

# Theoretical perspectives on electromagnetic hadron physics

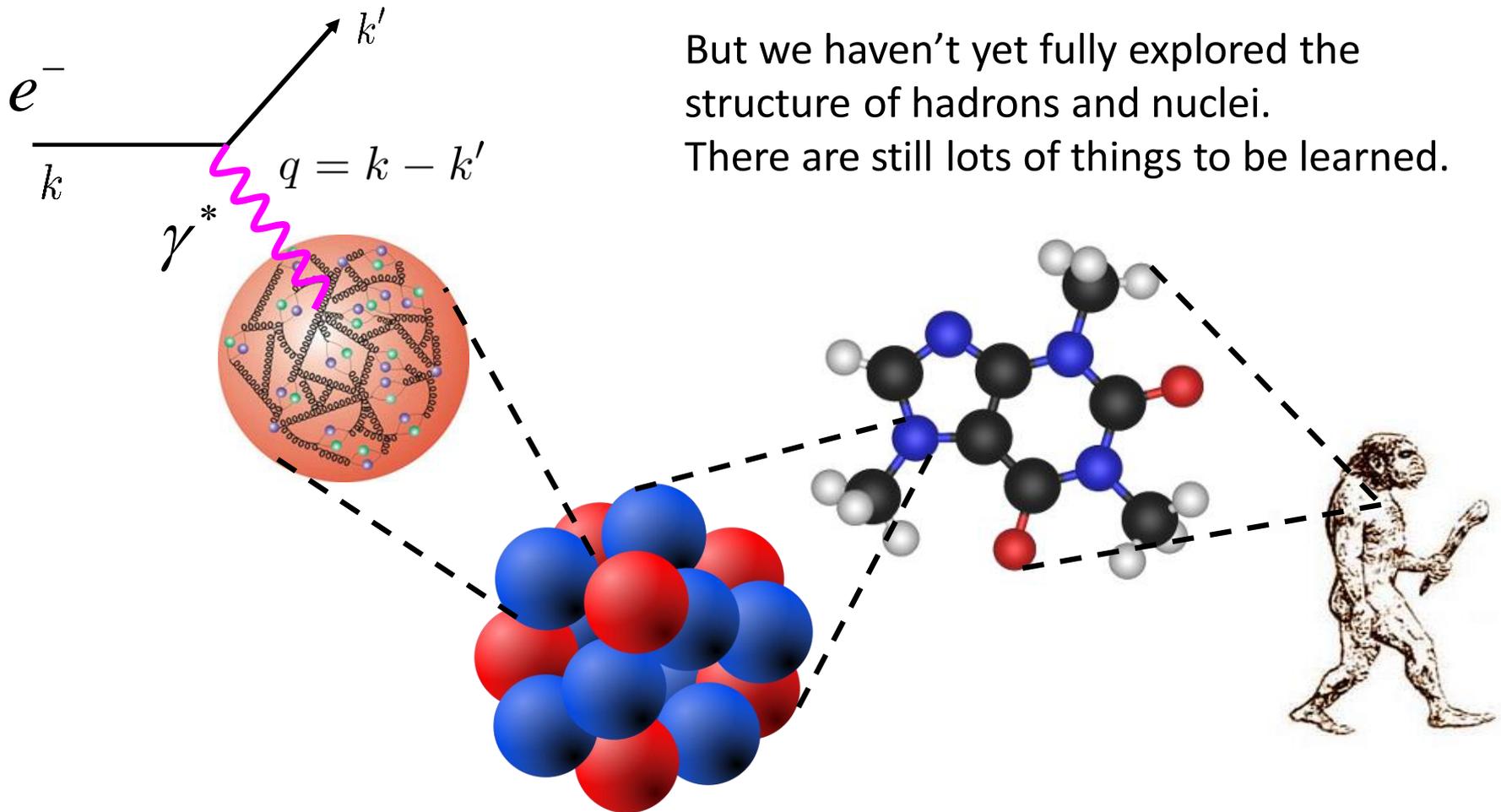
Yoshitaka Hatta

Brookhaven National Laboratory

# Deep Inelastic Scattering —Microscope to femtometer world

Since the discovery of quarks and QCD, DIS has been instrumental to our understanding of the smallest building blocks of our universe.

But we haven't yet fully explored the structure of hadrons and nuclei.  
There are still lots of things to be learned.



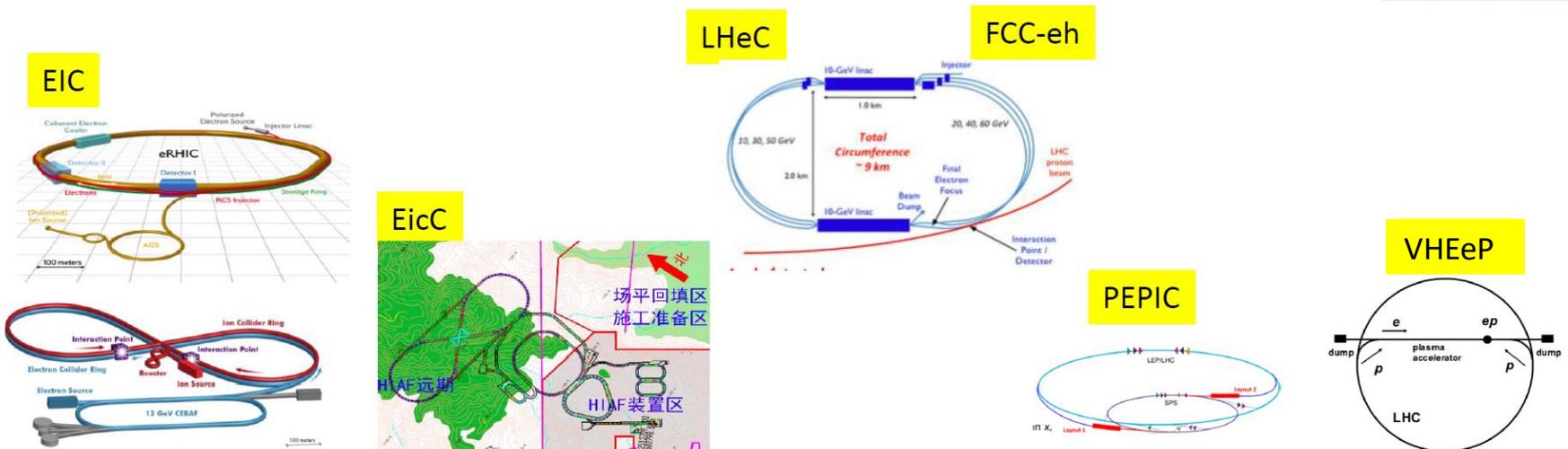
# Future DIS experiments worldwide

## Planned DIS Colliders around the world

R. Yoshida, talk at DIS2019

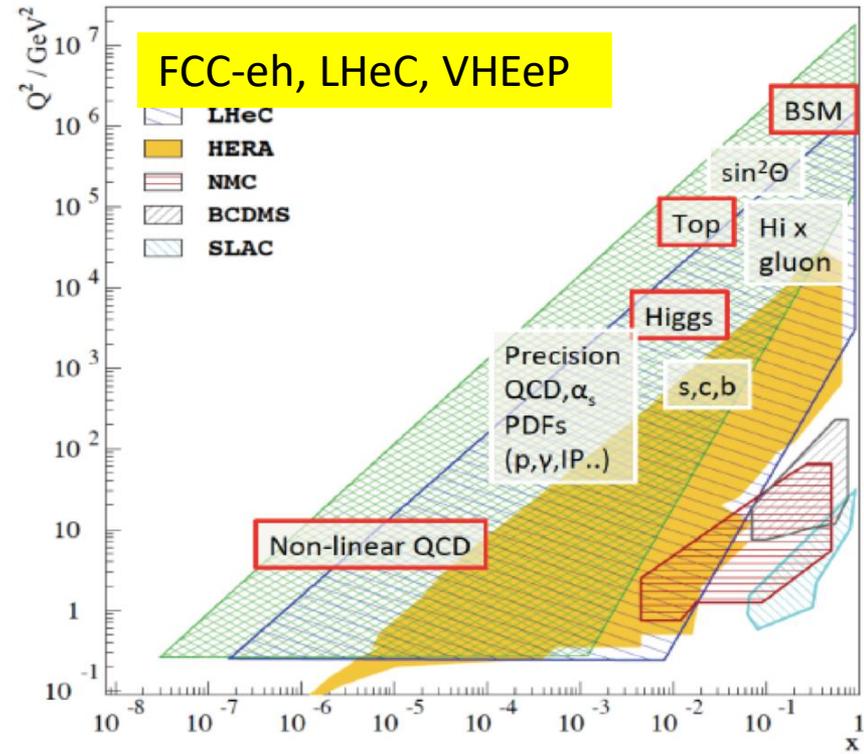
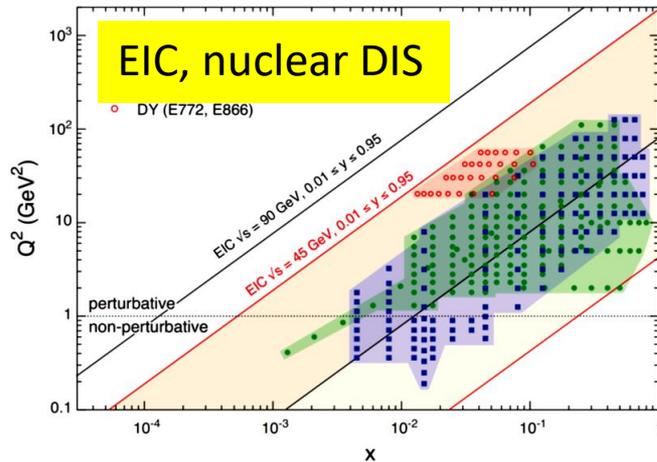
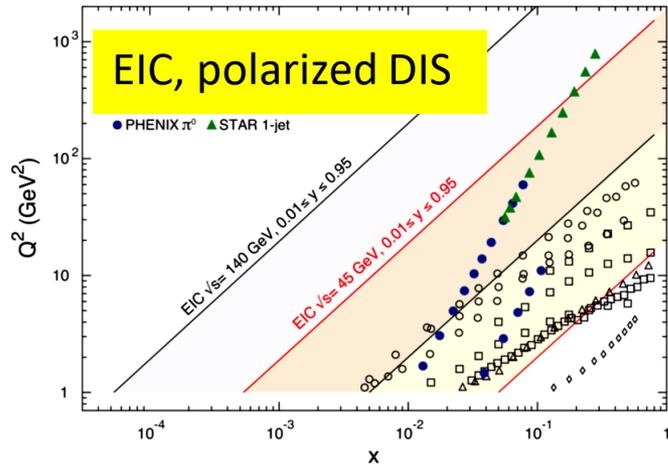
Facility	Years	$E_{cm}$ (GeV)	Luminosity ( $10^{33} \text{cm}^{-2} \text{s}^{-1}$ )	Ions	Polarization
EIC in US	> 2028	20 - 100 $\rightarrow$ 140	2 - 30	p $\rightarrow$ U	e, p, d, $^3\text{He}$ , Li
EIC in China	> 2028	16 - 34	1 $\rightarrow$ 100	p $\rightarrow$ Pb	e, p, light nuclei
LHeC (HE-LHeC)	> 2030	200 - 1300 (1800)	10	depends on LHC	e possible
PEPIC	> 2025	530 $\rightarrow$ 1400	$< 10^{-3}$	depends on LHC	e possible
VHEeP	> 2030	1000 - 9000	$10^{-5} - 10^{-4}$	depends on LHC	e possible
FCC-eh	> 2044	3500	15	depends on FCC-hh	e possible

