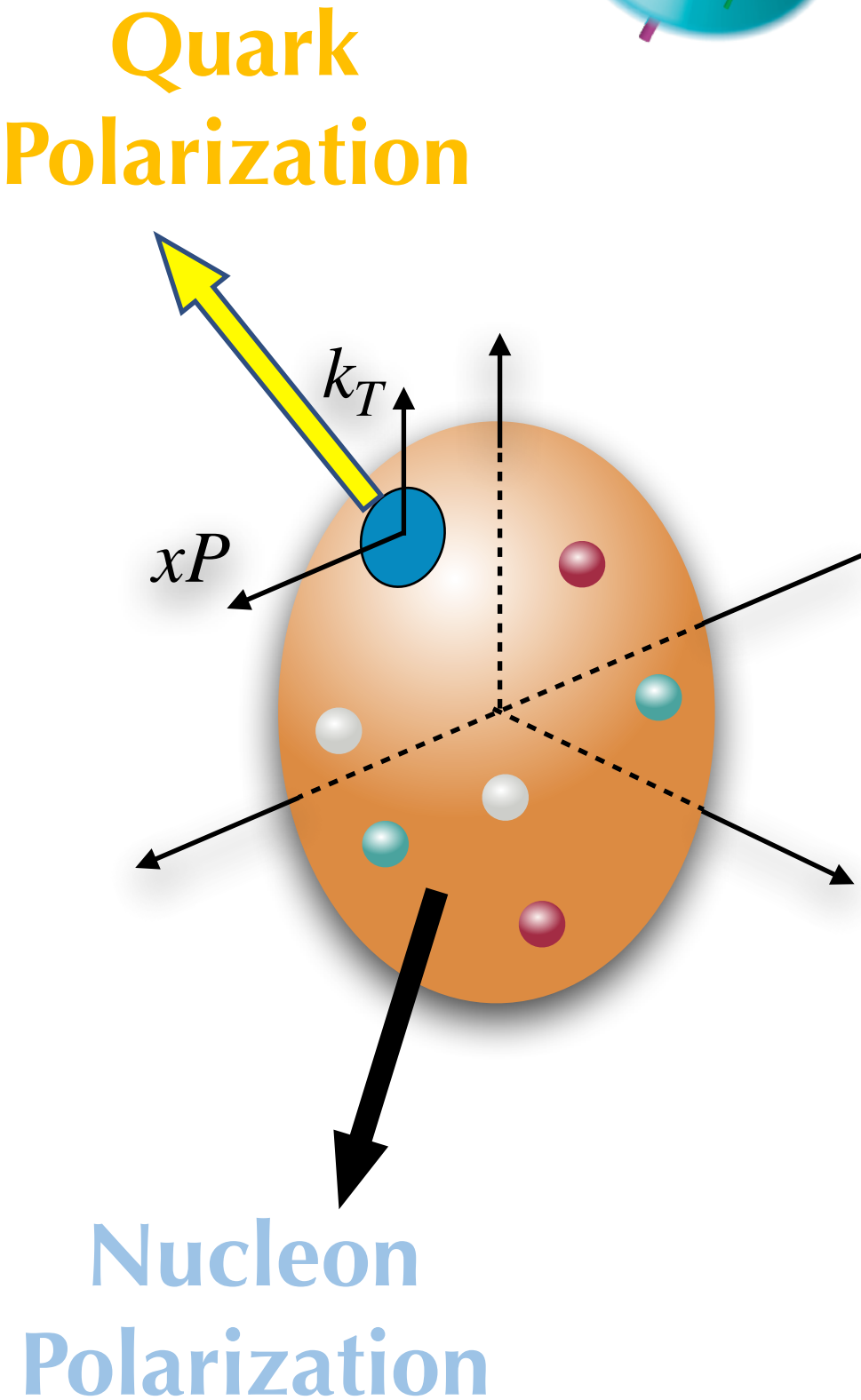
Small scale  $\longrightarrow q_T \ll Q \longleftarrow$  Large scale

Our understanding of hadron evolves:

TMDs with Polarization



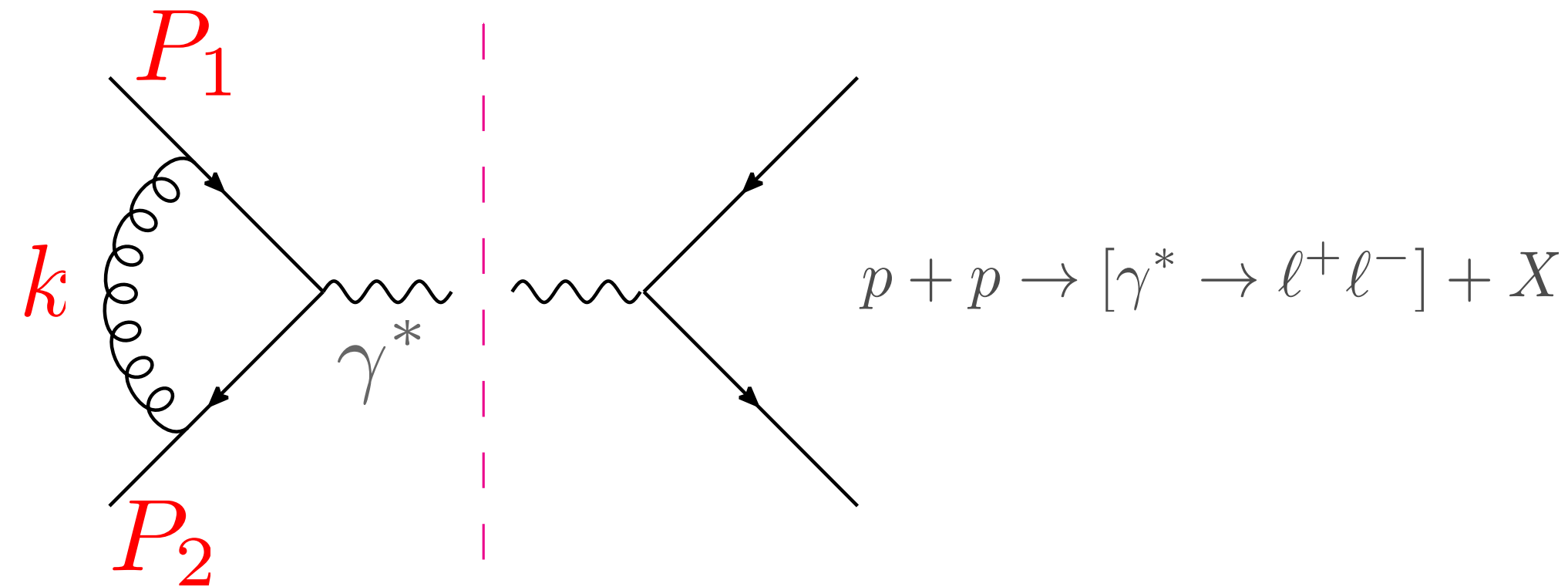
Nucleon emerges as a strongly interacting, relativistic bound state of quarks and gluons



		Quark Polarization		
		Unpolarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Nucleon Polarization	U	$f_1(x, k_T^2)$		$h_1^\perp(x, k_T^2)$ - <i>Boer-Mulders</i>
	L		$g_1(x, k_T^2)$ - <i>Helicity</i>	$h_{1L}^\perp(x, k_T^2)$ - <i>Helicity</i>
	T	$f_{1T}^\perp(x, k_T^2)$ - <i>Sivers</i>	$g_{1T}(x, k_T^2)$ - <i>Sivers</i>	$h_1(x, k_T^2)$ - <i>Transversity</i> $h_{1T}^\perp(x, k_T^2)$ - <i>Pretzelosity</i>

- Analogous tables for:
- Gluons  $f_1 \rightarrow f_1^g$  etc
  - Fragmentation functions
  - Nuclear targets  $S \neq \frac{1}{2}$

# TMD FACTORIZATION



Factorization of regions:

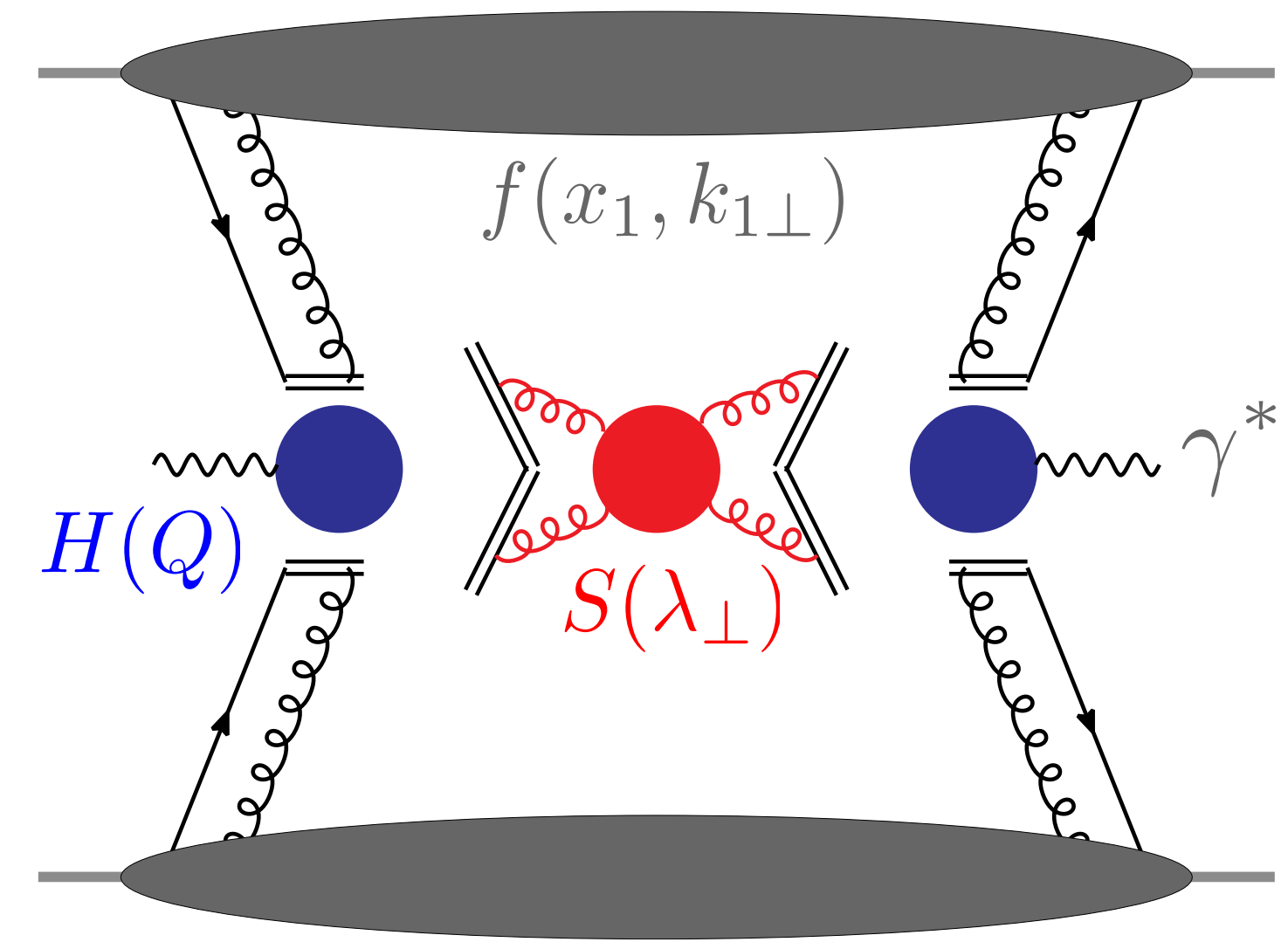
(1)  $k/P_1$ , (2)  $k/P_2$ , (3)  **$k$  soft**, (4)  **$k$  hard**

$$\frac{d\sigma}{dQ^2 dy d^2 q_\perp} = \int \frac{d^2 b}{(2\pi)^2} e^{i q_\perp \cdot b} H(Q) F(x_1, b) F(x_2, b)$$

$$\mu \frac{d}{d\mu} \ln f_q(x, \vec{b}_T, \mu, \zeta) = \gamma_\mu^q(\mu, \zeta)$$

$$\zeta \frac{d}{d\zeta} \ln f_q(x, \vec{b}_T, \mu, \zeta) = \gamma_\zeta^q(\mu, b_T)$$

**Collins-Soper Equations**



$$F(x, b) = f(x, b) \sqrt{S(b)}$$

**$\mu$**  = renormalization scale

**$\zeta$**  = Collins-Soper parameter

# TMD FACTORIZATION

Collins, Soper, Sterman (85), Collins (11), Rogers, Collins (15)

$$F(x, k_{\perp}; Q) = \frac{1}{(2\pi)^2} \int d^2b e^{ik_{\perp} \cdot b} F(x, b; Q) = \frac{1}{2\pi} \int_0^{\infty} db b J_0(k_{\perp} b) F(x, b; Q)$$

$$F(x, b; Q) \approx C \otimes F(x, c/b^*) \times \exp \left\{ - \int_{c/b^*}^Q \frac{d\mu}{\mu} \left( A \ln \frac{Q^2}{\mu^2} + B \right) \right\} \times \exp \left( -S_{\text{non-pert}}(b, Q) \right)$$

OPE/collinear part

transverse part, Sudakov FF

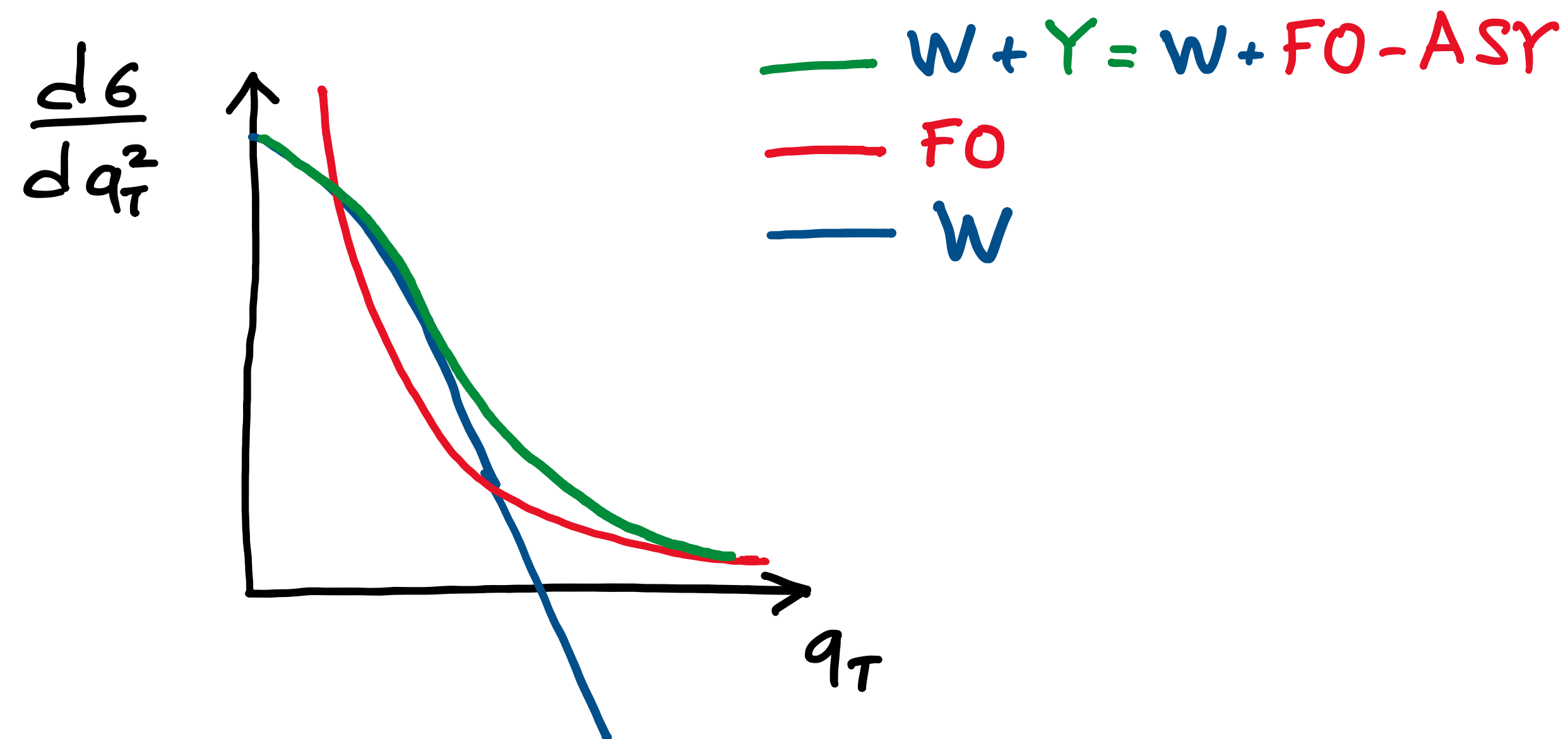
✓ **Non-perturbative: fitted from data**

- The evolution is complicated as one evolves in 2 dimensions
- The presence of a non-perturbative evolution kernel makes calculations more involved
- Theoretical constraints exist on both non-perturbative shape of TMD and the non-perturbative kernel of evolution

- ✓ The key ingredient –  $\ln(Q)$  piece is spin-independent
- ✓ Non-perturbative shape of TMDs is to be extracted from data
- ✓ One can use information from models or ab-initio calculations, such as lattice QCD: shape of TMDs, non-perturbative kernel.

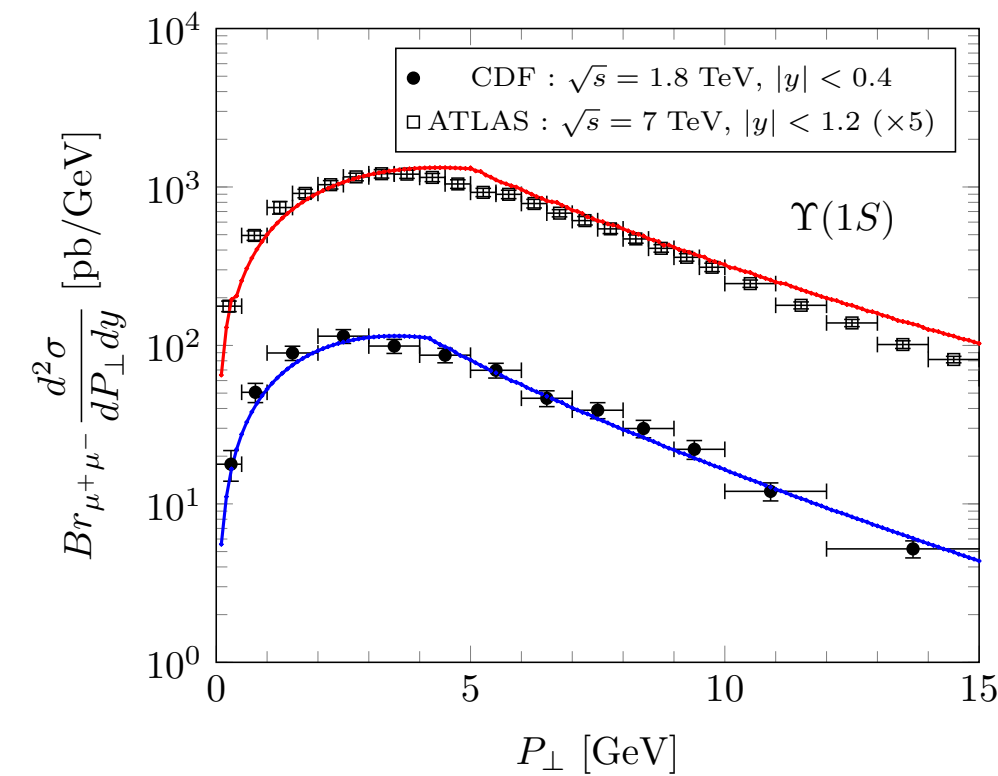
# TMD FACTORIZATION

- The goal is to describe the differential in  $q_T$  cross section in a wide region of  $q_T$ . TMD factorization is applicable at small  $q_T \ll Q$ , collinear QCD is applicable at large  $q_T \sim Q$
- TMD factorization organizes a differential in  $q_T$  cross section as a convolution of TMD functions (W term) in the region of applicability of TMD factorization  $q_T \ll Q$
- At some large  $q_T \sim Q$  the cross section is transitioned to a Fixed Order QCD via the so-called Y term

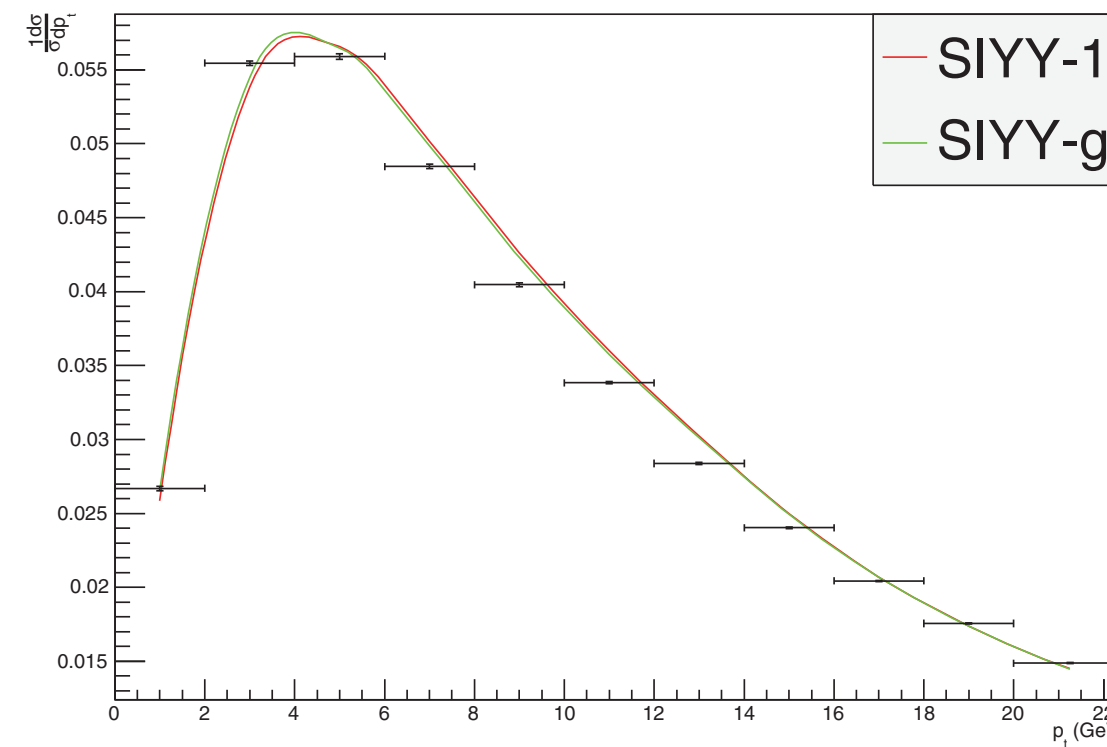


*Collins, Soper, Sterman (1985)*  
*Collins (2011)*

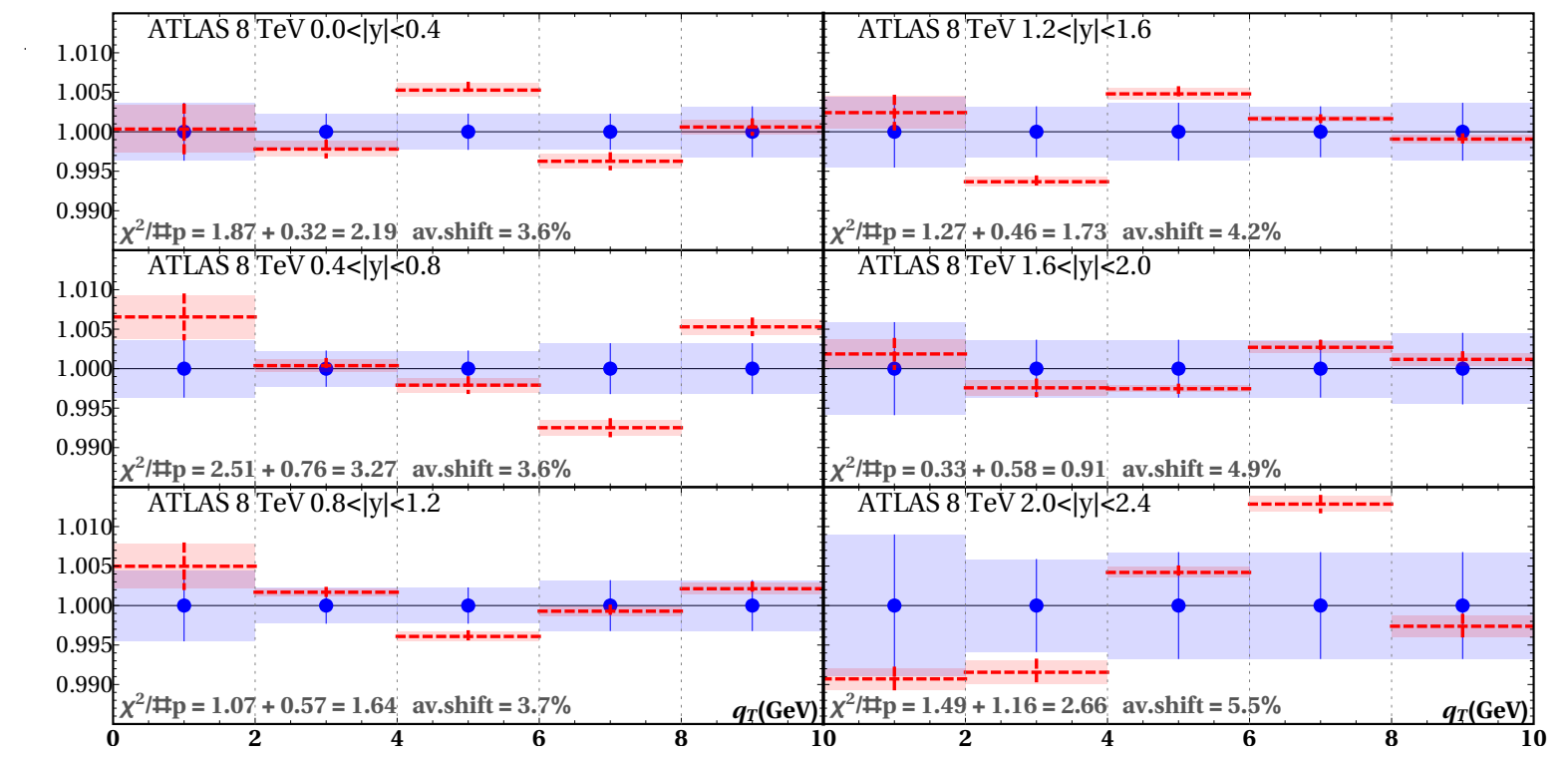
# SUCCESS OF TMD FACTORIZATION PREDICTIVE POWER



Qiu, Watanabe arXiv:1710.06928



Sun, Isaacson, Yuan, Yuan arXiv:1406.3073



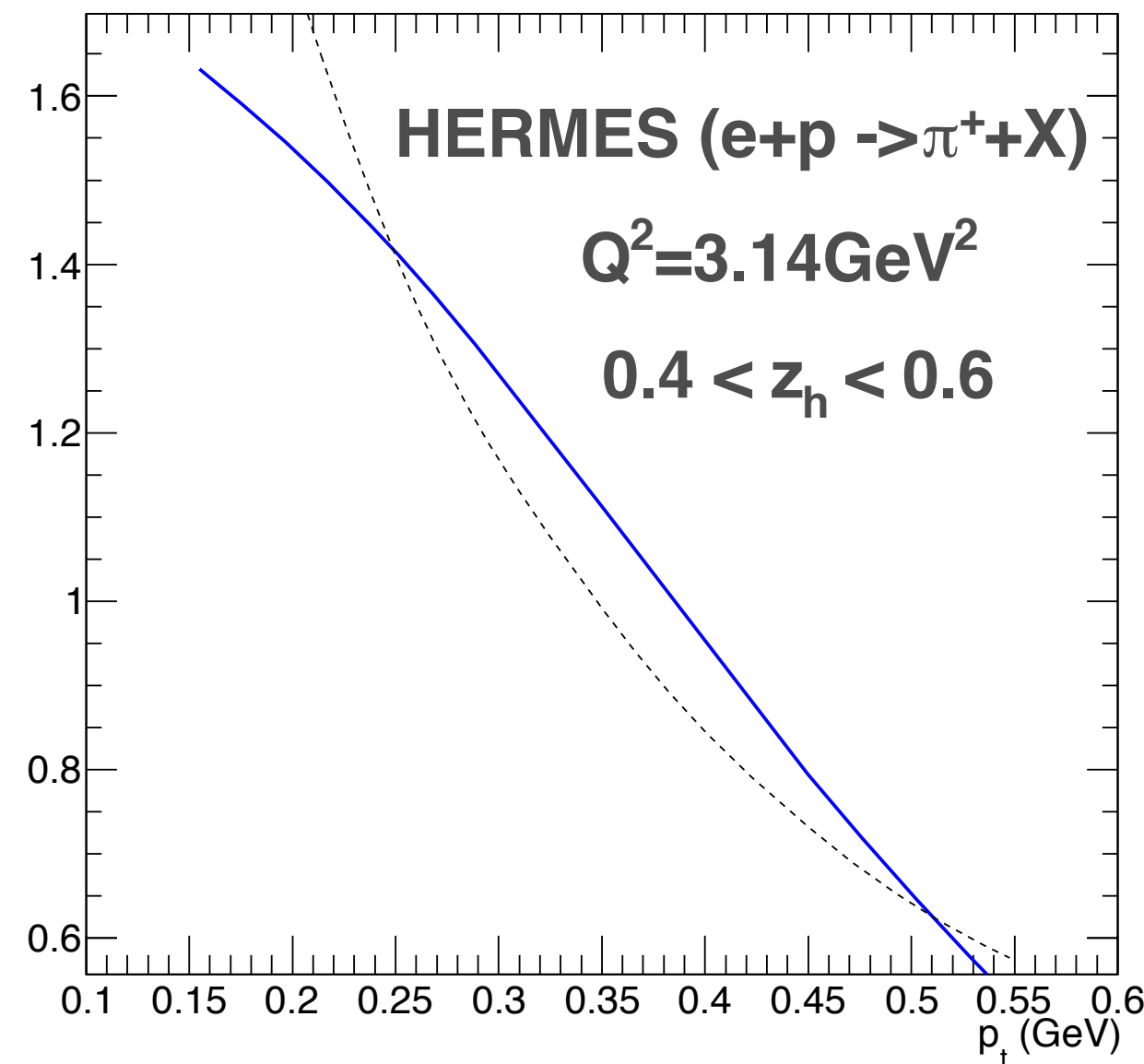
Bertone, Scimemi, Vladimirov arXiv:1902.08474

## Upsilon production

## Z boson production at the LHC

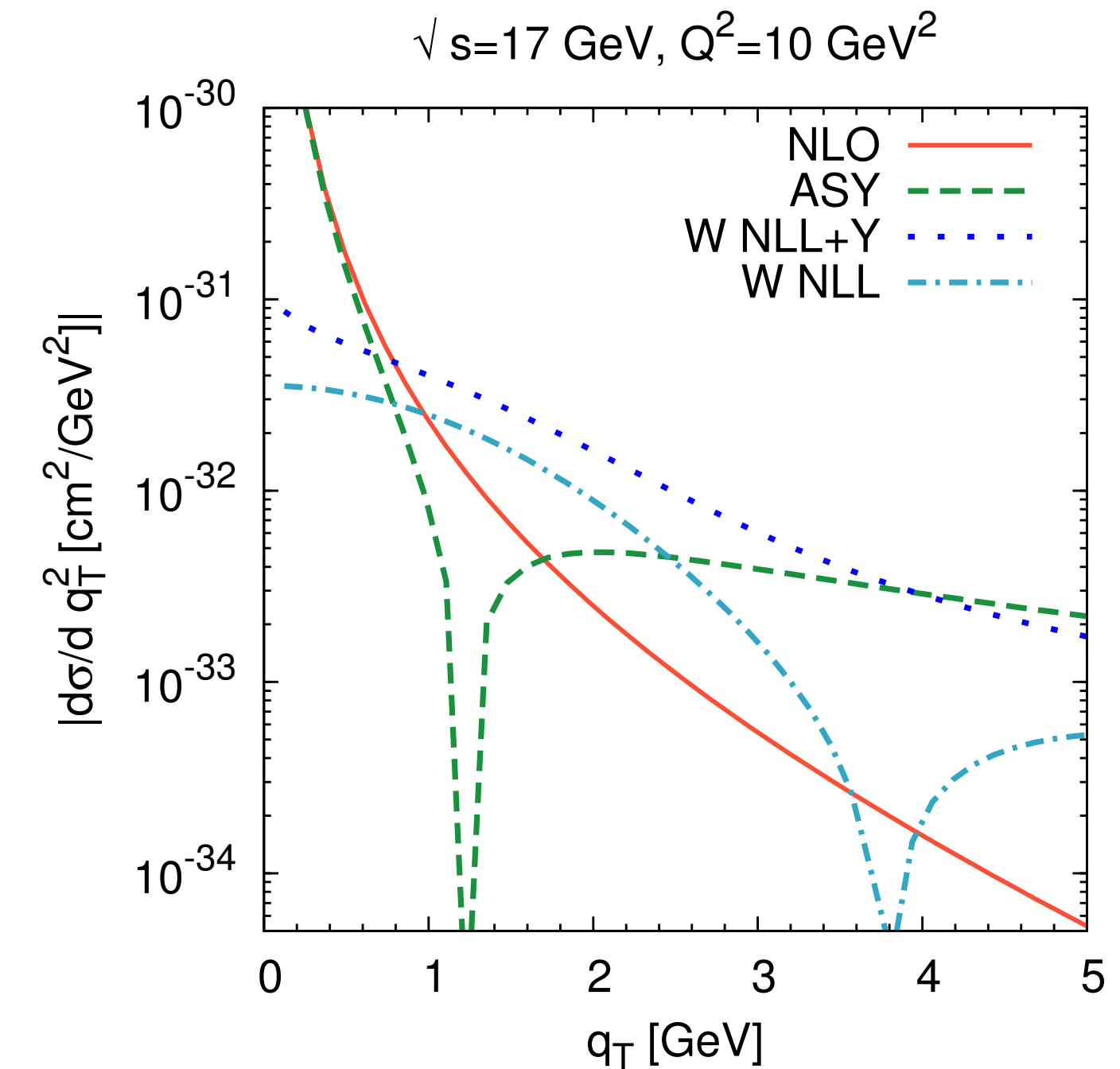
- TMD factorization (with an appropriate matching to collinear results) aims at an accurate description (and prediction) of a differential in  $q_T$  cross section in a wide range of  $q_T$
- LHC results at 7 and 13 TeV are accurately predicted from fits of lower energies