EPPSU DIS Input



The era of precision EW, Higgs, pQCD, and precision study of nucleon and nuclear structures in the next 25~30 years.

# Exploring *terra incognita*



Unprecedented coverage in kinematics.

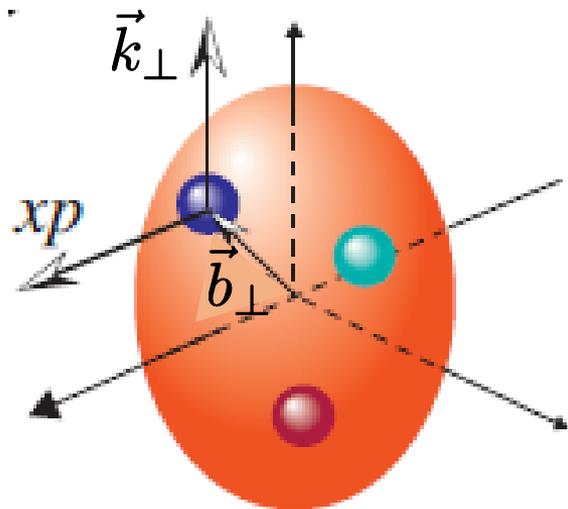
Tremendous physics opportunities for theory including lattice QCD!

# Agenda

- TMD
- GPD
- Spin (longitudinal)
- Spin (transverse)
- Small-x
- Mass

# Nucleon tomography (TMD/GPD/Wigner)

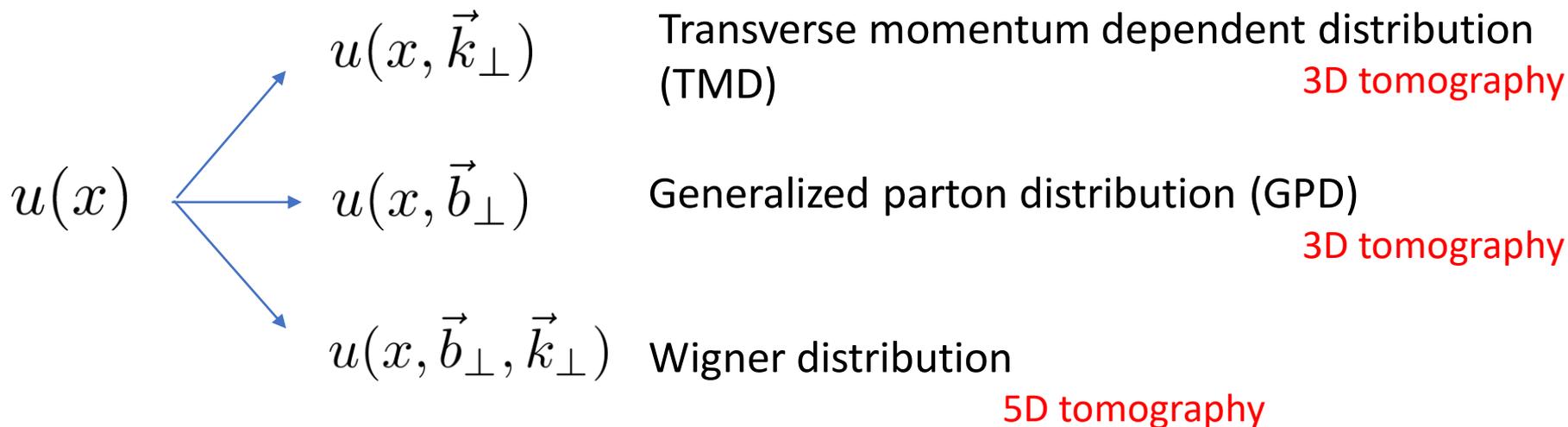
# Multi-dimensional tomography



$$u(x) = \int \frac{dz^-}{4\pi} \langle P | \bar{u}(0) \gamma^+ u(z^-) | P \rangle$$

Ordinary parton distribution functions (PDF) can be viewed as the 1D tomographic image of the nucleon

The nucleon is much more complicated!  
Partons also have transverse momentum  $\vec{k}_\perp$   
and are spread in impact parameter space  $\vec{b}_\perp$