# “PROBLEMS” OF TMD FACTORIZATION AT LOW Q



W (solid line) and Y terms (dashed line)

*Sun, Isaacson, Yuan, Yuan arXiv:1406.3073*



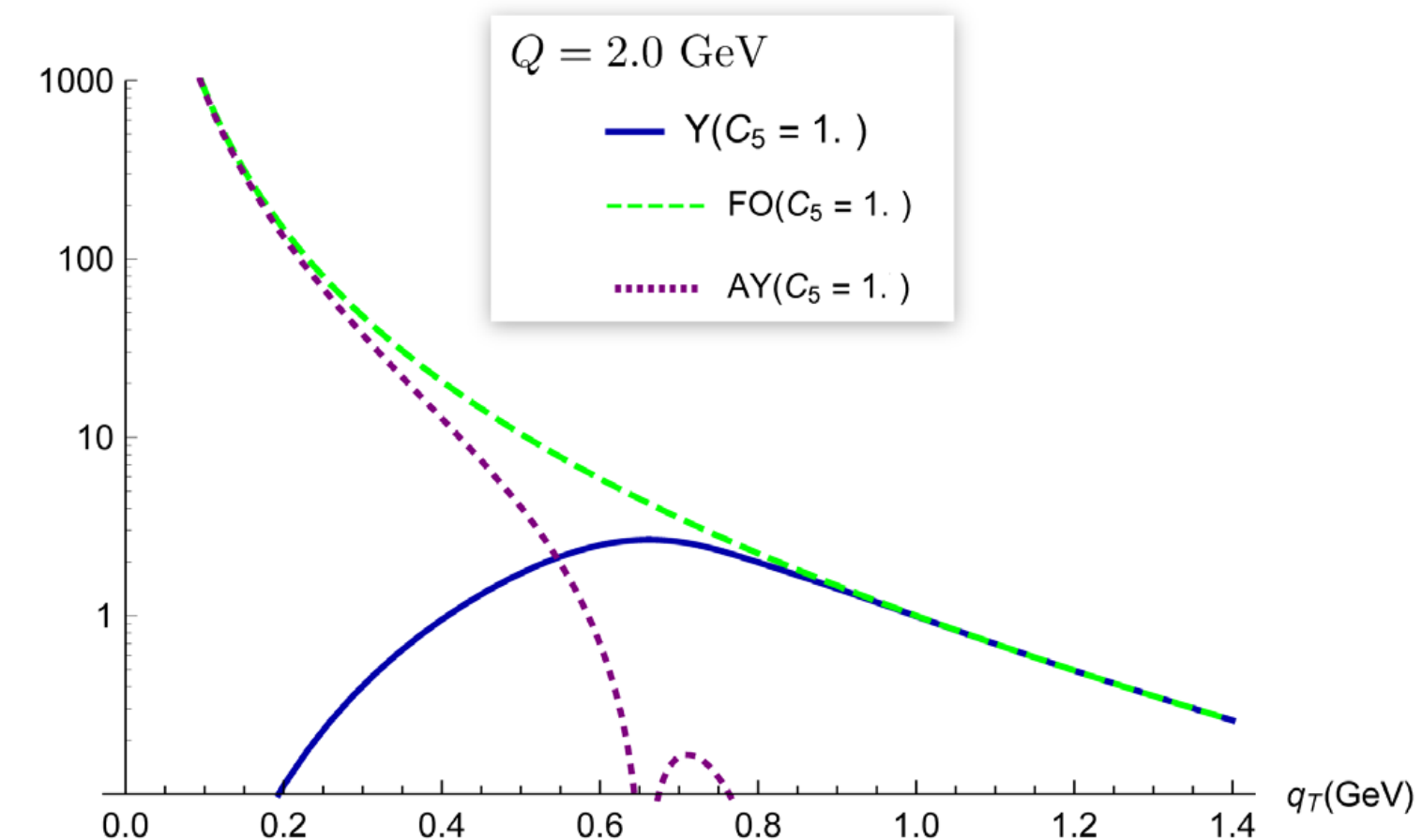
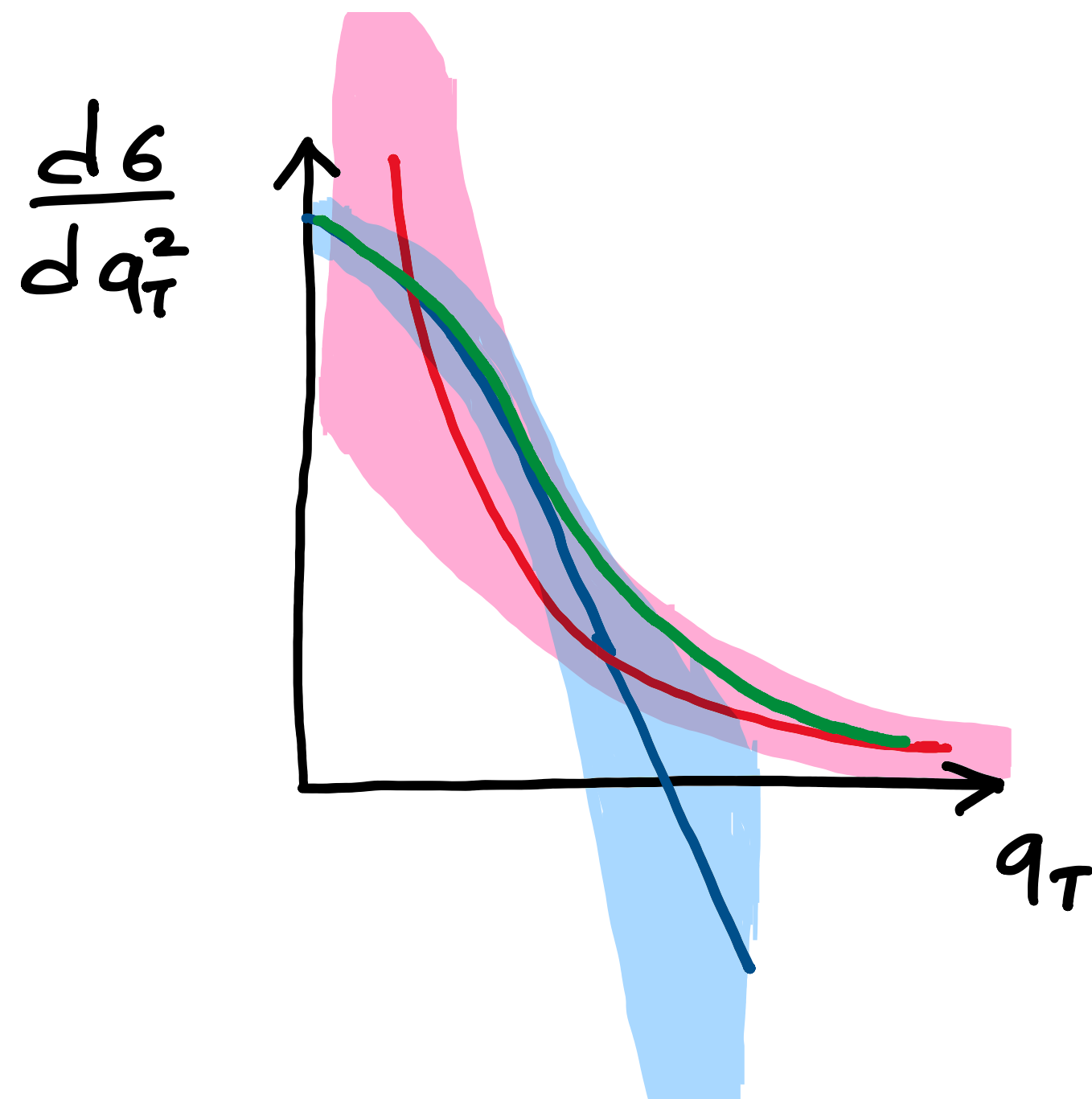
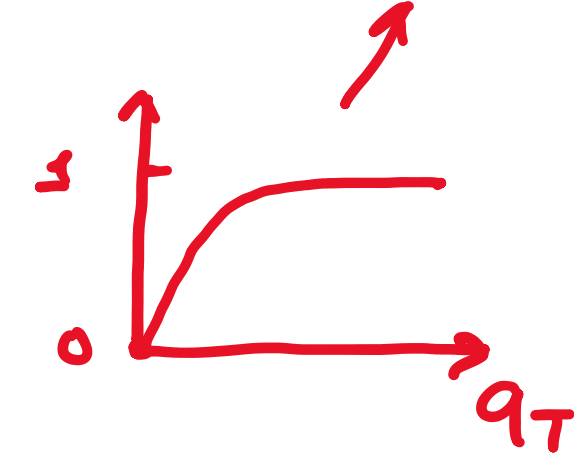
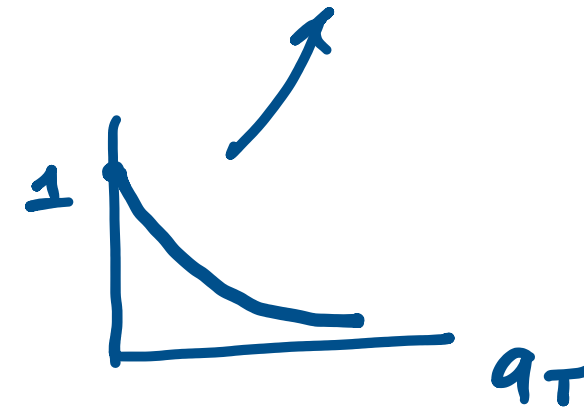
*Boglione, Gonzalez, Melis, AP arXiv:1412.1383*

- At low  $Q$  the **Y** term becomes unreasonably large (larger than the **W** term) in the region of the maximal validity of TMD factorization (cross section should be given by **W** with a small error in this region)

# POSSIBLE RESOLUTION: ACCOUNT FOR THE ERRORS OF FACTORIZATION

It is all about the theoretical errors: modify  $W$  and  $Y=FO-ASY$  preserving the overall precision

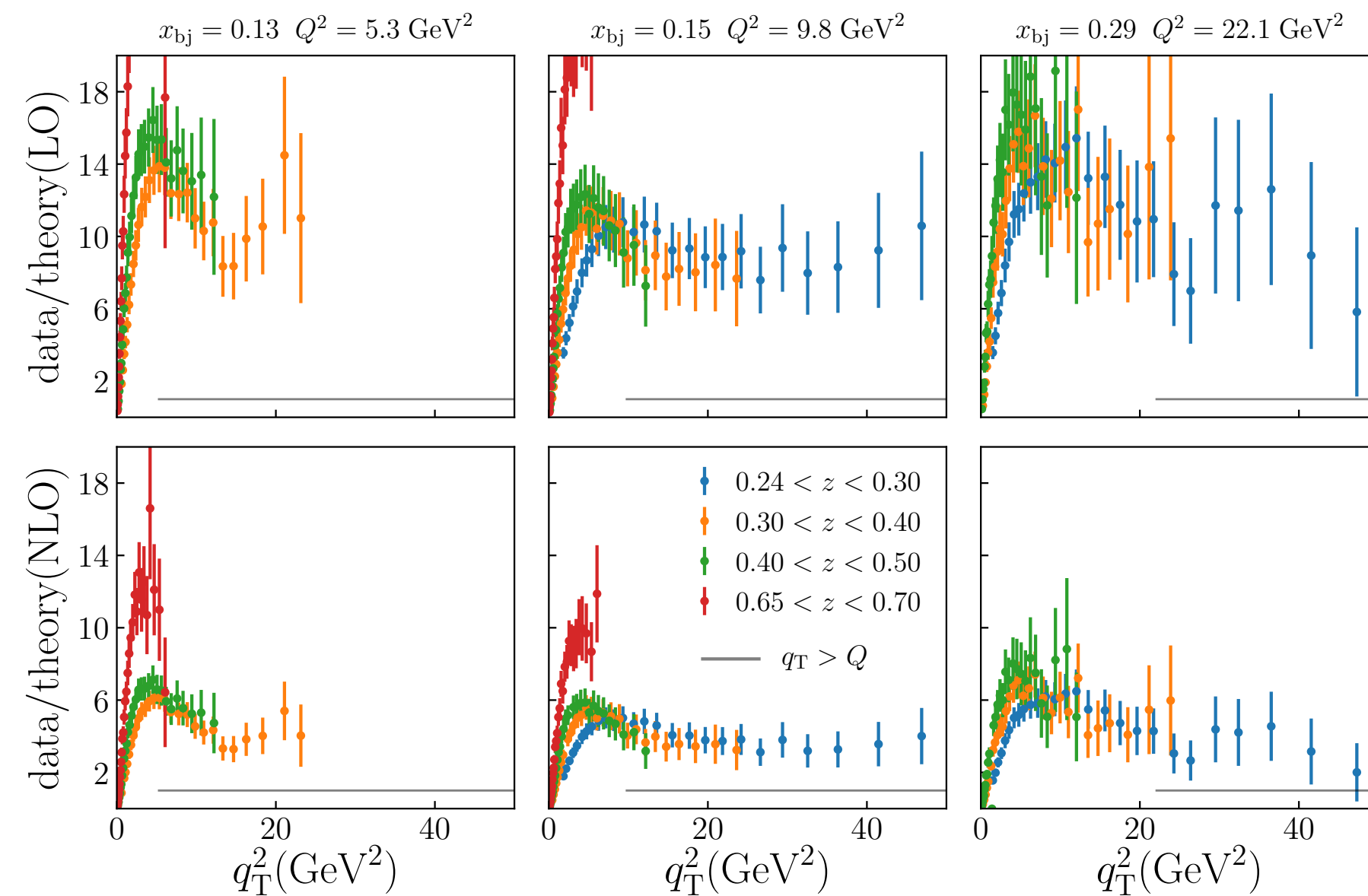
$$W + FO-ASY \rightarrow W \cdot \Xi(q_T) + (FO-ASY) \cdot \chi(q_T)$$



Collins, Gamberg, AP, Rogers, Sato, Wang arXiv:1605.00671

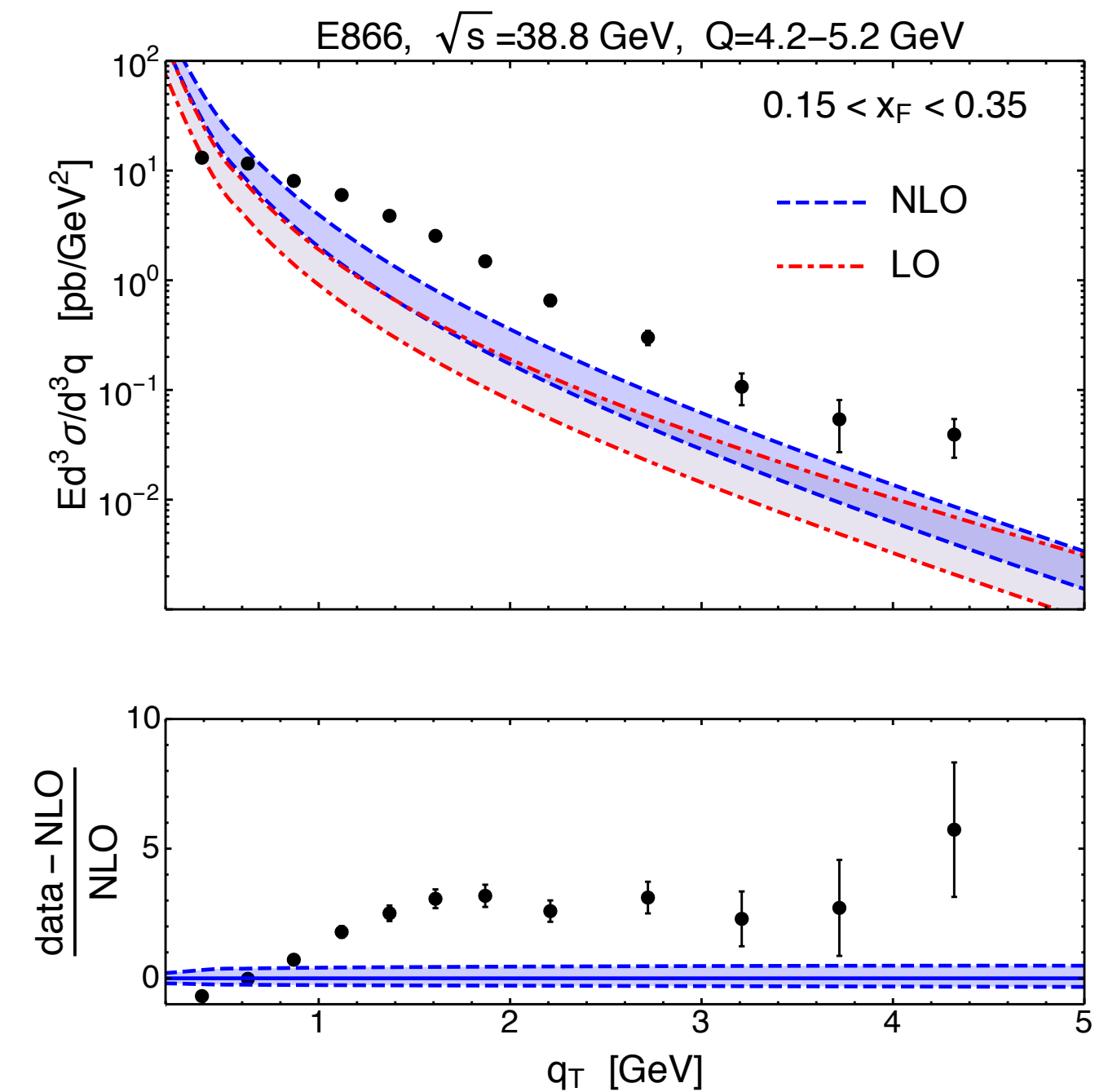
# PROBLEMS WITH HIGH TRANSVERSE MOMENTUM

## SIDIS



*Gonzalez, Rogers, Sato, Wang arXiv:1808.04396*

## Drell-Yan

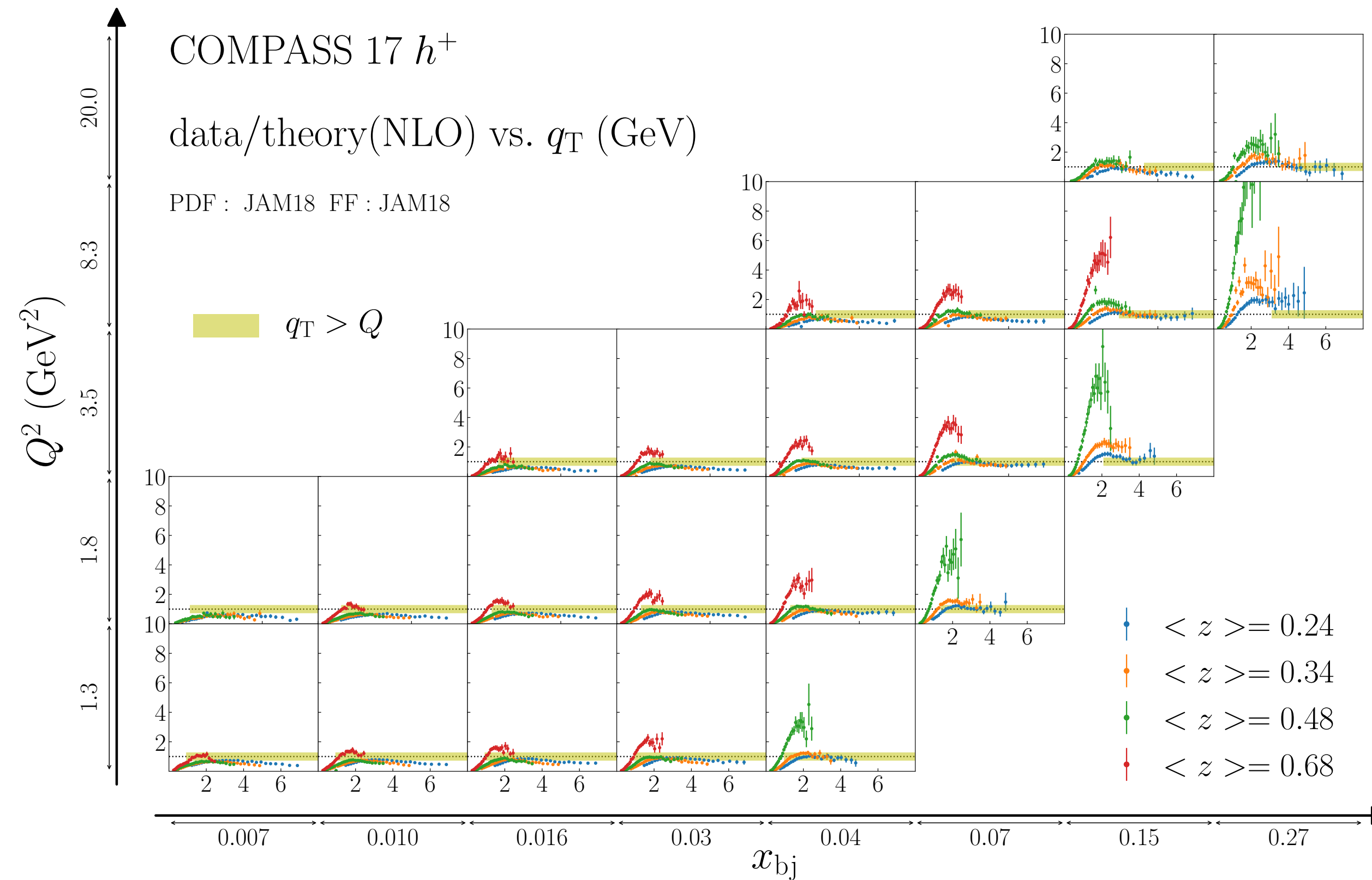


*Bacchetta, Bozzi, Lambertsen, Piacenza, Steiglechner, Vogelsang, arXiv:1901.06916*

At high  $q_T$ , the collinear formalism should be valid, but large discrepancies are observed

# PROBLEMS WITH HIGH TRANSVERSE MOMENTUM

*Gonzalez-Hernandez, Rogers, Sato, Wang arXiv:1808.04396*

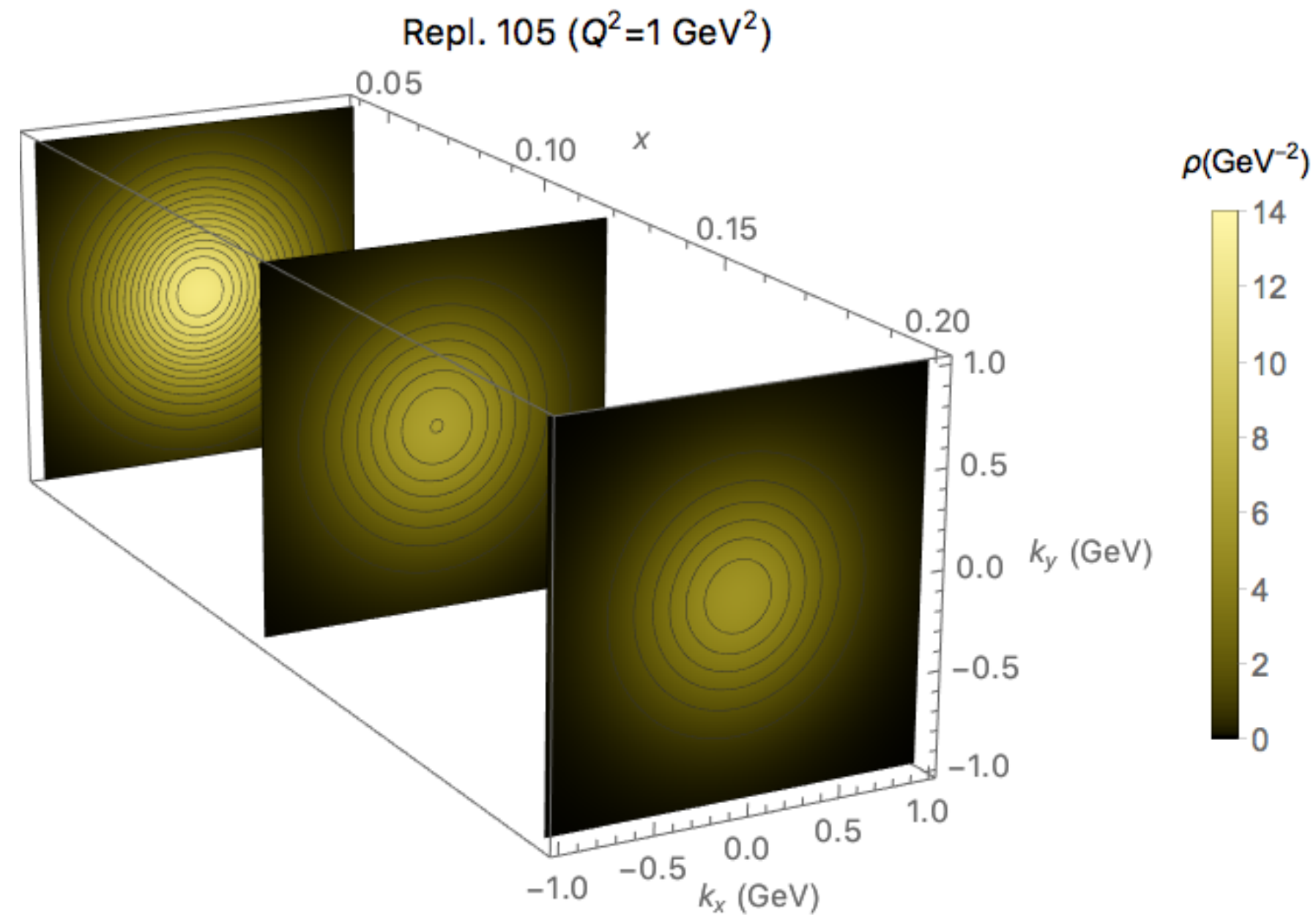


The discrepancies could be largely resolved by sharply modifying the gluon collinear fragmentation function

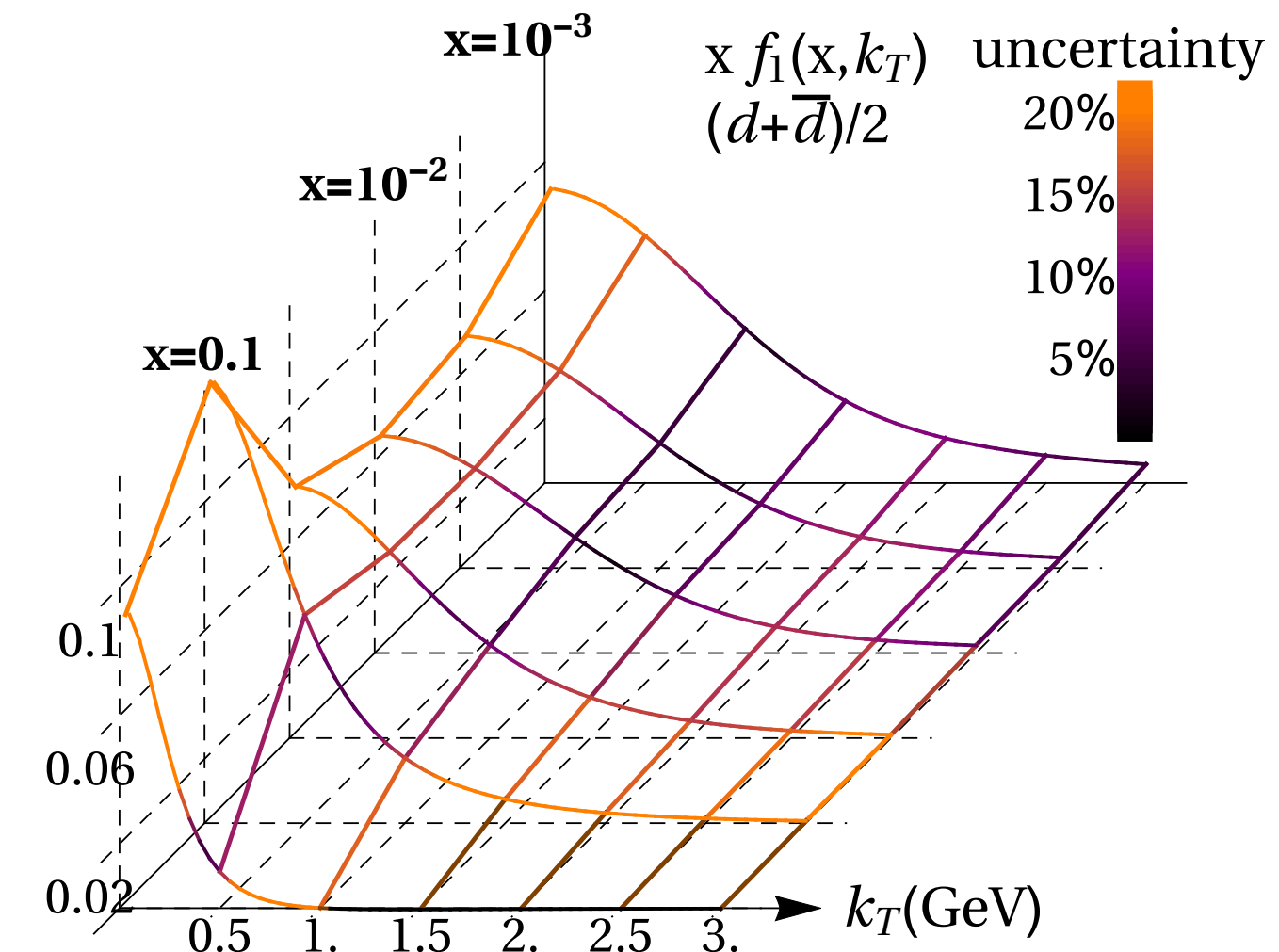
# TMD FITS OF UNPOLARIZED DATA

	Framework	W+Y	HERMES	COMPASS	DY	Z production	N of points
KN 2006 <a href="#">hep-ph/0506225</a>	LO-NLL	W	✗	✗	✓	✓	98
QZ 2001 <a href="#">hep-ph/0506225</a>	NLO-NLL	W+Y	✗	✗	✓	✓	28 (?)
RESBOS <a href="#">resbos@msu</a>	NLO-NNLL	W+Y	✗	✗	✓	✓	>100 (?)
Pavia 2013 <a href="#">arXiv:1309.3507</a>	LO	W	✓	✗	✗	✗	1538
Torino 2014 <a href="#">arXiv:1312.6261</a>	LO	W	✓ (separately)	✓ (separately)	✗	✗	576 (H) 6284 (C)
DEMS 2014 <a href="#">arXiv:1407.3311</a>	NLO-NNLL	W	✗	✗	✓	✓	223
EIKV 2014 <a href="#">arXiv:1401.5078</a>	LO-NLL	W	1 (x,Q <sup>2</sup> ) bin	1 (x,Q <sup>2</sup> ) bin	✓	✓	500 (?)
SIYY 2014 <a href="#">arXiv:1406.3073</a>	NLO-NLL	W+Y	✗	✓	✓	✓	200 (?)
Pavia 2017 <a href="#">arXiv:1703.10157</a>	LO-NLL	W	✓	✓	✓	✓	8059
SV 2017 <a href="#">arXiv:1706.01473</a>	NNLO-NNLL	W	✗	✗	✓	✓	309
BSV 2019 <a href="#">arXiv:1902.08474</a>	NNLO-NNLL	W	✗	✗	✓	✓	457

# 3D DISTRIBUTIONS EXTRACTED FROM DATA



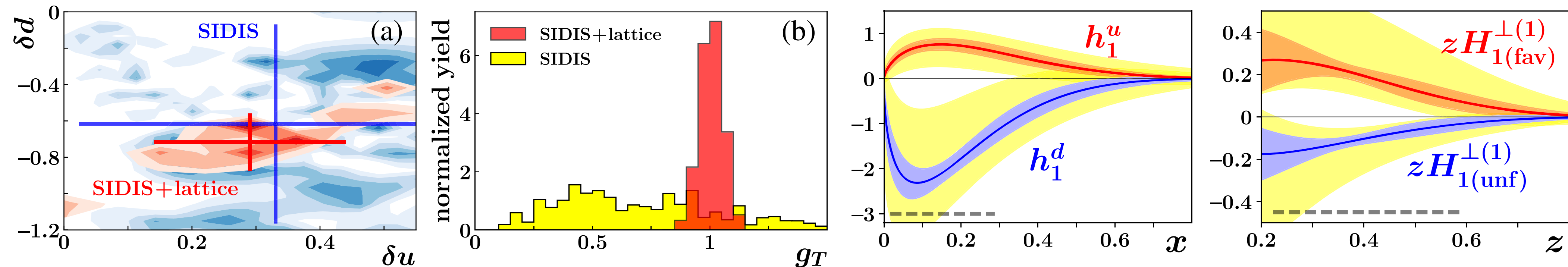
*Bacchetta, Delcarro, Pisano, Radici,  
Signori, arXiv:1703.10157*