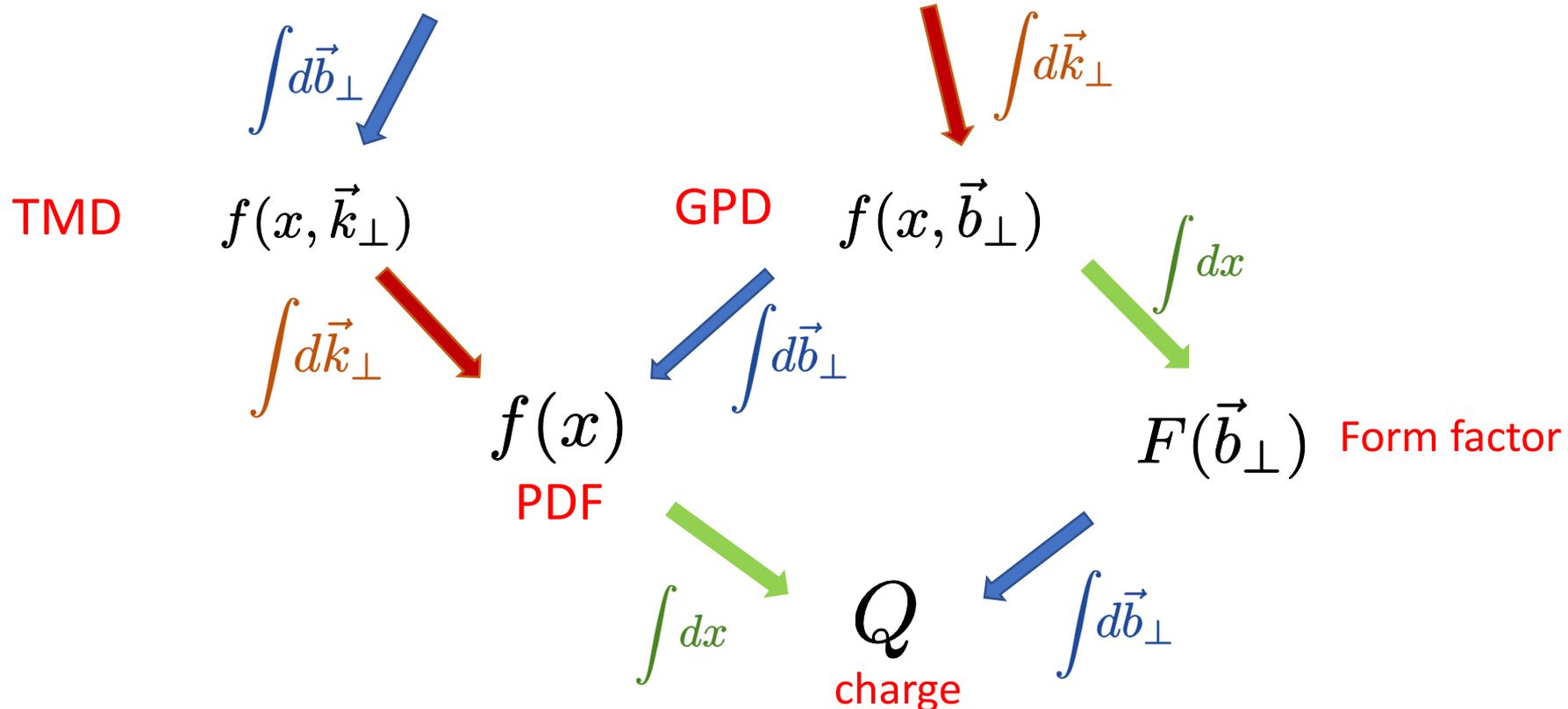


# PDF family tree

Wigner distribution—the ‘mother’ distribution

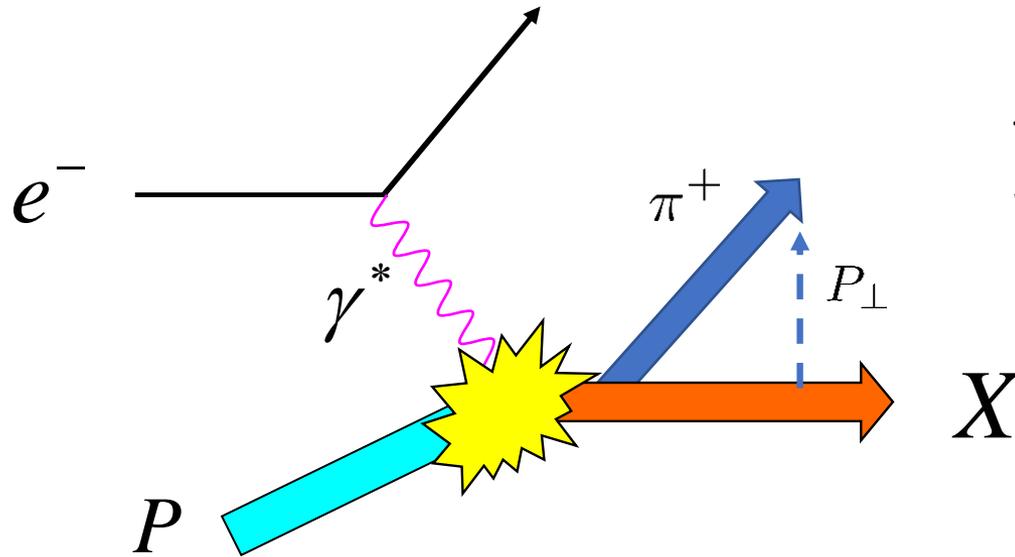
Belitsky, Ji, Yuan (2003)

$$W(x, \vec{k}_\perp, \vec{b}_\perp) = \int \frac{d^2 \Delta_\perp}{(2\pi)^2} e^{i\vec{b}_\perp \cdot \vec{\Delta}_\perp} \int \frac{dz^- d^2 z_\perp}{16\pi^3} e^{ixP^+ z^- - i\vec{k}_\perp \cdot \vec{z}_\perp} \langle P - \frac{\Delta}{2} | \bar{q}(-z/2) \gamma^+ q(z/2) | P + \frac{\Delta}{2} \rangle$$



# Semi-inclusive DIS

→ Talk by A. Prokudin



Tag one hadron species  
with fixed transverse momentum  $P_\perp$

When  $P_\perp$  is small, **TMD factorization**

Collins, Soper, Sterman;  
Ji, Ma, Yuan,...

$$\frac{d\sigma}{dP_\perp} = H(\mu) \int d^2q_\perp d^2k_\perp \underbrace{f(x, k_\perp, \mu, \zeta)}_{\text{TMD PDF}} \underbrace{D(z, q_\perp, \mu, Q^2/\zeta)}_{\text{TMD FF}} \delta^{(2)}(zk_\perp + q_\perp - P_\perp) + \dots$$

Open up a new class of observables where perturbative QCD is applicable!

# TMD is becoming precision physics

Define Fourier transform  $\int d^2 k_{\perp} e^{i k_{\perp} r_{\perp}} f(k_{\perp} \dots) = f(r_{\perp} \dots)$

## RG equation

$$\frac{\partial}{\partial \ln \mu} f(x, r_{\perp}, \mu, \zeta) = \gamma_F f(x, r_{\perp}, \mu, \zeta)$$

Known to three loops  
Moch, Vermaseren, Vogt (2005)

## Collins-Soper equation

$$\frac{\partial}{\partial \ln \zeta} f(x, r_{\perp}, \mu, \zeta) = -\mathcal{D}(r_{\perp}) f(x, r_{\perp}, \mu, \zeta)$$

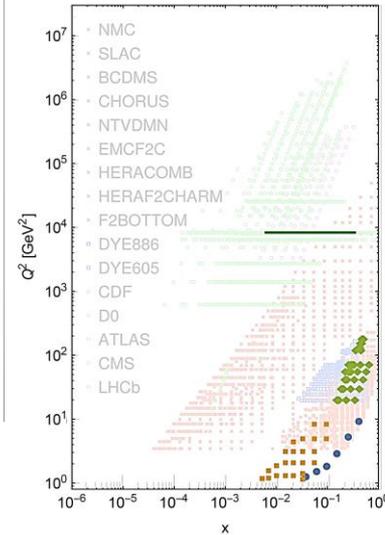
Recently computed to three loops  
Li, Zhu (2017); Vladimirov (2017)

Computable from lattice QCD at large  $r_{\perp}$   
Ebert, Stewart, Zhao (2018)

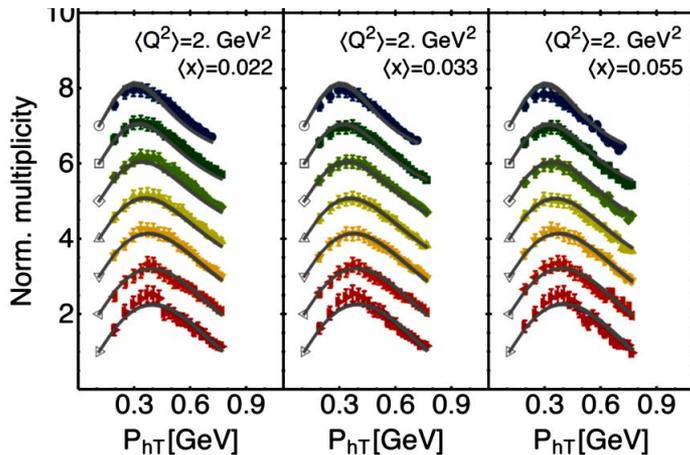
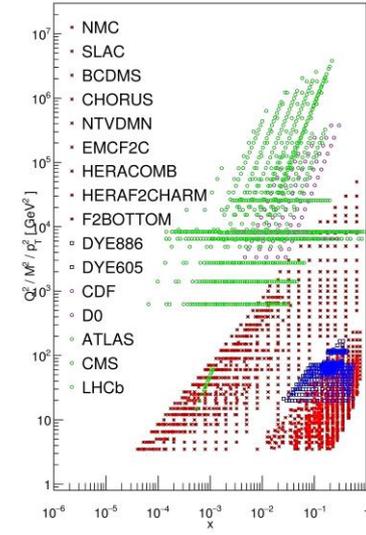
# TMD global analysis

	Framework	W+Y	HERMES	COMPASS	DY	Z production	N of points
KN 2006 <a href="#">hep-ph/0506225</a>	LO-NLL	W	✗	✗	✓	✓	98
QZ 2001 <a href="#">hep-ph/0506225</a>	NLO-NLL	W+Y	✗	✗	✓	✓	28 (?)
RESBOS <a href="#">resbos@msu</a>	NLO-NNLL	W+Y	✗	✗	✓	✓	>100 (?)
Pavia 2013 <a href="#">arXiv:1309.3507</a>	LO	W	✓	✗	✗	✗	1538
Torino 2014 <a href="#">arXiv:1312.6261</a>	LO	W	✓ (separately)	✓ (separately)	✗	✗	576 (H) 6284 (C)
DEMS 2014 <a href="#">arXiv:1407.3311</a>	NLO-NNLL	W	✗	✗	✓	✓	223
EIKV 2014 <a href="#">arXiv:1401.5078</a>	LO-NLL	W	1 (x, Q <sup>2</sup> ) bin	1 (x, Q <sup>2</sup> ) bin	✓	✓	500 (?)
SIYY 2014 <a href="#">arXiv:1406.3073</a>	NLO-NLL	W+Y	✗	✓	✓	✓	200 (?)
Pavia 2017 <a href="#">arXiv:1703.10157</a>	LO-NLL	W	✓	✓	✓	✓	8059
SV 2017 <a href="#">arXiv:1706.01473</a>	NNLO-NNLL	W	✗	✗	✓	✓	309
BSV 2019 <a href="#">arXiv:1902.08474</a>	NNLO-NNLL	W	✗	✗	✓	✓	457

TMD



PDF

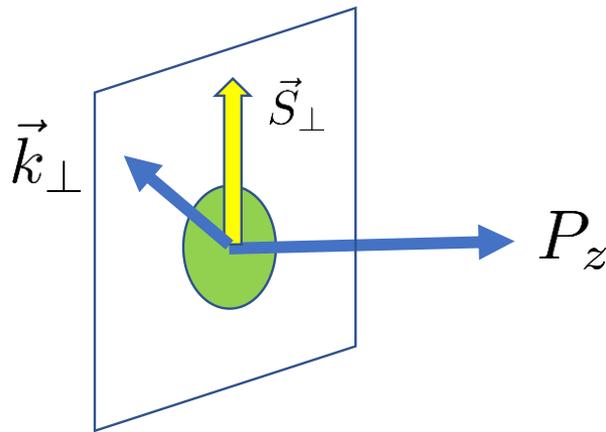


Still in its infancy.  
Fully blossoms in the EIC era!

# Universality up to a sign

Transversely separated bi-linear operator  $\bar{\psi}(r_{\perp})\dots\psi(0_{\perp})$   
 → Gauge link not unique.