*Bertone, Scimemi, Vladimirov,  
arXiv:1902.08474*

# TMDs AND LATTICE QCD

- Transversity is the only source of information on the tensor charge of the nucleon
- The first analysis of the data on transversity using lattice QCD constraints on isovector tensor charge  $g_T = \delta u - \delta d$



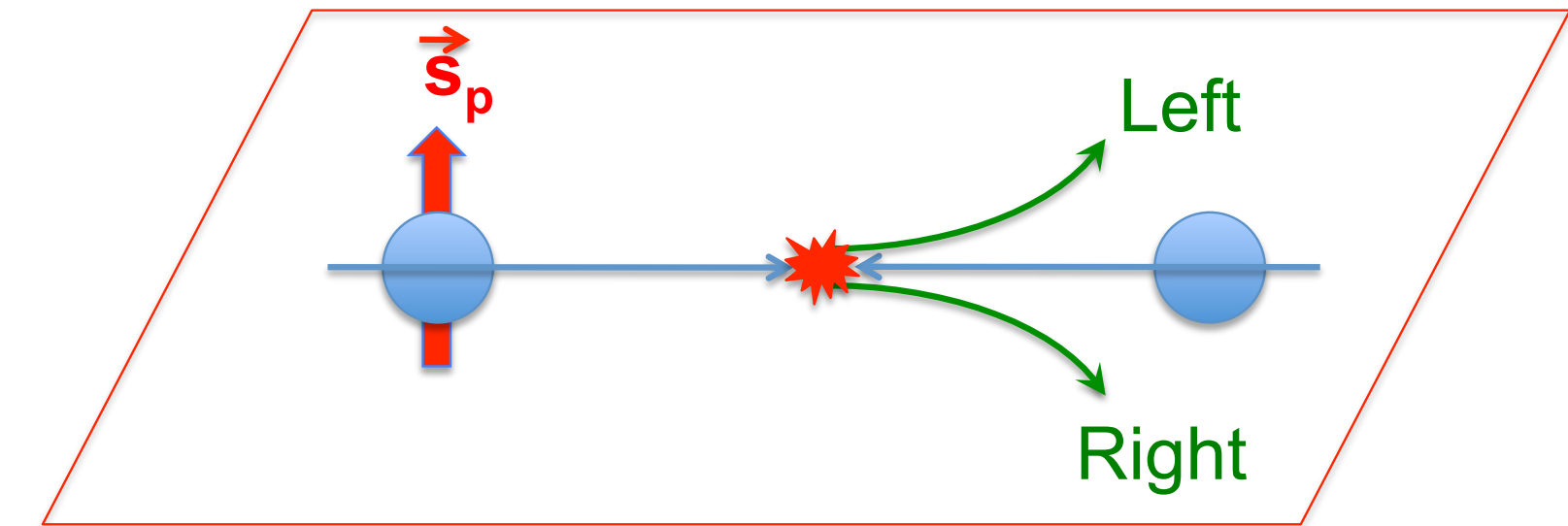
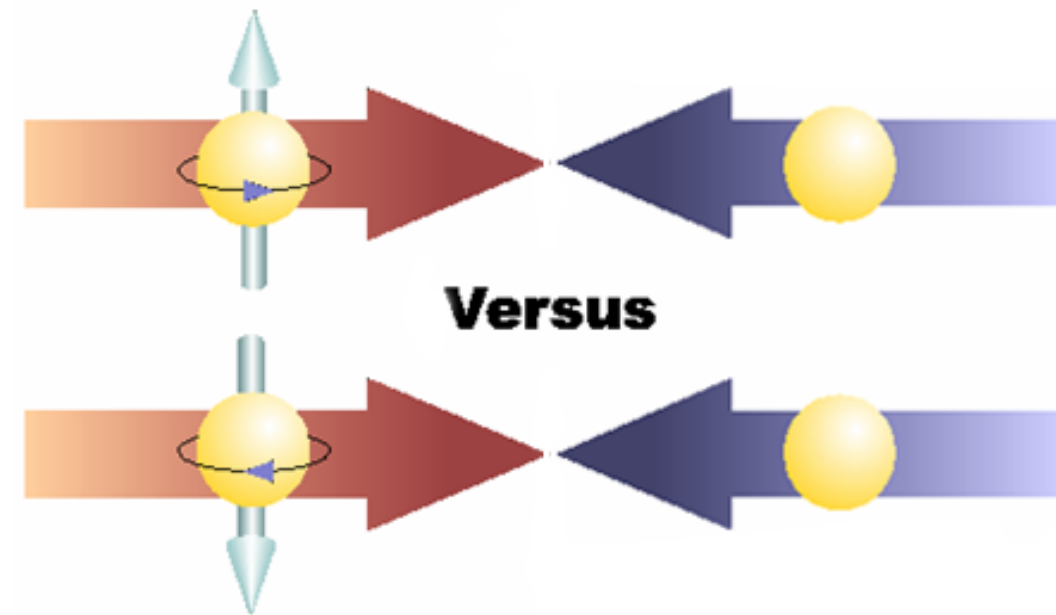
- Tensor charge** from up and down quarks is constrained
- Phenomenological results and lattice QCD results are compatible

## Final results $Q^2=2 \text{ GeV}^2$

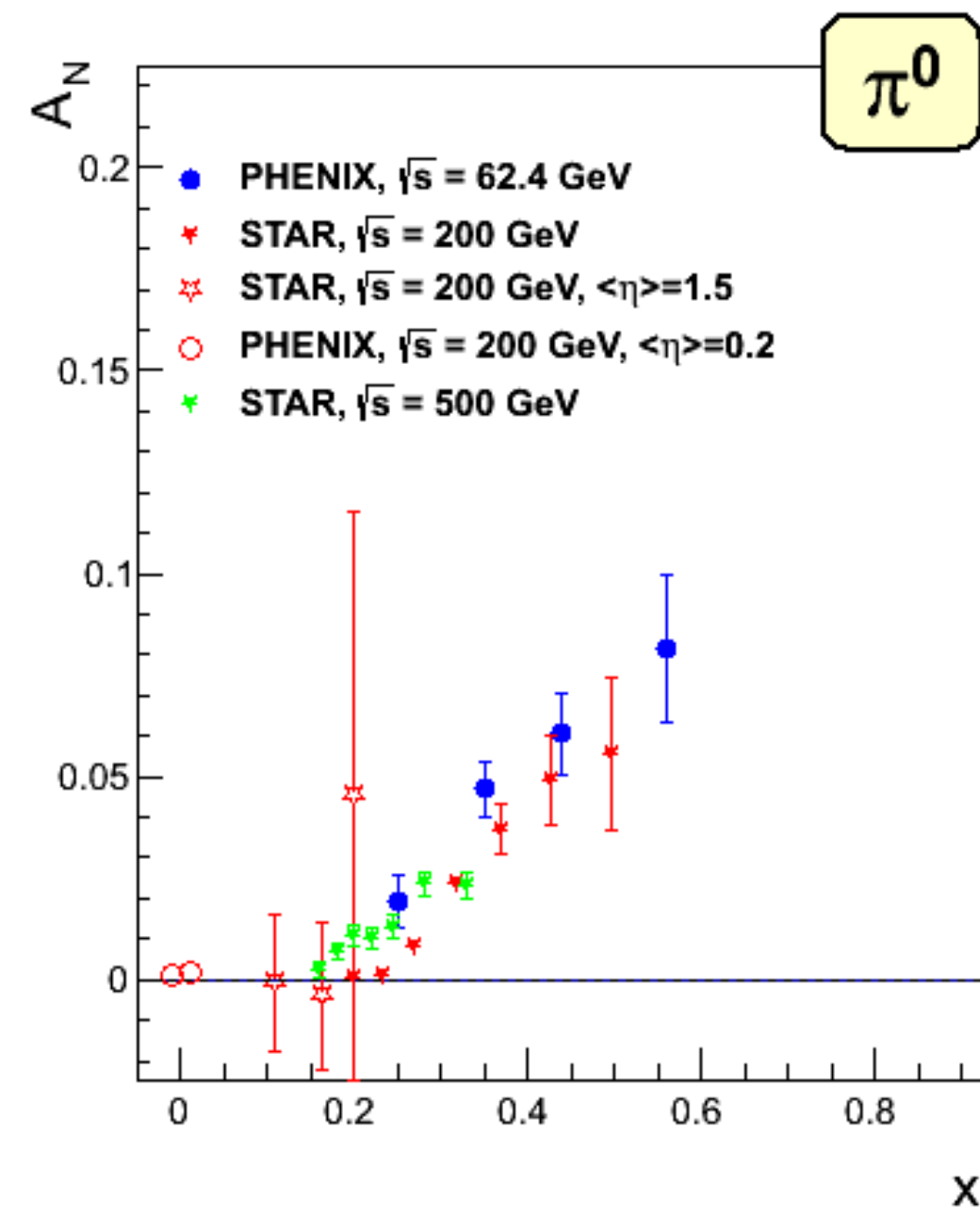
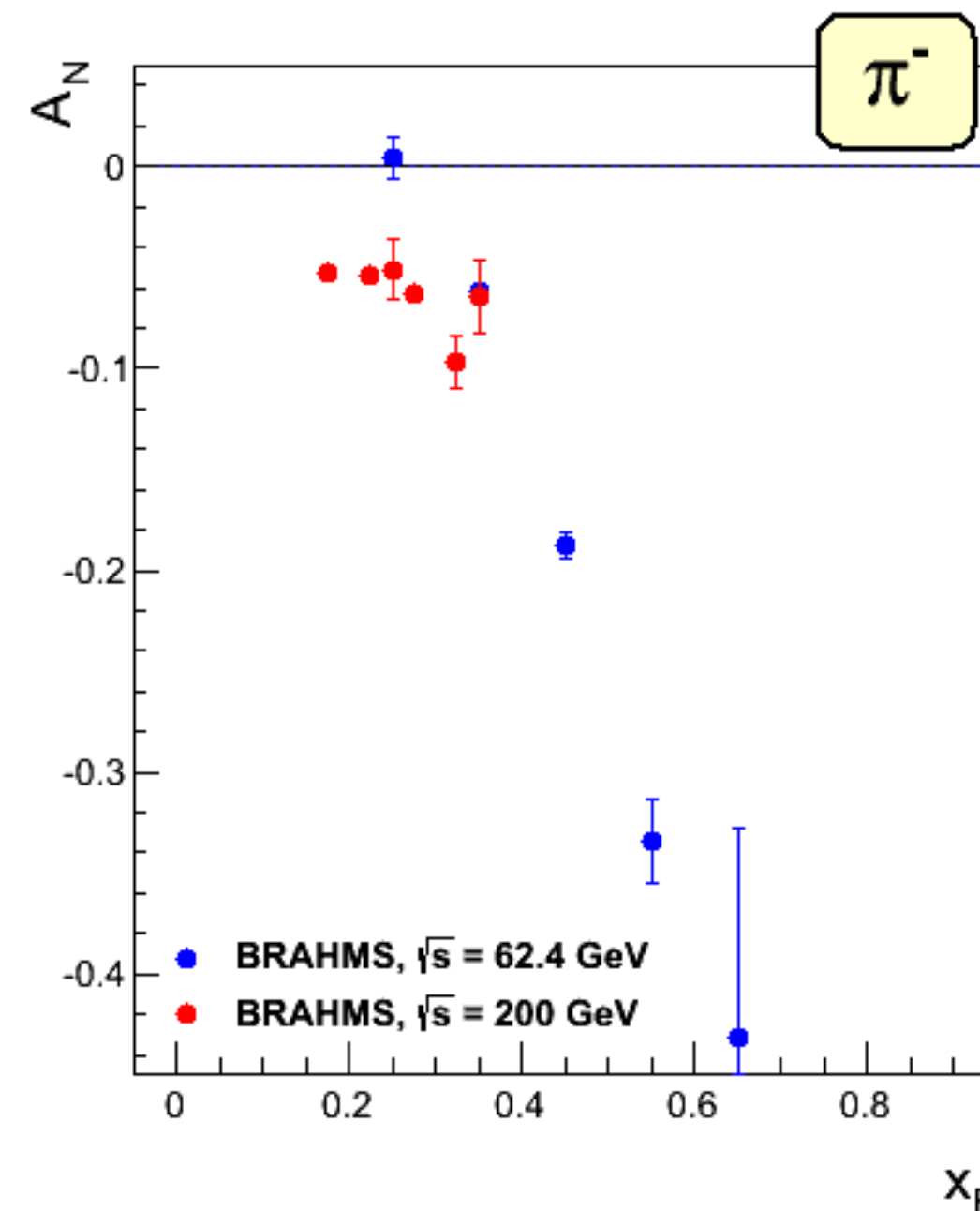
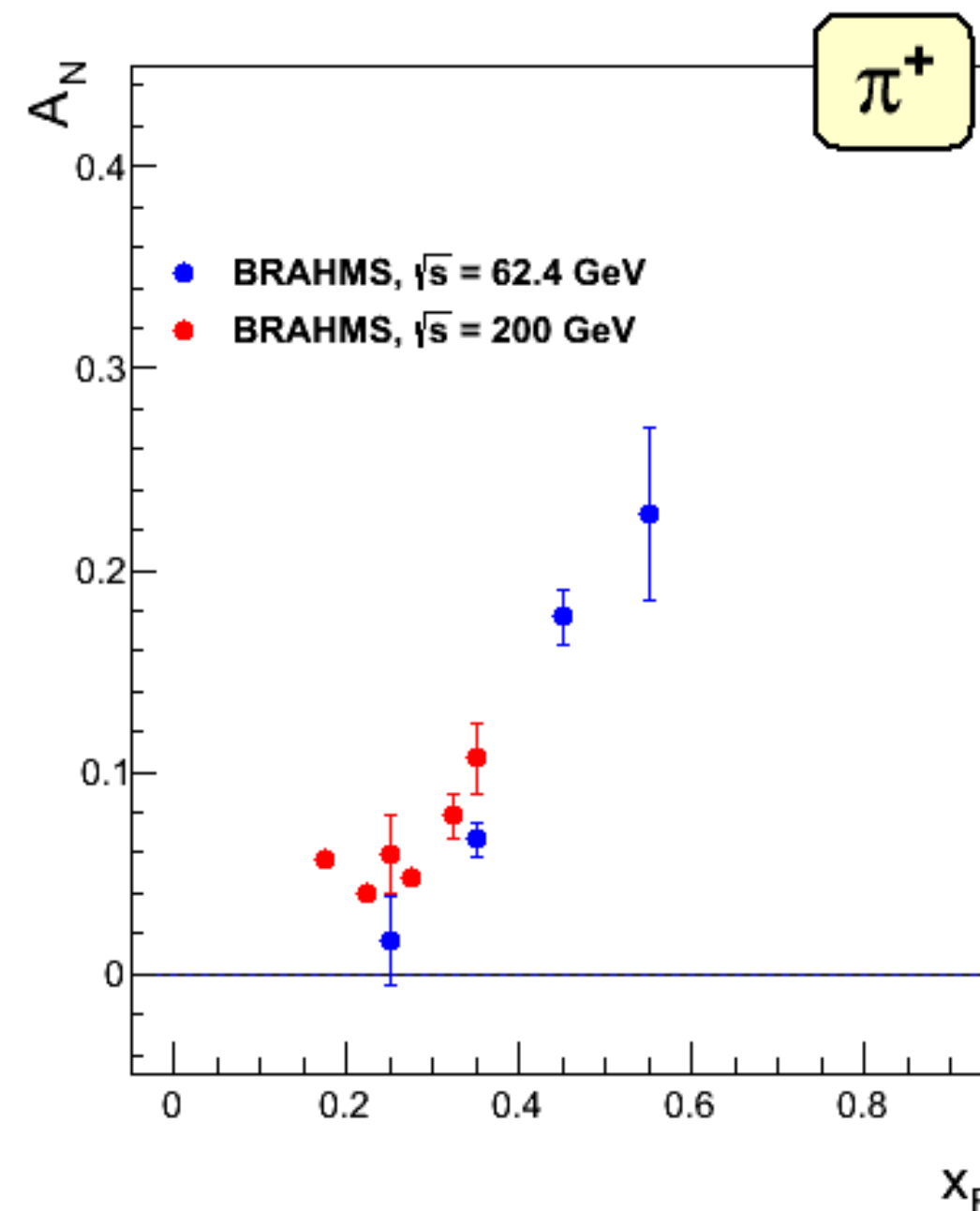
$$\delta u = 0.3(2) \rightarrow 0.3(2)$$

$$\delta d = -0.6(6) \rightarrow -0.7(2)$$

# CHALLENGE OF QCD: UNDERSTANDING THE ORIGIN OF SPIN ASYMMETRIES



RHIC: STAR, BRAHMS, PHENIX



The naive expectation:

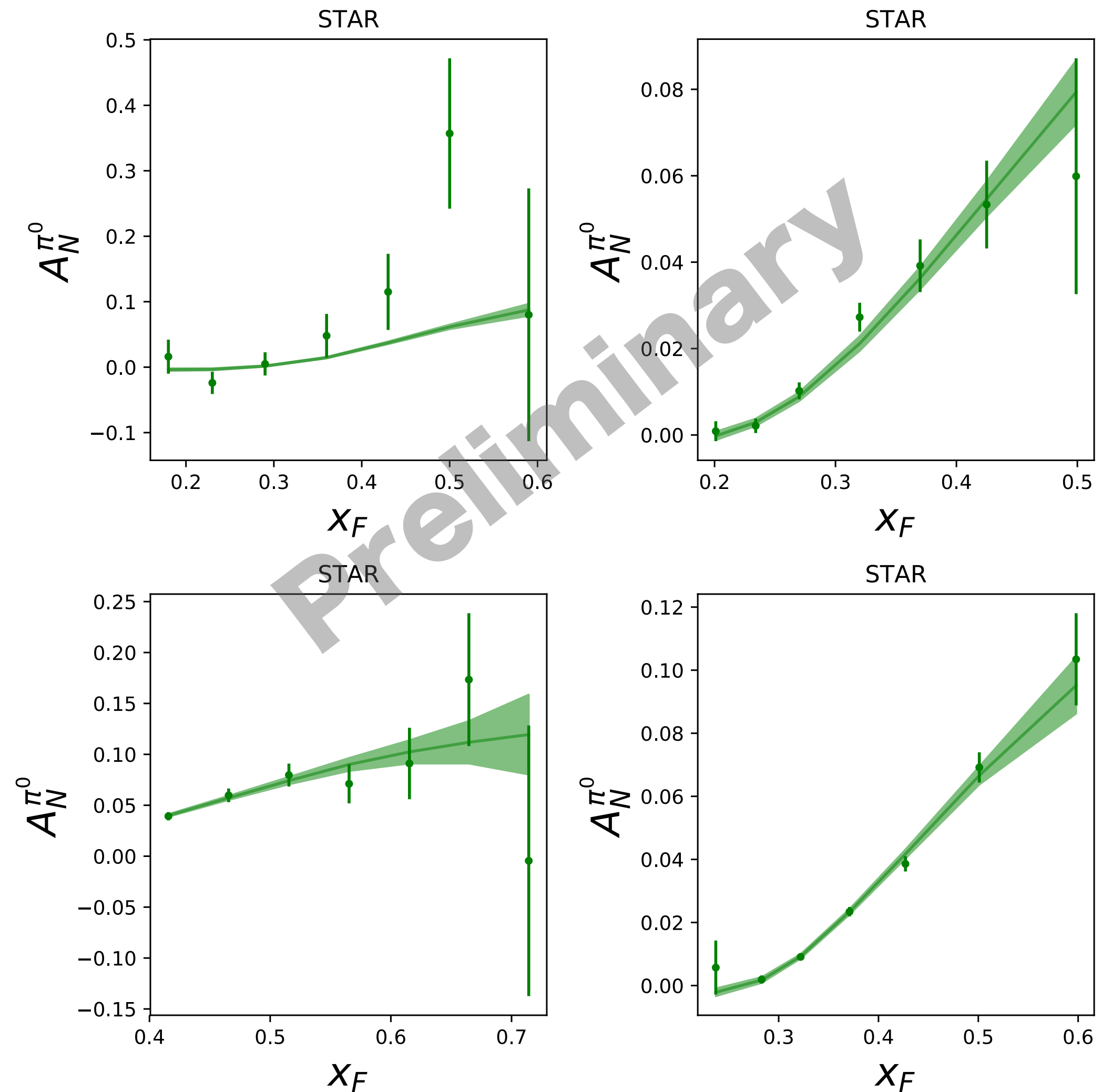
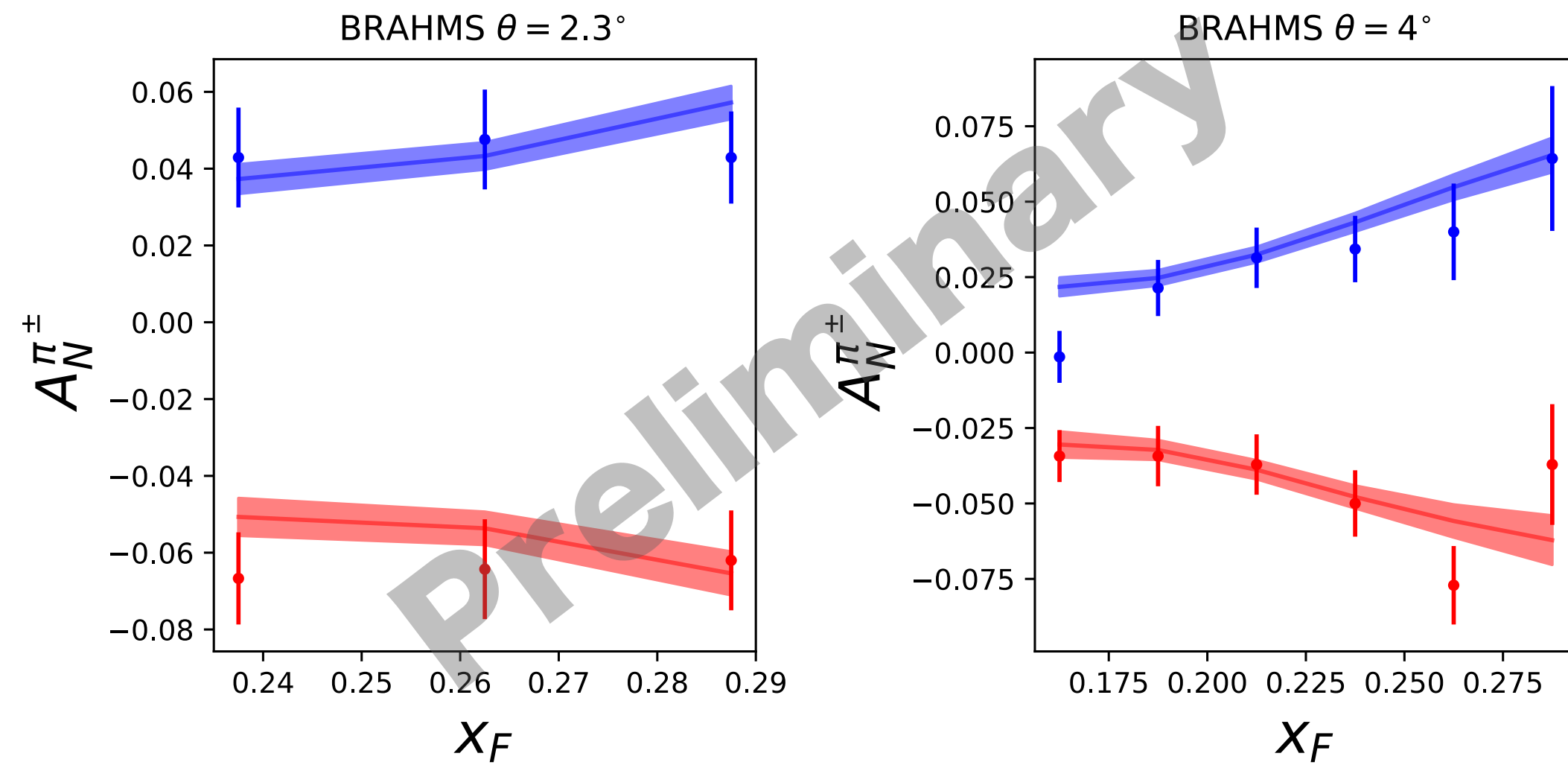
$$A_N \sim 0$$

Experiment:

$A_N$  as big as 40%

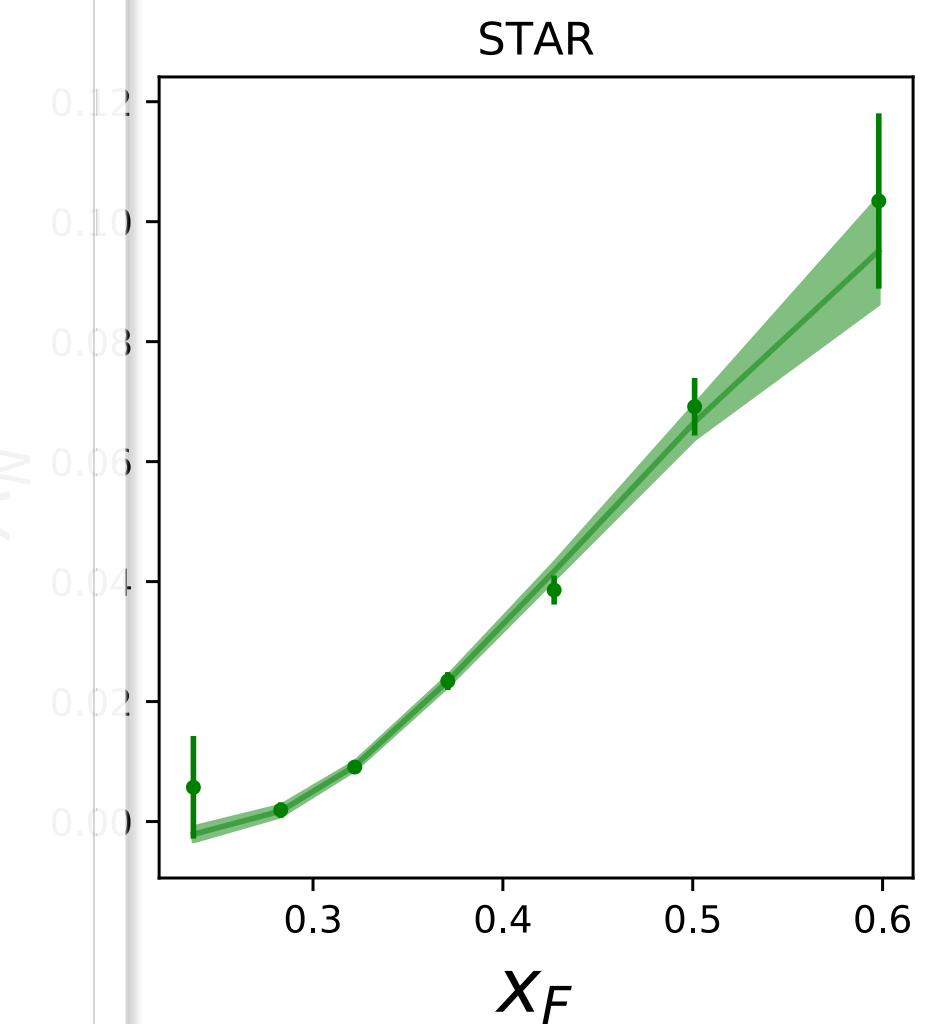
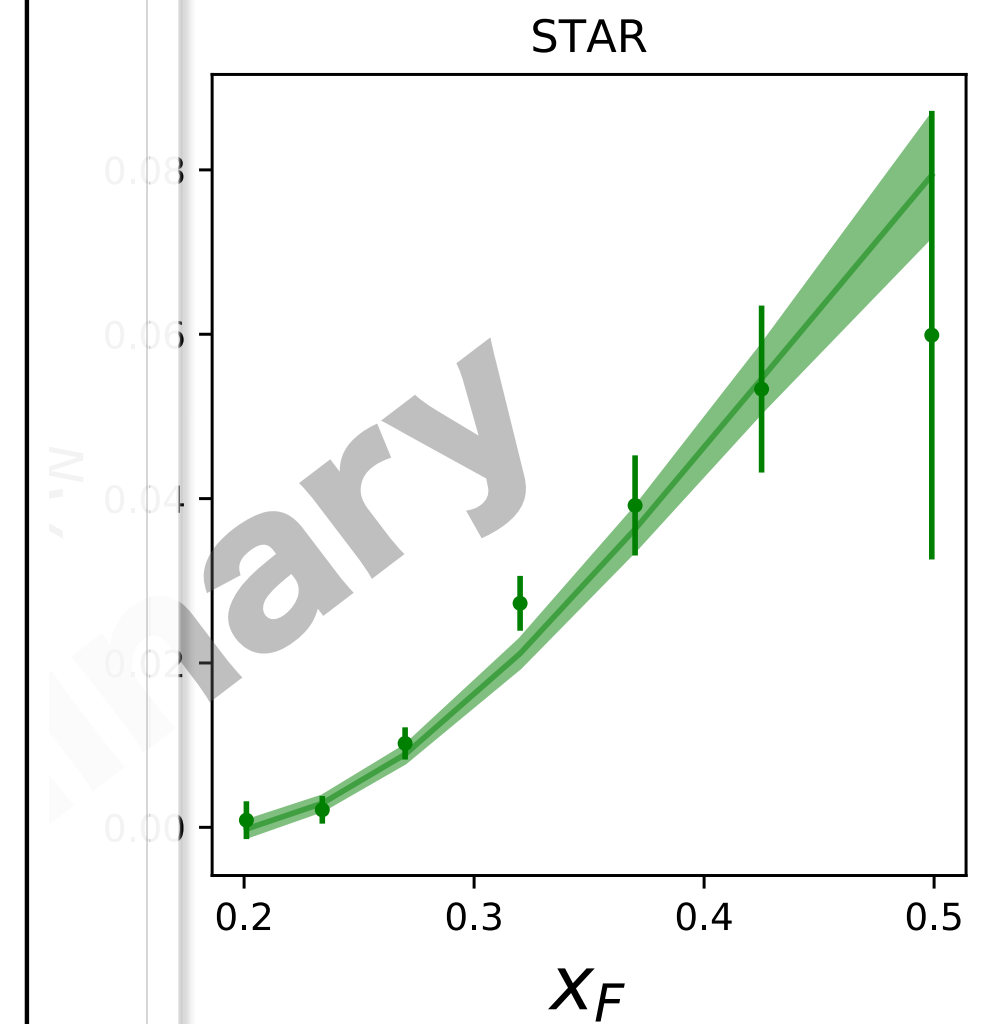
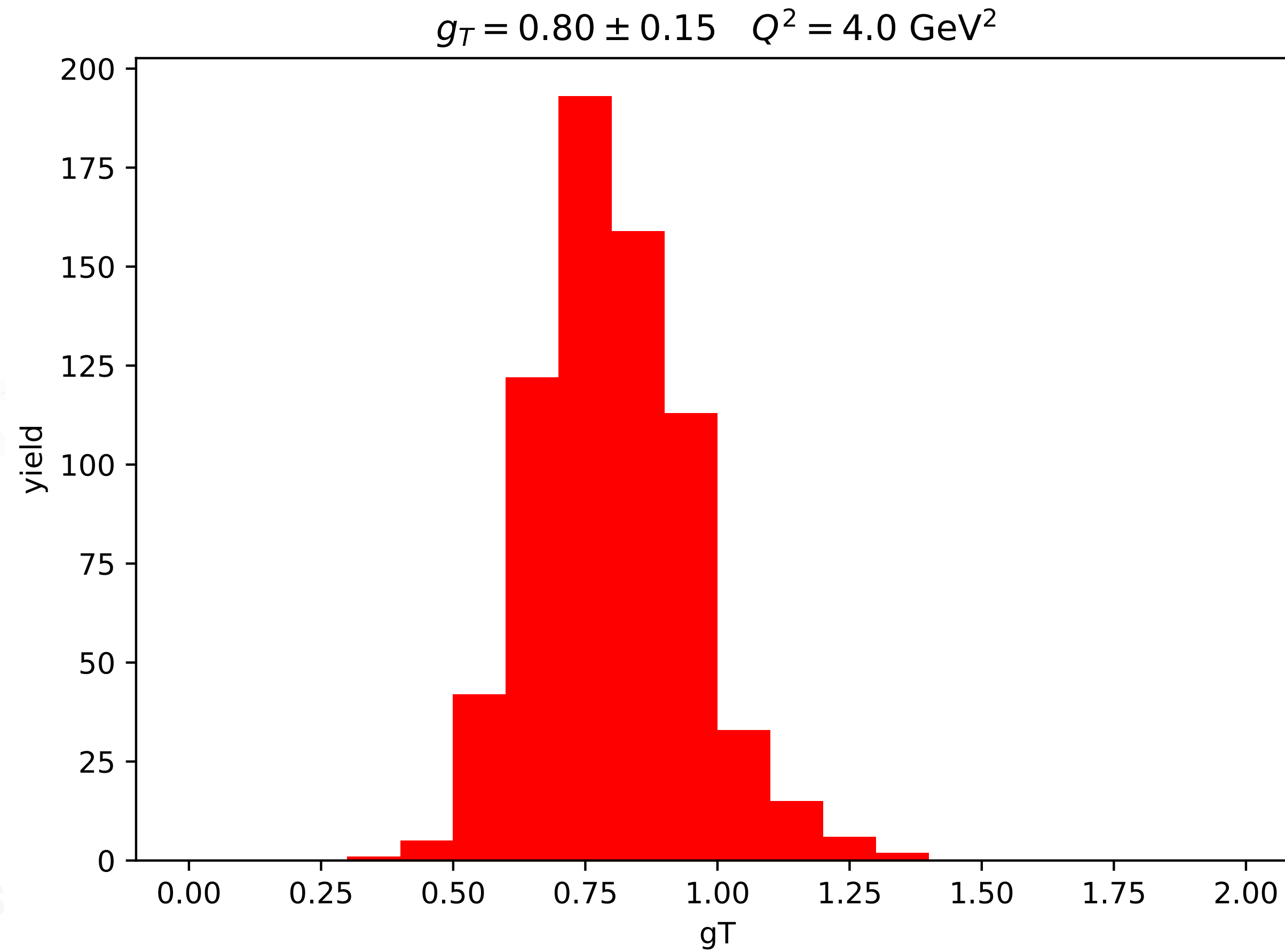
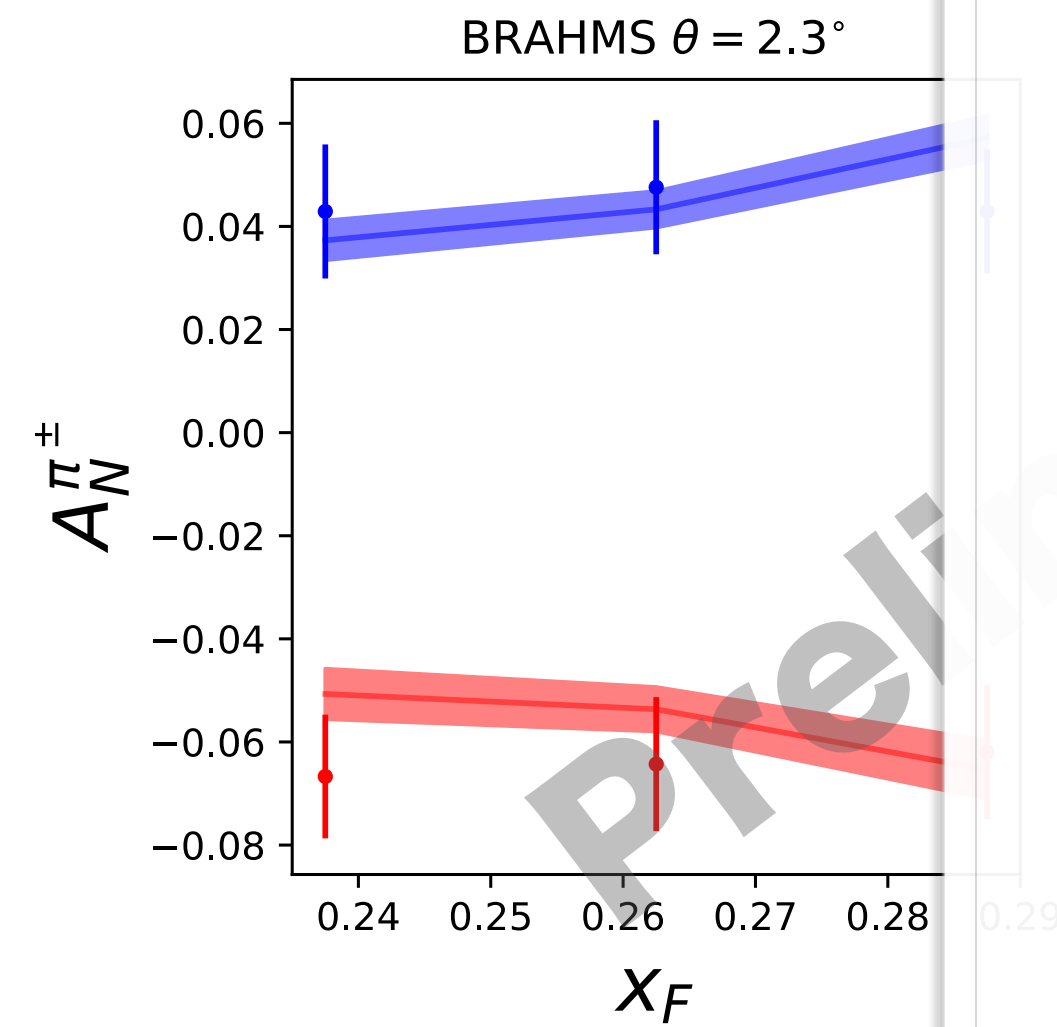
*"The RHIC SPIN Program: Achievements and Future Opportunities", Aschenauer et al (15)*