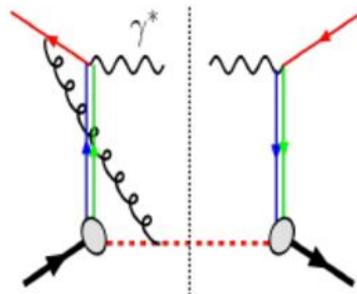
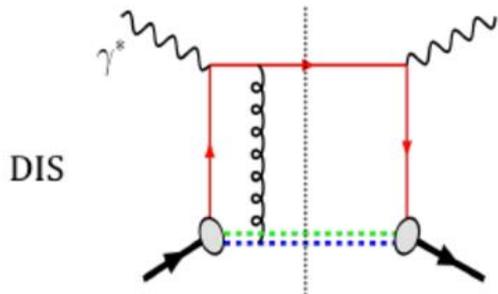
**Sivers function** for the transversely polarized nucleon



$$\sim \vec{S}_{\perp} \times \vec{k}_{\perp} f_{1T}^{\perp}(x, k_{\perp})$$

→ Single spin asymmetry

The same function, but with opposite signs in DIS and Drell-Yan. (Collins, 2002)



Test of time-reversal  
 symmetry in QCD

# Generalized parton distributions (GPD)

→ Talk by D. Sokhan

$$P^+ \int \frac{dy^-}{2\pi} e^{ixP^+y^-} \langle P' S' | \bar{\psi}(0) \gamma^\mu \psi(y^-) | PS \rangle$$

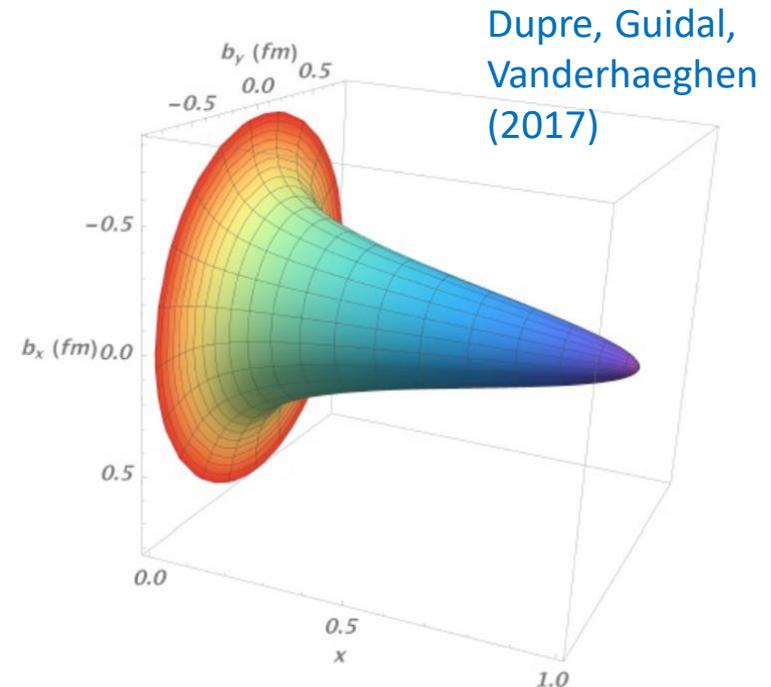
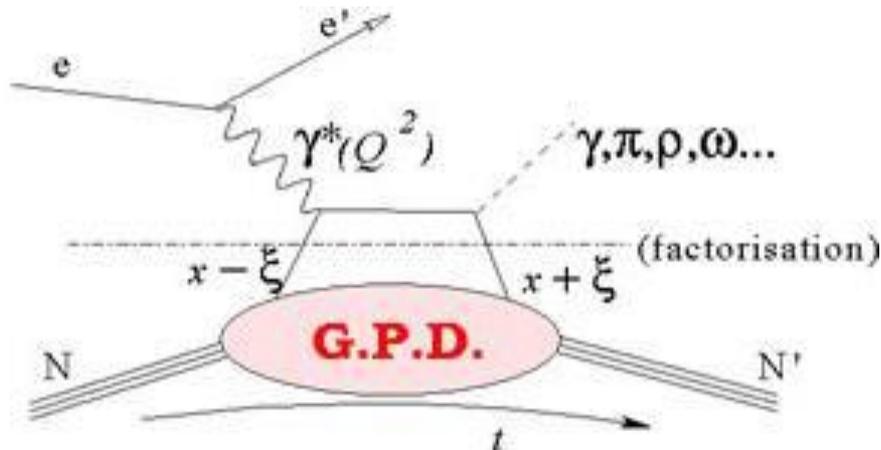
$$= H_q(x, \Delta) \bar{u}(P' S') \gamma^\mu u(PS) + E_q(x, \Delta) \bar{u}(P' S') \frac{i\sigma^{\mu\nu} \Delta_\nu}{2m} u(PS) \quad \Delta = P' - P$$



Fourier transform

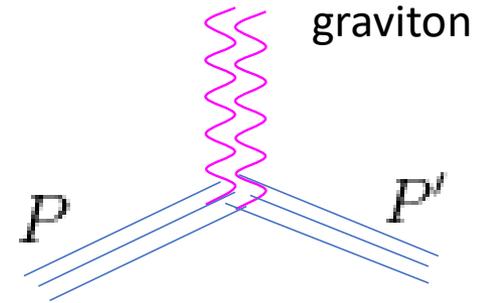
Distribution of partons in **impact parameter** space  $b_\perp$

Measurable in  
Deeply Virtual Compton Scattering (DVCS)



# Nucleon gravitational form factors

$$\langle P' | T_{q,g}^{\mu\nu} | P \rangle = \bar{u}(P') \left[ A_{q,g} \gamma^{(\mu} \bar{P}^{\nu)} + B_{q,g} \frac{\bar{P}^{(\mu} i \sigma^{\nu)\alpha} \Delta_\alpha}{2M} + D_{q,g} \frac{\Delta^\mu \Delta^\nu - g^{\mu\nu} \Delta^2}{4M} + \bar{C}_{q,g} M \eta^{\mu\nu} \right] u(P)$$



All the form factors are interesting and measurable!

$A, B$  Ji sum rule  $J_{q,g} = \frac{1}{2} \int dx (H_{q,g}(x) + E_{q,g}(x)) = \frac{1}{2} (A_{q,g} + B_{q,g})$

$D$  Pressure

$\bar{C}$  Mass, pressure

# D-term: the last global unknown

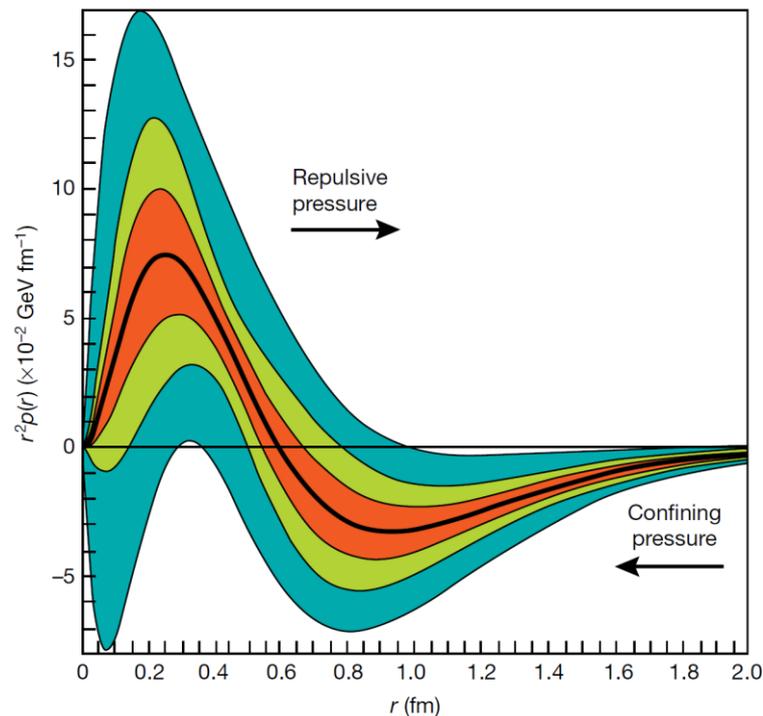
→ Talks by Elouadrhiri, Shanahan

$$\langle P' | T^{ij} | P \rangle \sim (\Delta^i \Delta^j - \delta^{ij} \Delta^2) D(t)$$

$D(t=0)$  is a conserved charge of the nucleon, just like mass and spin!

Related to the radial pressure distribution inside a nucleon [Polyakov, Schweitzer,...](#)

$$T^{ij}(r) = \left( \frac{r^i r^j}{r^2} - \frac{1}{3} \delta^{ij} \right) s(r) + \delta^{ij} p(r)$$



Burkert, Elouadrhiri, Girod (2018)  
see, also, Kumericki (2019)

First extraction at JLab, large model dependence.

Need significant lever-arm in  $Q^2$  to disentangle various moments of GPDs



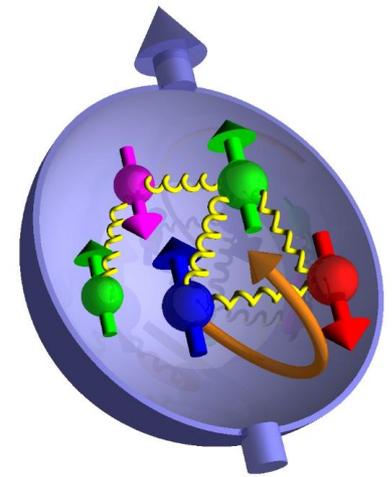
EIC

# Proton Spin

# Proton spin decomposition

The proton has spin  $\frac{1}{2}$ .

The proton is not an elementary particle.



➔ Jaffe-Manohar sum rule

$$\frac{1}{2} = \frac{1}{2} \Delta\Sigma + \Delta G + L^q + L^g$$

Quarks' helicity      Gluons' helicity      Orbital angular Momentum (OAM)

$$\Delta\Sigma = 1 \text{ in the quark model}$$

$$\Delta\Sigma = 0.25 \sim 0.3 \quad \text{'spin crisis'}$$

# Polarized PDF global analysis

Table by E. Nocera

## Recent determinations of polarised PDFs

	DSSV	NNPDF	JAM
DIS	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
SIDIS	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
$pp$	<input checked="" type="checkbox"/> (jets, $\pi^0$ )	<input checked="" type="checkbox"/> (jets, $W^\pm$ )	<input checked="" type="checkbox"/>
statistical treatment	Lagr. mult. $\Delta\chi^2/\chi^2 = 2\%$ Monte Carlo	Monte Carlo	Monte Carlo
parametrization	polynomial (23 pars)	neural network (259 pars)	polynomial (10 pars)
features	global fit	minimally biased fit	large- $x$ effects
latest updates	DSSV08 <a href="#">PRD 80 (2009) 034030</a> DSSV14 <a href="#">PRL 113 (2014) 012001</a>	NNPDFpol1.0 <a href="#">NPB 874 (2013) 36</a> NNPDFpol1.1 <a href="#">NPB 887 (2014) 276</a>	JAM15 <a href="#">PRD 93 (2016) 074005</a> JAM17 <a href="#">PRL 119 (2017) 132001</a>

# Evidence of nonzero $\Delta G$

$$\int_{0.05}^1 dx \Delta g(x, Q^2=10 \text{ GeV}^2) = 0.20^{+0.06}_{-0.07} \quad \text{DSSV++}$$

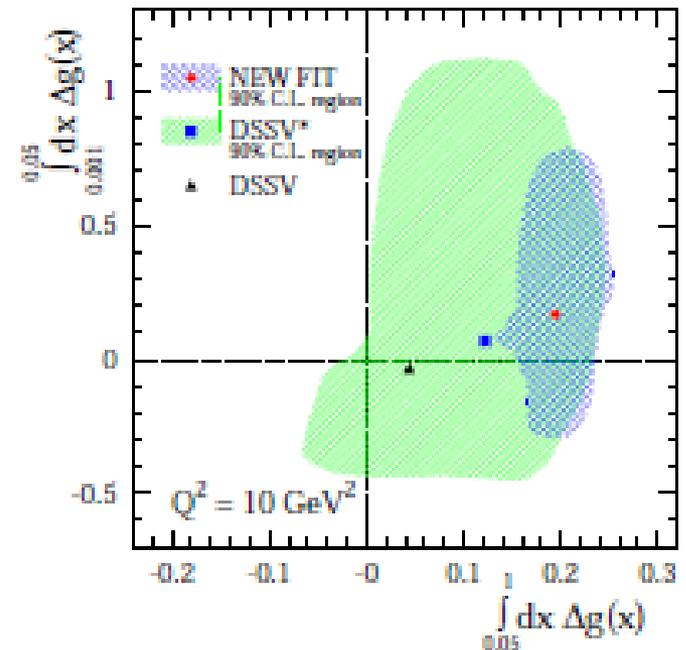
$$\int_{0.05}^1 dx \Delta g(x, Q^2=10 \text{ GeV}^2) = 0.17 \pm 0.06 \quad \text{NNPDFpol1.1}$$

$$\int_{0.001}^1 dx \Delta g(x, Q^2=1 \text{ GeV}^2) = 0.5 \pm 0.4 \quad \text{JAM15}$$

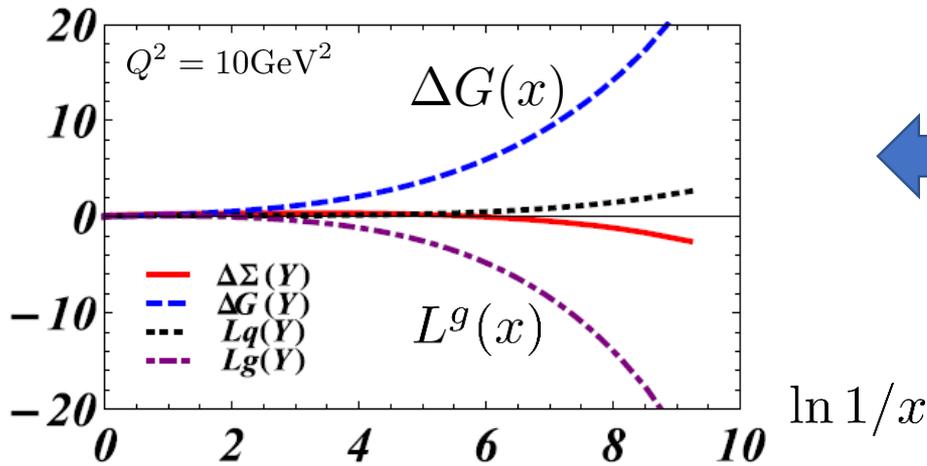
**HUGE** uncertainty from the small-x region

EIC will pin down the value of  $\Delta G$ ,  
 ....finally solve the spin puzzle?

**No!**



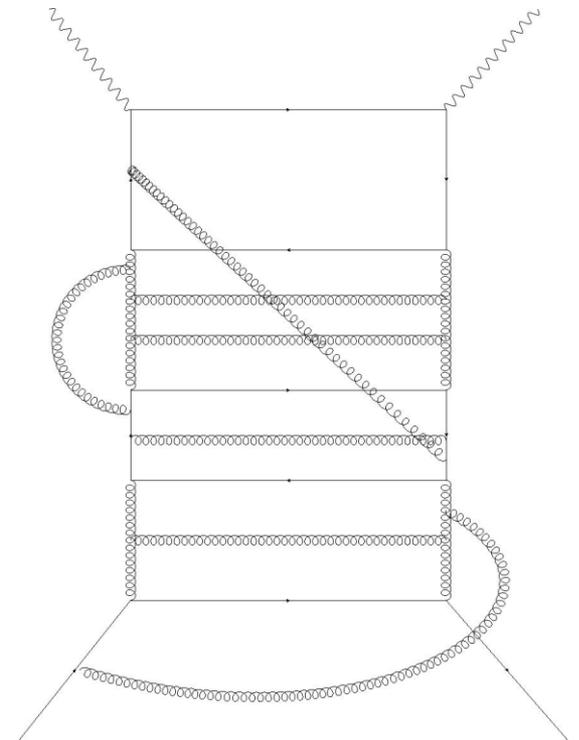
# Don't forget Orbital Angular Momentum. It's there!



Significant cancellation at small- $x$   
 from one-loop DGLAP  
 YH, Yang (2018)

All-loop resummation of small- $x$  double logarithms  
 $(\alpha_s \ln^2 1/x)^n$  gives [Boussarie, YH, Yuan \(2019\)](#)

$$L_g(x) \approx -2\Delta G(x)$$



# Measuring OAM at EIC

Ji, Yuan, Zhao (2016)

YH, Nakagawa, Xiao, Yuan, Zhao (2016)

Bhattacharya, Metz, Zhou (2017)

Exploit the connection between OAM and the **Wigner distribution**

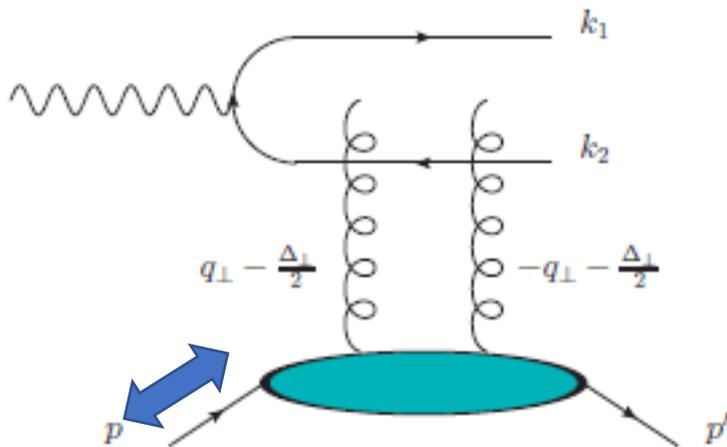
$$L^{q,g} = \int dx \int d^2b_{\perp} d^2k_{\perp} (\vec{b}_{\perp} \times \vec{k}_{\perp})_z W^{q,g}(x, \vec{b}_{\perp}, \vec{k}_{\perp})$$