# TOWARDS THE UNDERSTANDING OF THE ORIGIN OF SSA



Combined analysis of SIDIS,  $e^+e^-$ , and proton-proton asymmetries using a universal set of functions

# TOWARDS THE UNDERSTANDING OF THE ORIGIN OF SSA



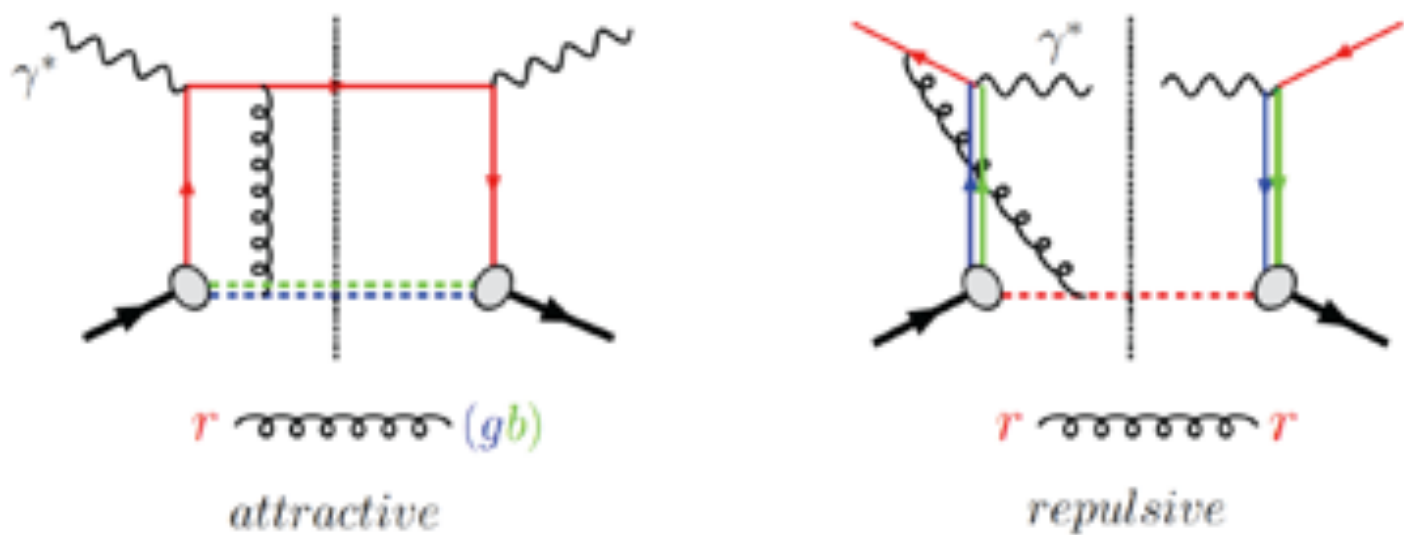
Combined analysis  
and proton-proton asymmetries using  
a universal set of functions

The isovector tensor charge is in agreement with  
lattice QCD results,  $g_T = 1.004 \pm 0.021$

# SIGN CHANGE OF SIVERS FUNCTION

Profound consequence of gauge invariance: Sivers function has opposite sign when the gluon couples after the quark scatters (SIDIS) or before the quark annihilates (Drell-Yan)

Sivers (91)



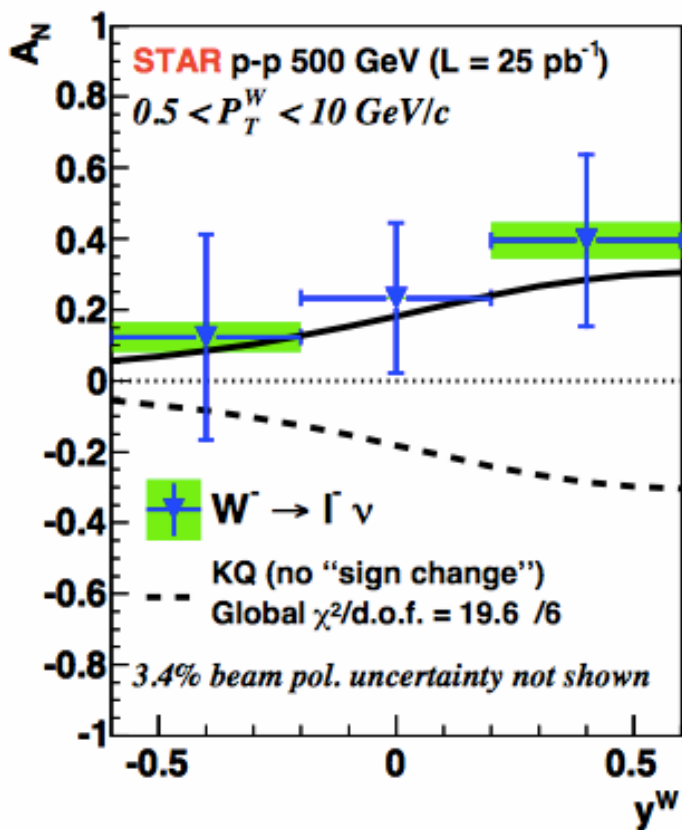
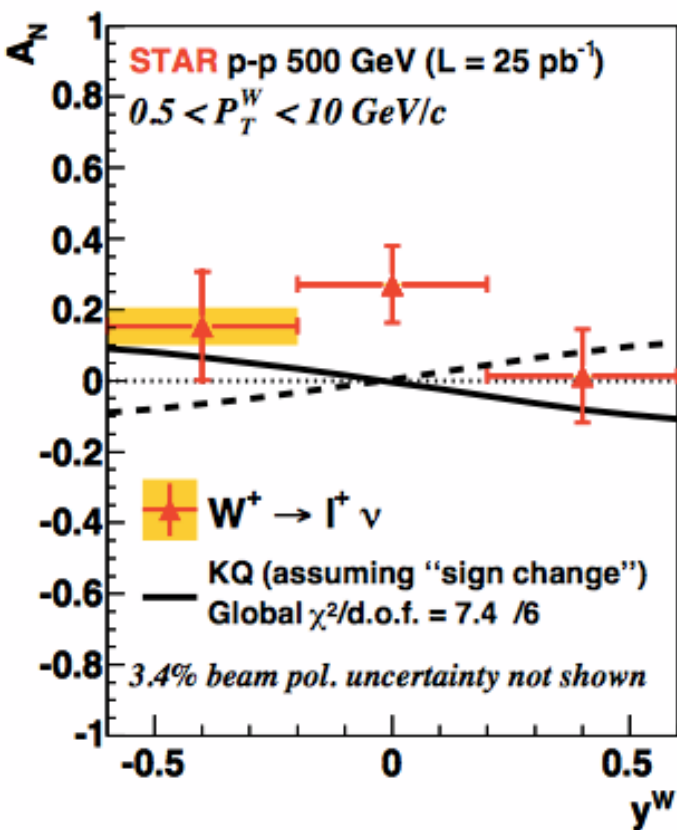
Crucial test of TMD and collinear factorizations. Several labs worldwide aim at measurement of the Sivers effect in Drell-Yan: BNL, COMPASS, FERMILAB etc

The verification of the sign change is a DOE and NSF milestone

$$f_{1T}^{\perp \text{SIDIS}} = - f_{1T}^{\perp \text{DY}}$$

Brodsky, Hwang, Schmidt (01), Collins (02)

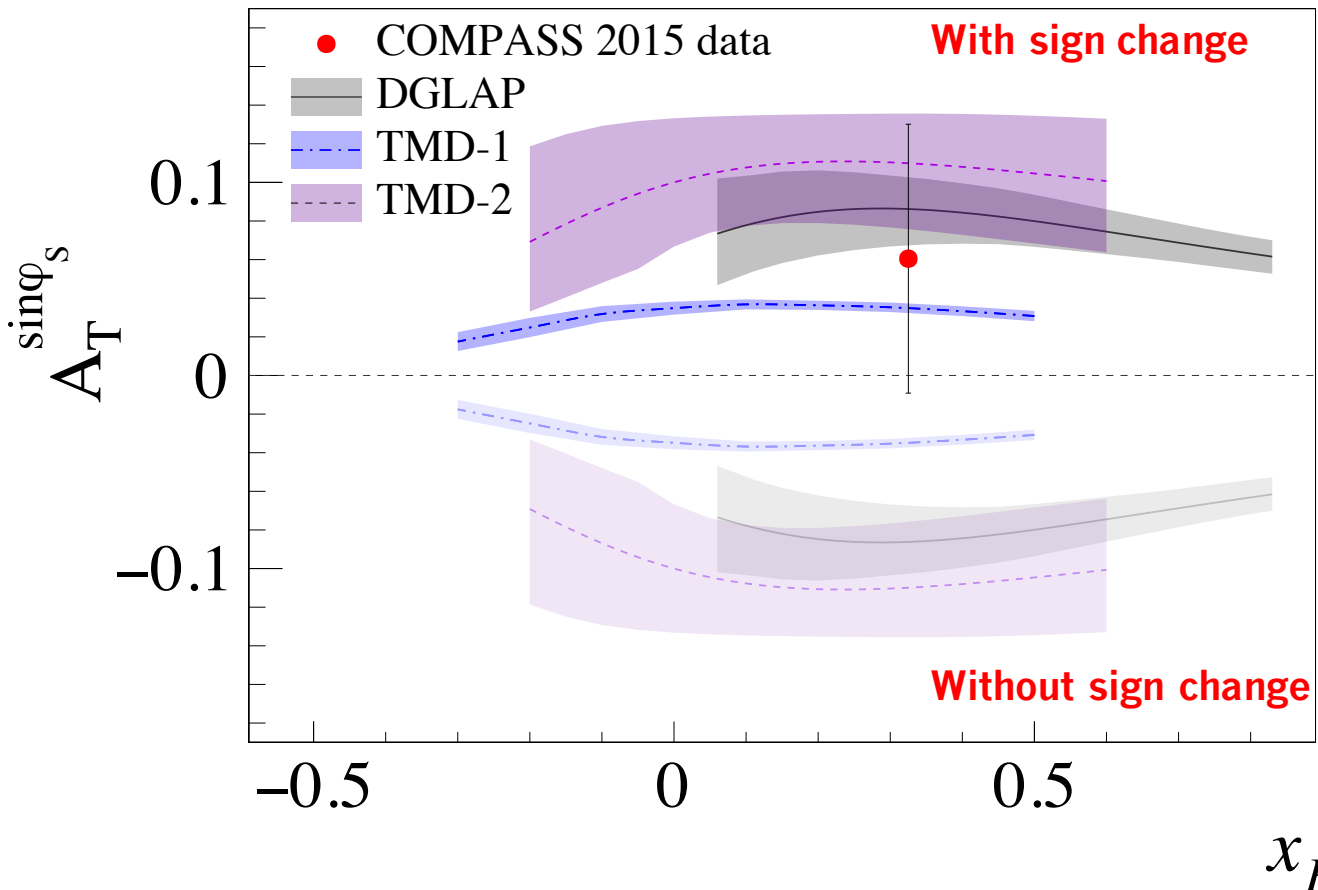
$$p^\uparrow p \rightarrow W^\pm X$$



First experimental hints on the sign change

See the talk by Michela Chiosso

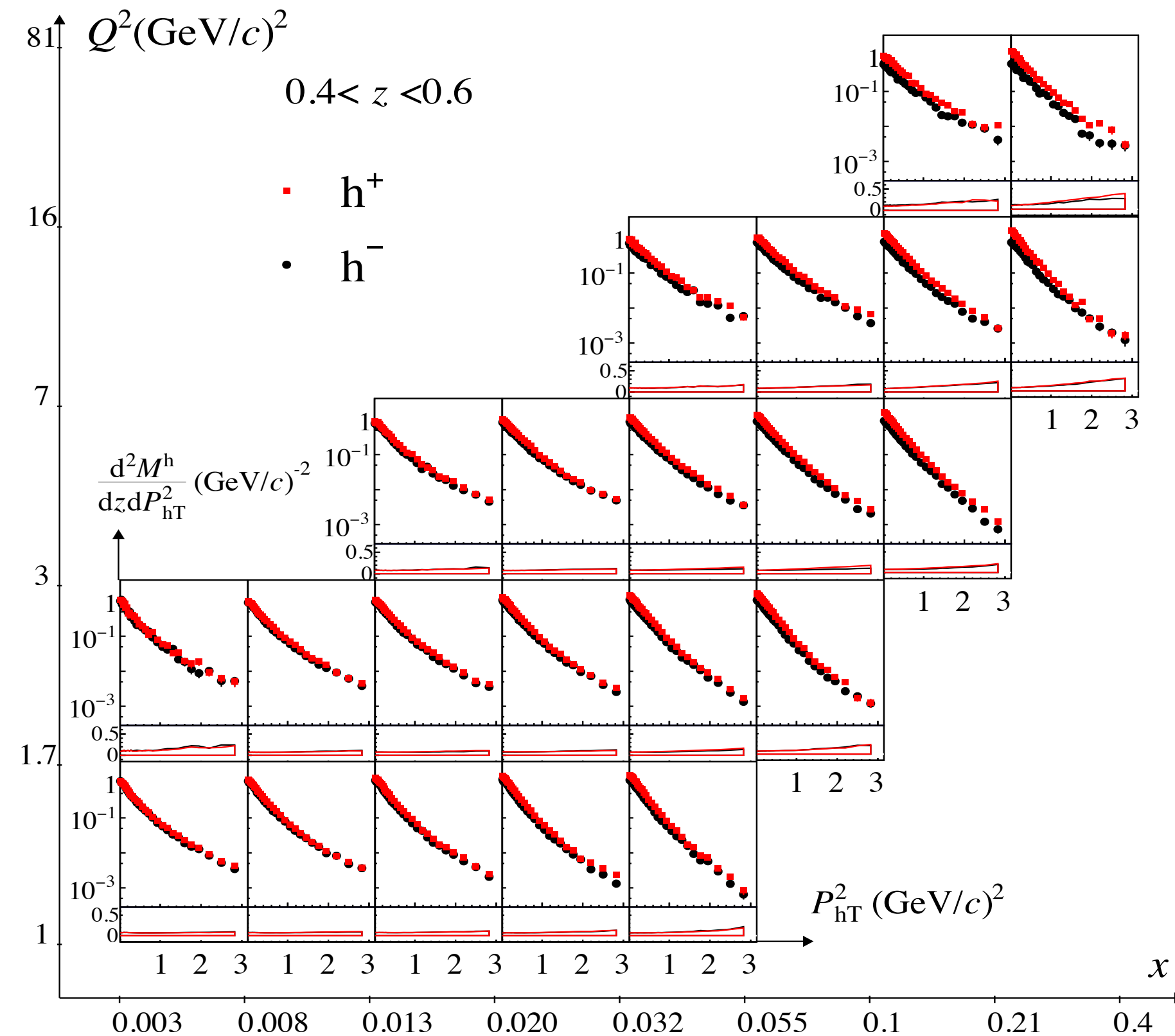
$$\pi^- P \rightarrow \ell^+ \ell^- X$$



**THE FUTURE**

# NEW DATA FROM COMPASS AND JLAB

Multidimensional  
binning



COMPASS Collab., arXiv:1709.07374



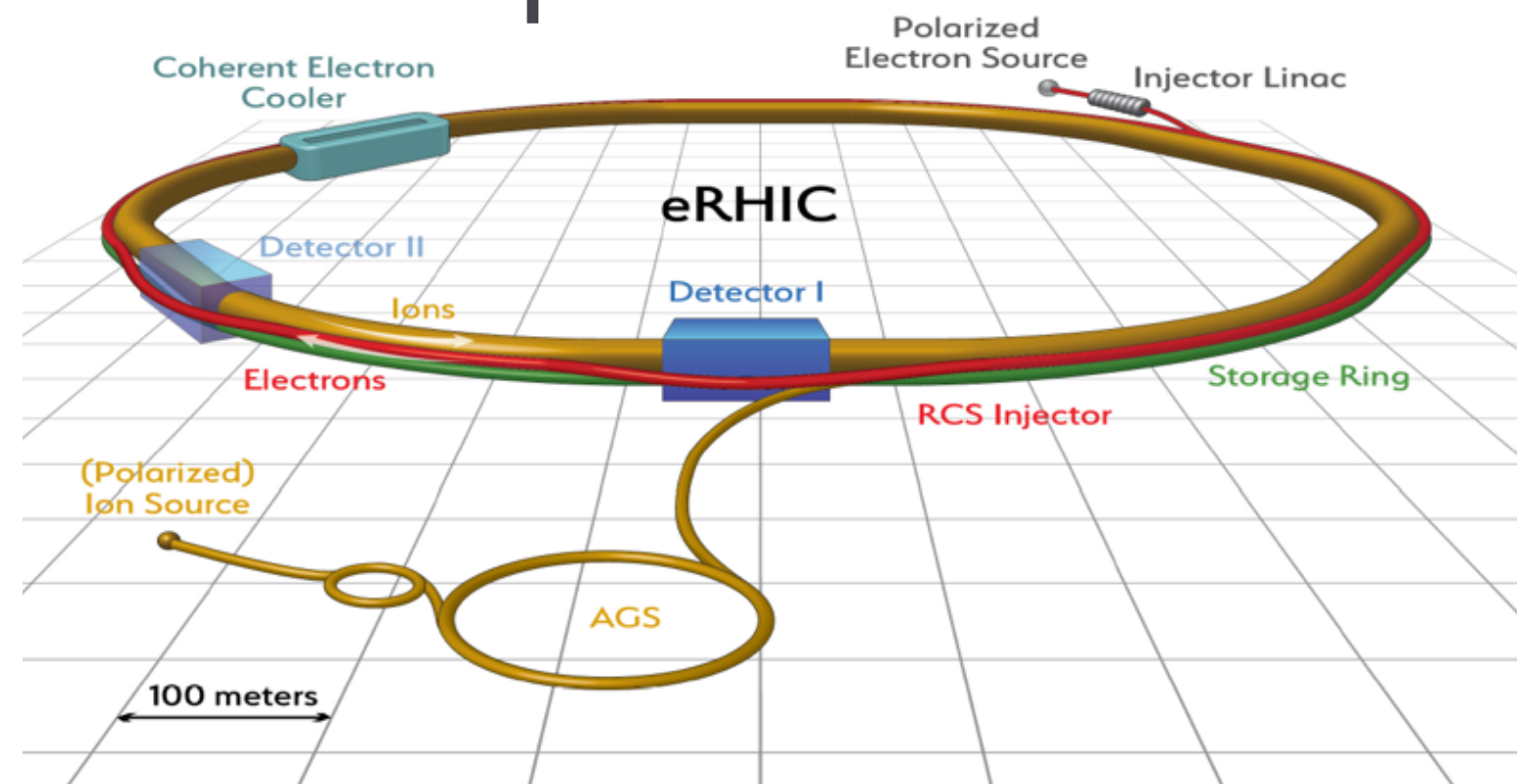
*See the talk by Michela Chiosso*

COMPASS is in “full swing” mode. JLAB 12 data are going to follow.

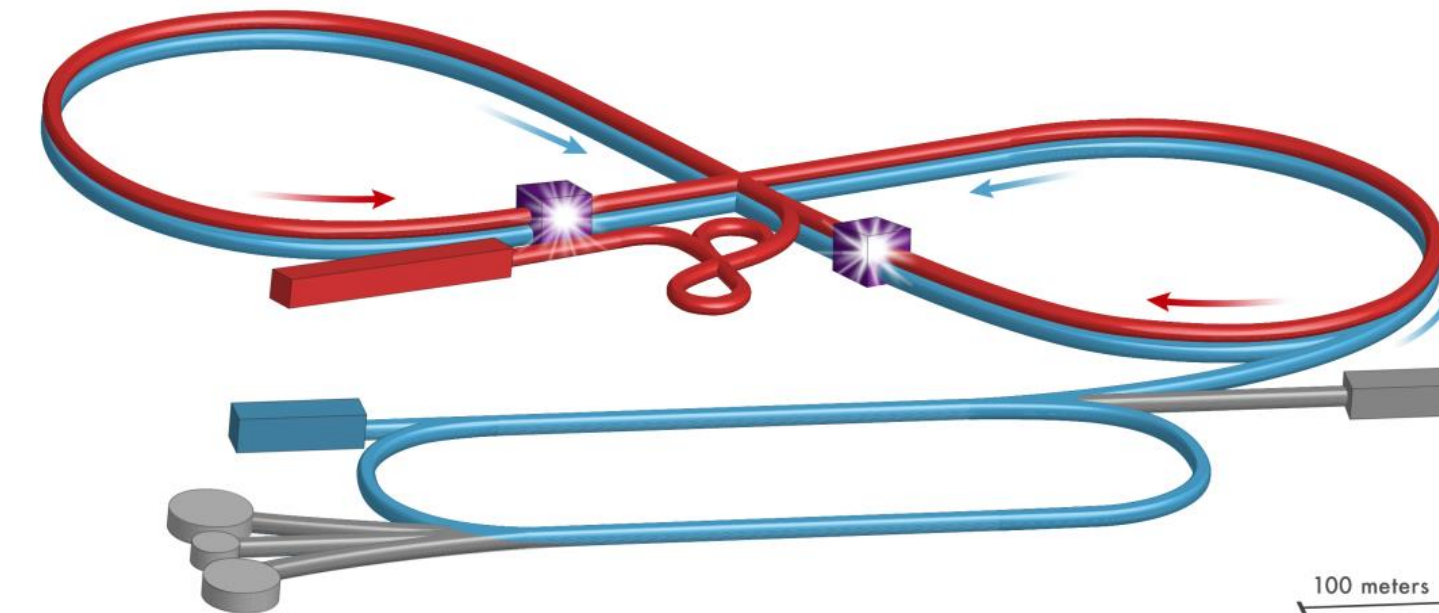
# THE ELECTRON-ION COLLIDER PROJECT

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## BNL concept



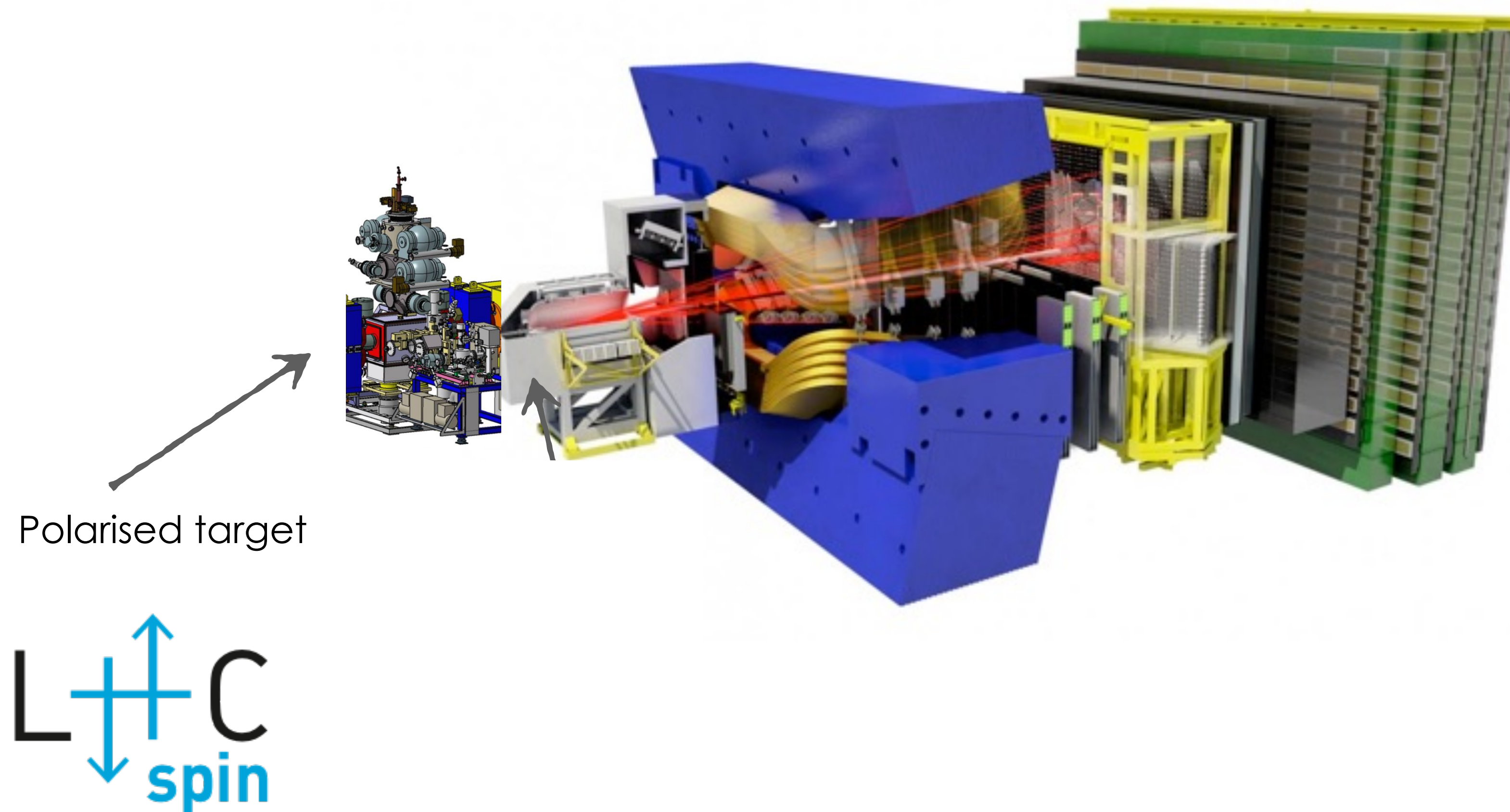
## JLab concept



- High luminosity: ( $10^{34} \text{ cm}^{-2} \text{ s}^{-1}$ )
- Variable CM energy: 20-100 GeV
- Polarized beams
- Protons and other nuclei

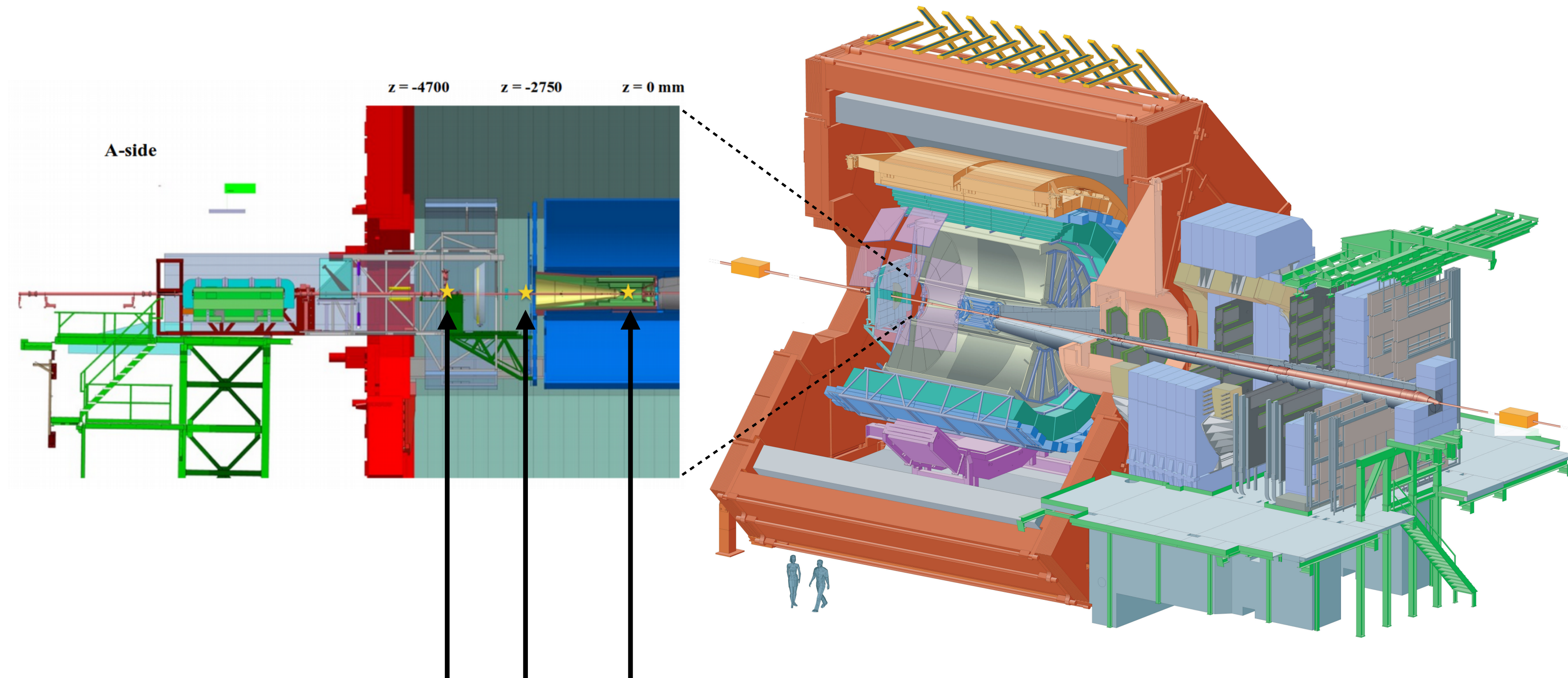
# LHCb FIXED TARGET, INCLUDING POLARIZATION

<https://indico.cern.ch/event/755856/>



# ALICE FIXED TARGET

<https://indico.cern.ch/event/755856/>



Possible fixed-target positioning

What is the 2D  
confined transverse  
motion of quarks and  
gluons inside  
a proton?

How does  
the confined motion  
change along with  
probing  $x$ ,  $Q^2$ ?

How is the motion correlated with  
macroscopic proton properties, as well  
as microscopic parton properties,  
such as the spin?

How to identify  
universal proton  
structure properties  
from measured  
 $k_T$ -dependence?

Can we extract  
QCD color force  
responsible for  
the confined  
motion?