Lorce, Pasquini

YH

Ji, Xiong, Yuan

Longitudinal single spin asymmetry in diffractive dijet production



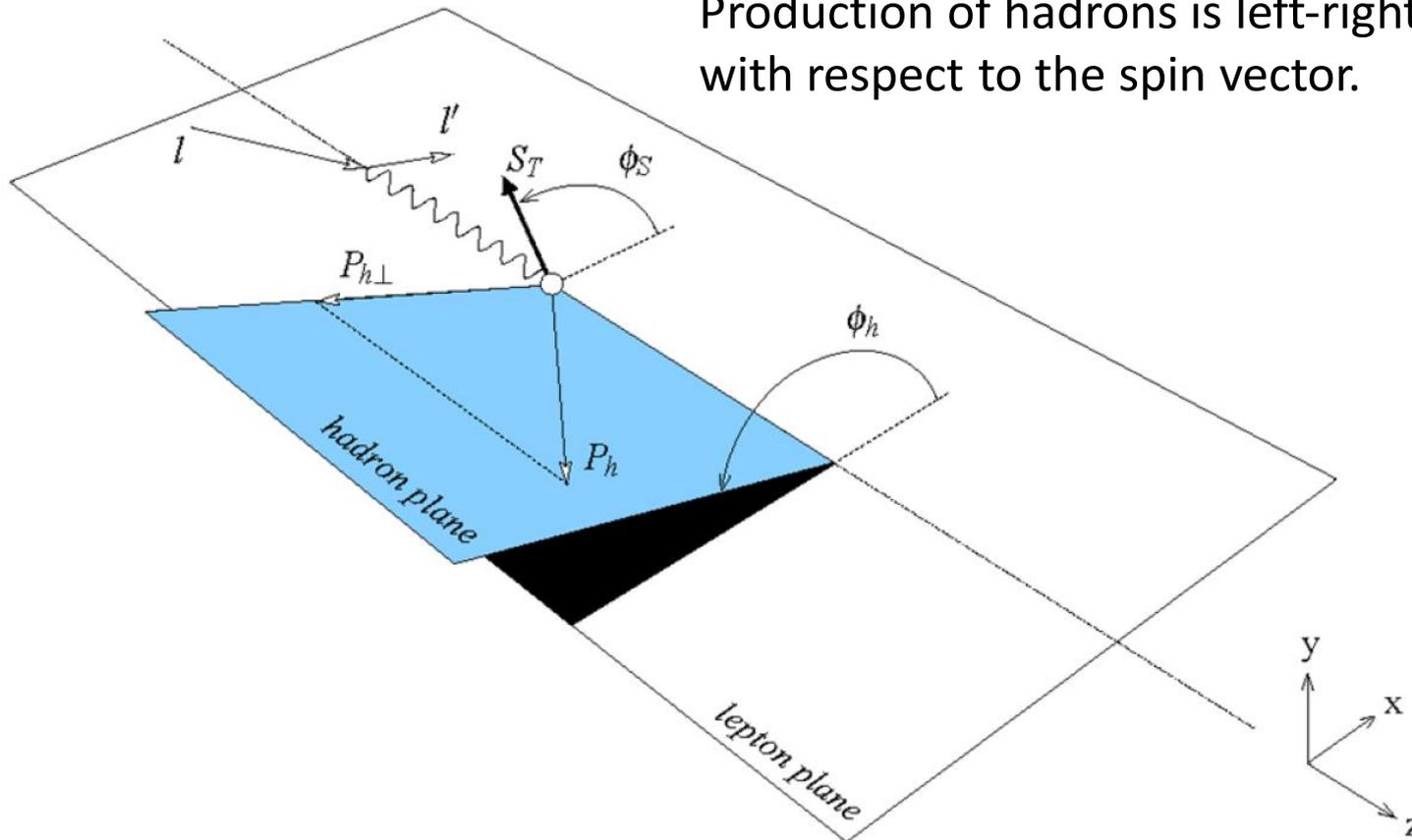
$$\sigma^{\rightarrow} - \sigma^{\leftarrow} \propto \sin(\phi_P - \phi_{\Delta})$$

proton recoil momentum

dijet relative momentum

# Single Spin Asymmetry (SSA) in SIDIS

Production of hadrons is left-right asymmetric with respect to the spin vector.



Known for 40 years.

Not yet fully understood.

New, surprising data still keep coming out.

# Origins of SSA : Quest for an 'i'

## Low-pT

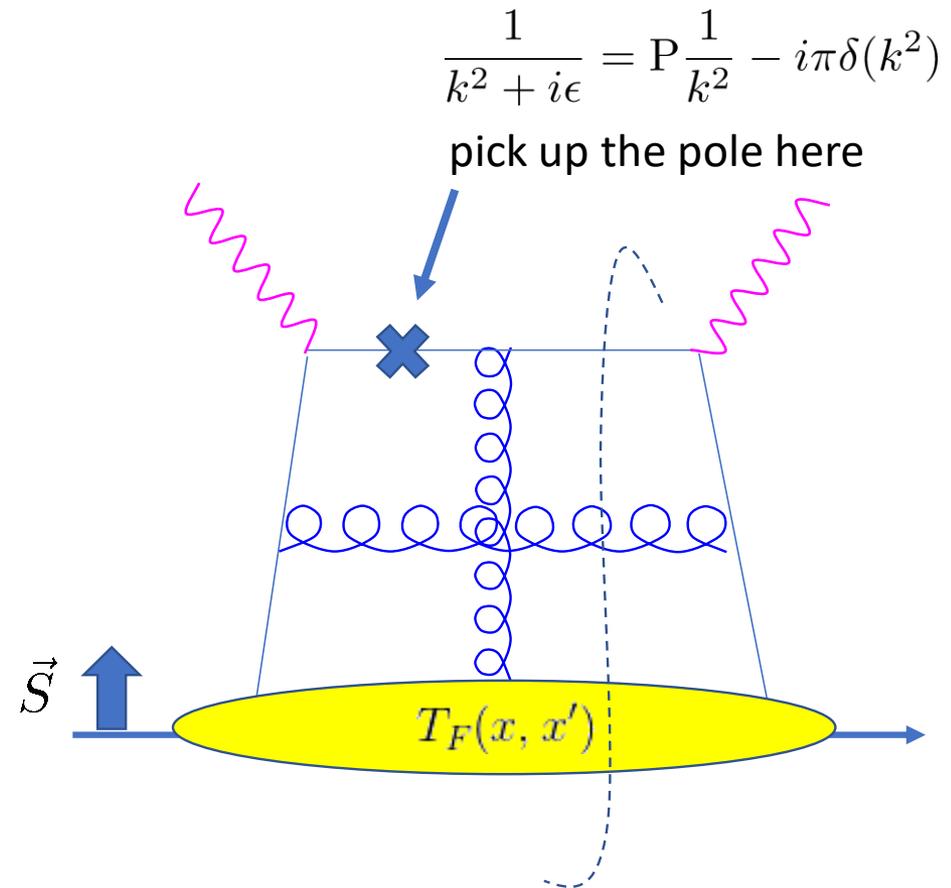
- Sivers
- Collins fragmentation

## High pT

- Soft fermion pole (Efremov-Teryaev)
- Soft gluon pole (Qiu-Sterman)
- Hard pole
- Twist-3 fragmentation



This is the dominant one?

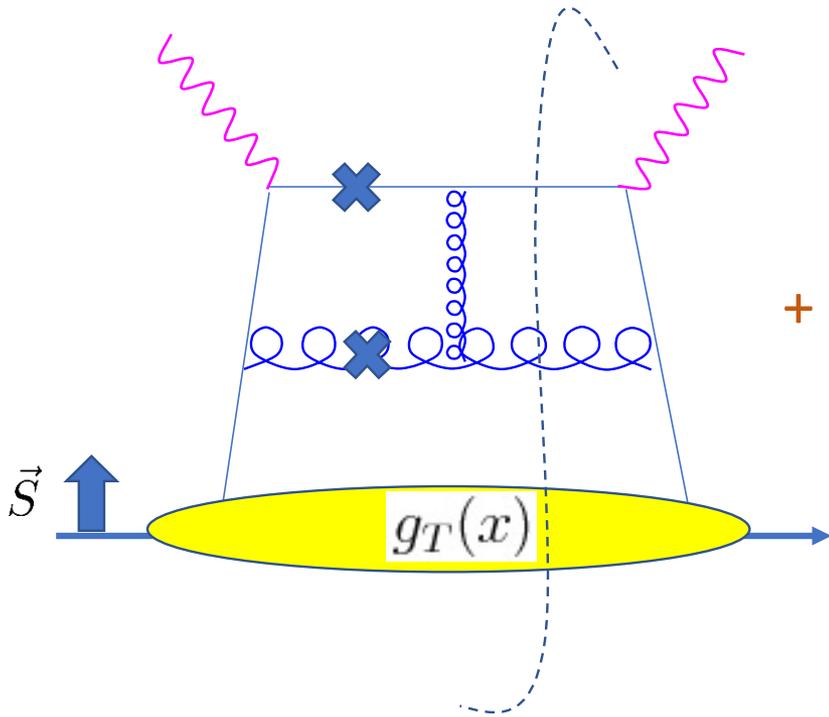


Kanazawa, Koike, Metz, Pitonyak (2014)

Gamberg, Kang, Pitonyak, Prokudin (2017)

# Yet another mechanism of SSA in SIDIS

Benic, YH, Li, Yang, 1909.10684



+ 11 other diagrams

SSA of purely perturbative origin,  
first appears at two-loops

Suppressed by  $\alpha_s$ , but  $g_T$   
contains Wandzura-Wilczek part.

$$\begin{aligned}
 d\sigma = & f_{1T}^\perp \otimes H_{\gamma^-, \gamma^+}^{(0)} \otimes D_1 + f_{1T}^\perp \otimes H_{\gamma^-, \gamma^x}^{(1)} \otimes D_1^\perp + f_{1T}^\perp \otimes H_{\gamma^-, \gamma_5 \gamma^x}^{(2)} \otimes G^\perp \\
 & + g_{1T} \otimes H_{\gamma_5 \gamma^-, \gamma^+}^{(2)} \otimes D_1 + g_{1T} \otimes H_{\gamma_5 \gamma^-, \gamma_5 \gamma^y}^{(1)} \otimes G^\perp + g_{1T} \otimes H_{\gamma_5 \gamma^-, \gamma^y}^{(2)} \otimes D_1^\perp \\
 & + h_1 \otimes H_{\gamma_5 \sigma^y-, \gamma_5 \sigma^y+}^{(0)} \otimes H_1^\perp + h_1 \otimes H_{\gamma_5 \sigma^y-, \gamma_5 \sigma^y x}^{(1)} \otimes H^* + h_1 \otimes H_{\gamma_5 \sigma^y-, I}^{(2)} \otimes E^* \\
 & + e_T \otimes H_{\gamma_5, \gamma_5 \sigma^y+}^{(1)} \otimes H_1^\perp + e_T^\perp \otimes H_{I, \gamma_5 \sigma^y+}^{(2)} \otimes H_1^\perp \\
 & + f_T \otimes H_{\gamma^y, \gamma^+}^{(1)} \otimes D_1 + g_T \otimes H_{\gamma_5 \gamma^y, \gamma^+}^{(2)} \otimes D_1 \\
 & + h_T^\perp \otimes H_{\gamma_5 \sigma^y x, \gamma_5 \sigma^y+}^{(1)} \otimes H_1^\perp + h_T \otimes H_{\gamma_5 \sigma^-, \gamma_5 \sigma^y+}^{(1)} \otimes H_1^\perp,
 \end{aligned}$$

Many other possibilities  
in kT-factorization up to  
2-parton, twist-3 TMDs.

# Gluon Sivers function

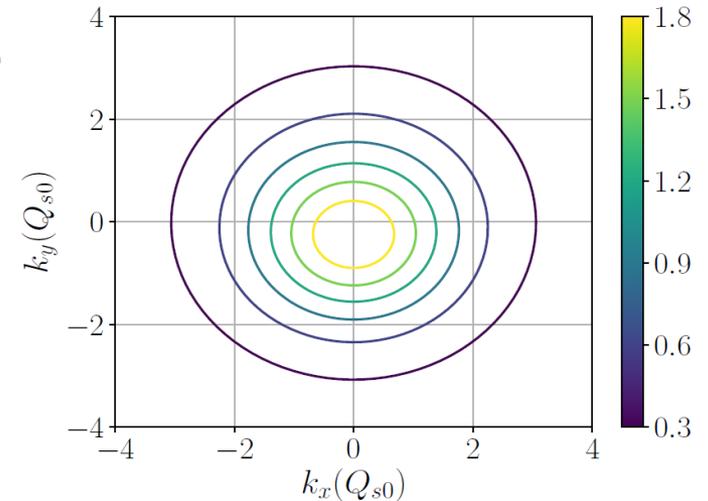
$$\frac{1}{xP^+} \int \frac{dz^- d^2 z_\perp}{(2\pi)^3} e^{-ixP^+ z^- + ik_\perp \cdot z_\perp} \langle PS_\perp | 2\text{Tr}[F^{+i}(z^-, z_\perp) U^{[\pm]} F^{+i}(0) U^{[\pm]}] | PS_\perp \rangle$$

$$= f_1^{[\pm\pm]}(x, k_\perp^2) - \frac{k_\perp \times S_\perp}{M} f_{1T}^{\perp[\pm\pm]}(x, k_\perp^2),$$

Expected to be dominant in SSA of high-mass states (Open charm, jets), SSA in the backward region Interesting physics cases at EIC [Zheng, Aschenauer, Lee, Xiao, Yin \(2018\)](#)

At small-x, proportional to the **Odderon** amplitude  
[Zhou \(2014\)](#)

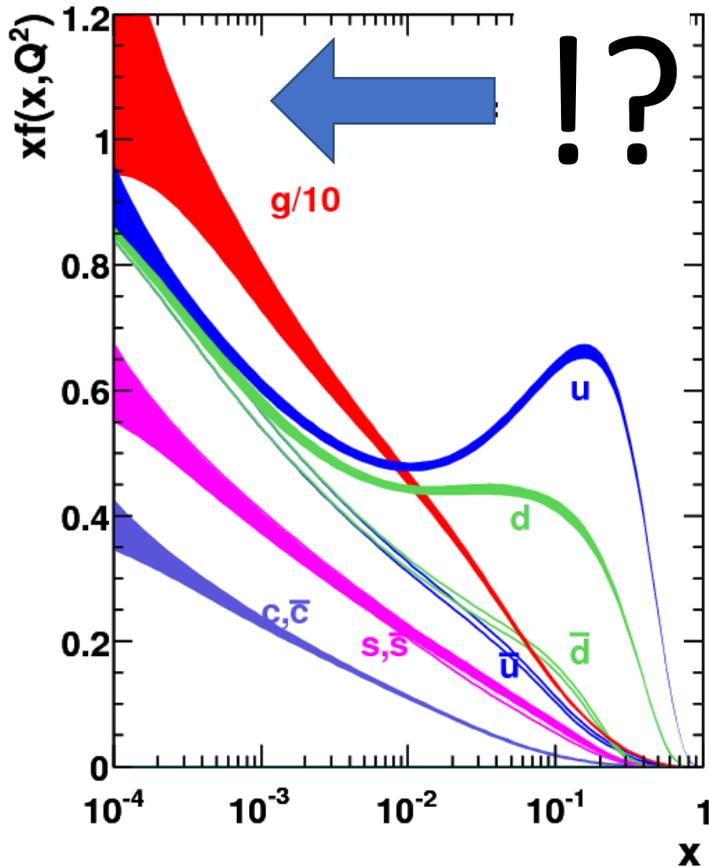
$$f_{1T}^{g\perp}(k) \propto \int d^2 r e^{ikr} \text{ImTr}[U_r U_0^\dagger]$$



[Yao, Hagiwara, YH \(2019\)](#)

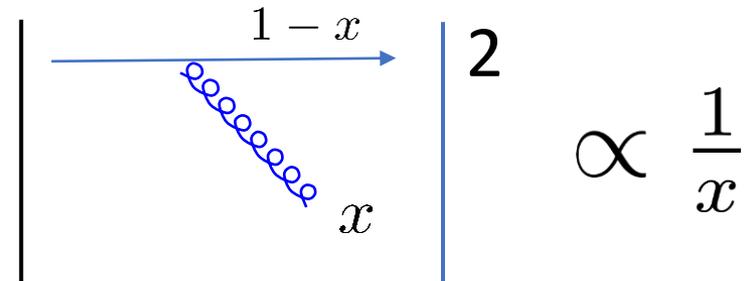
# Small-x

# QCD at small-x



as predicted by BFKL  
(Balitsky-Fadin-Kuraev-Lipatov)

Probability to emit a soft gluon diverges



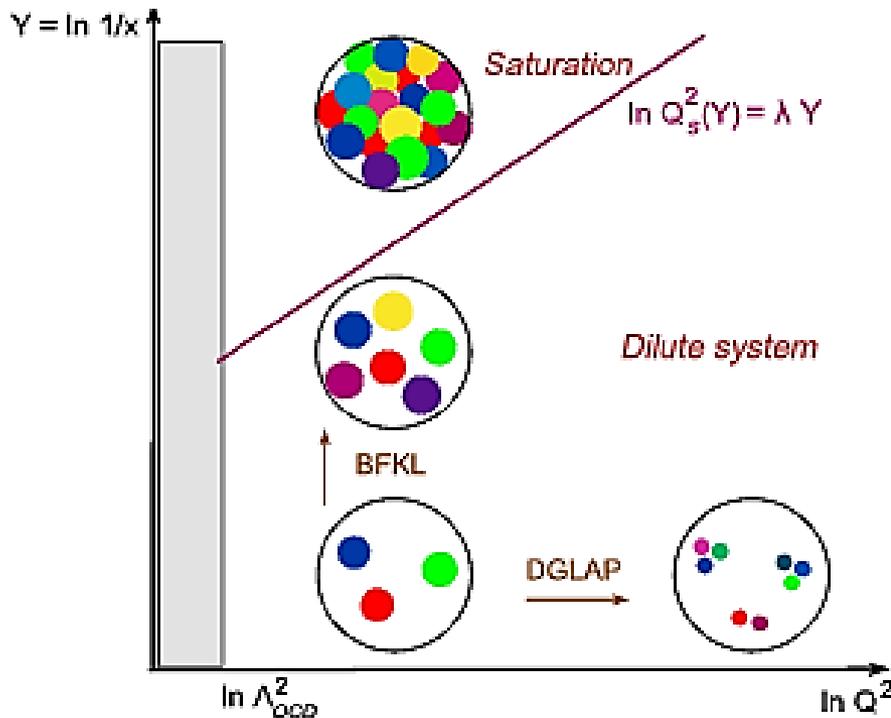
A myriad of small-x gluons  
in a high energy hadron/nucleus!

$$\sum_n \frac{1}{n!} (\alpha_s \ln 1/x)^n \sim \left(\frac{1}{x}\right)^{\alpha_s}$$

# Gluon saturation

The gluon number eventually saturates, forming the universal QCD matter at high energy called the **Color Glass Condensate**.

Gribov, Levin, Ryskin (1980); Mueller, Qiu (1986); McLerran, Venugopalan (1993)



Gluons overlap when

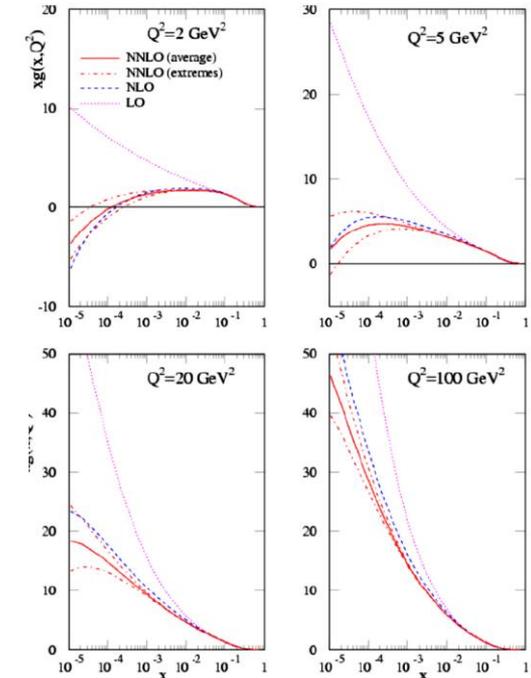
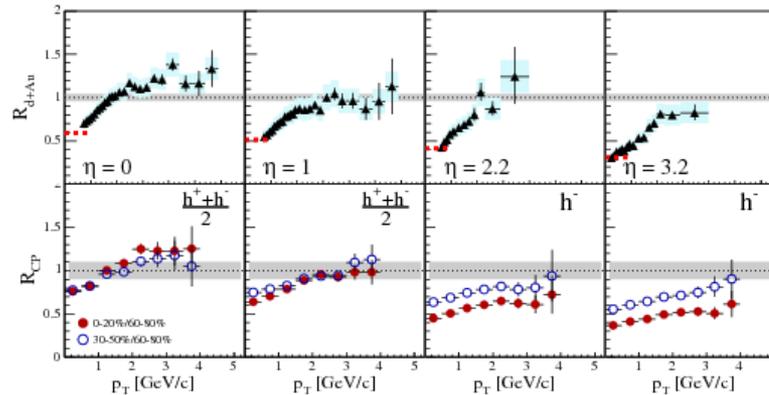
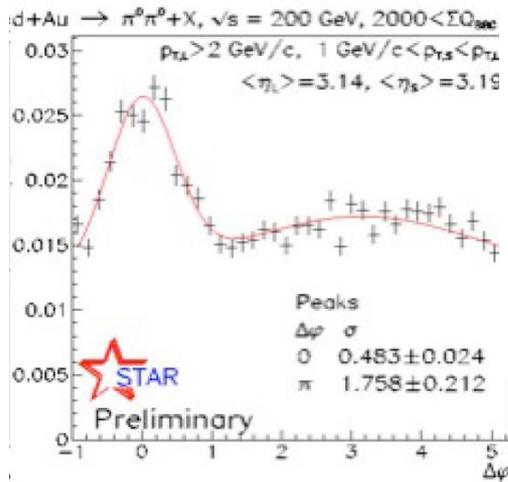
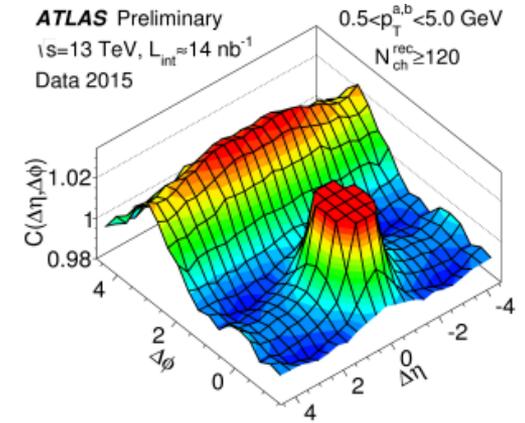
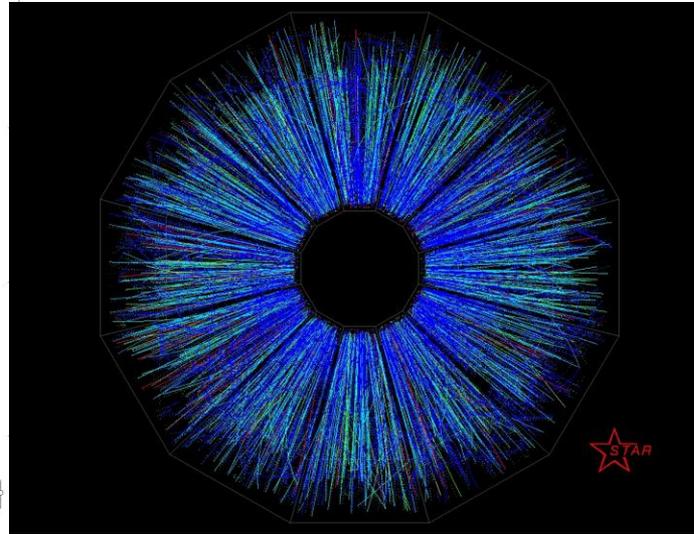
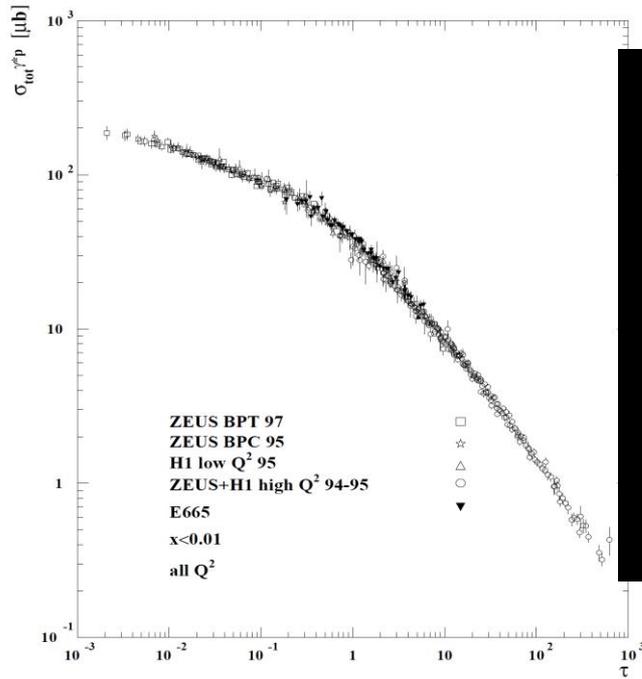
$$\frac{\alpha_s}{Q^2} x G(x, Q^2) = \pi R_p^2$$

The saturation momentum

$$Q = Q_s(x) \gg \Lambda_{QCD}$$

High density, but weakly coupled many-body problem

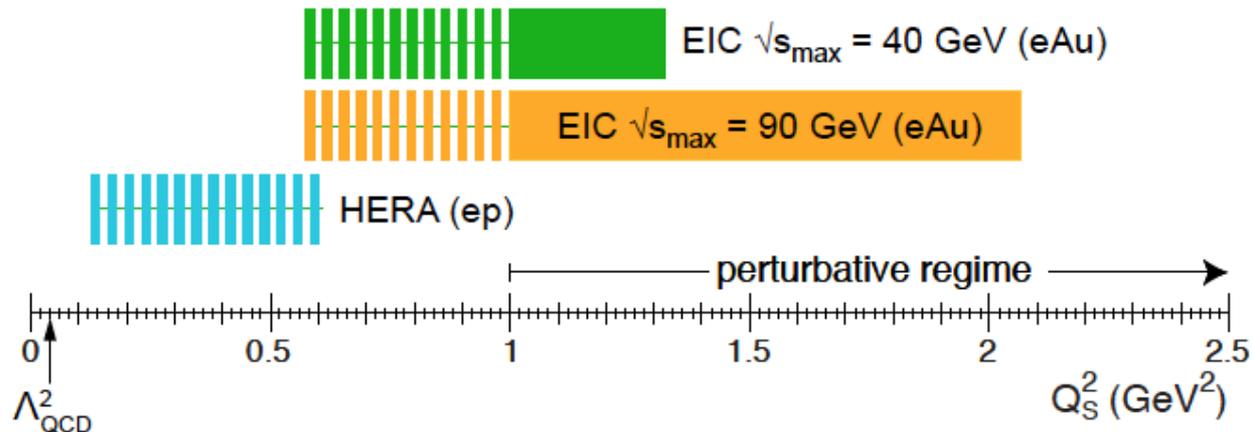
# Has saturation been observed at HERA, RHIC, LHC?



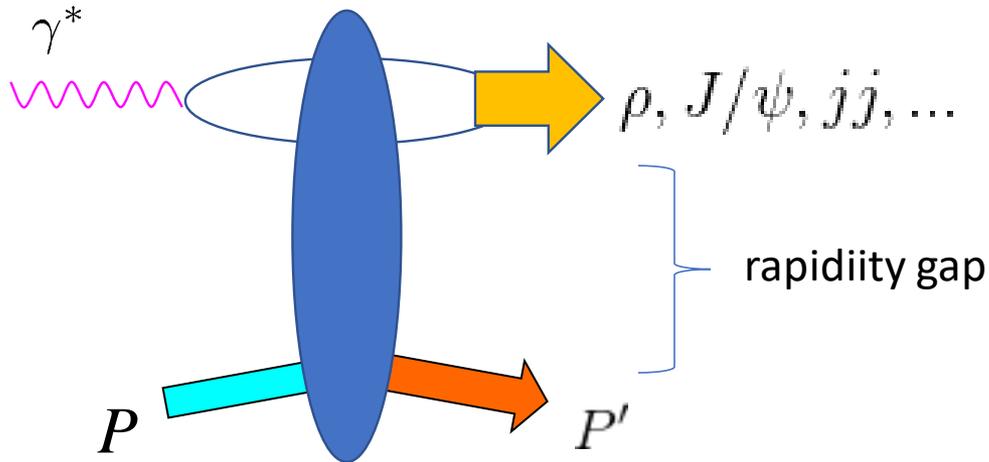
# Gluon saturation: where to look for?

- Use lepton beam (DIS)    No initial state interactions (advantage over LHC, RHIC)
- Go to higher energy  $\rightarrow$  LHeC, FCC-he, VHEeP     $x \sim 10^{-7}$
- Go to heavy nuclei  $\rightarrow$  EIC

Nuclear enhancement of the saturation momentum     $Q_s^2 \propto A^{1/3}$   
(advantage over HERA)



# Golden channel for saturation: Diffraction



Cross sections proportional to the **square** of the gluon distribution

→ More sensitive to saturation

- Total diffraction

[Kowalski, Lappi, Marquet, Venugopalan \(2008\)](#)

'Day 1 prediction'  $\frac{\sigma_{diff}}{\sigma_{tot}} \Big|_{eA} \approx 20\% > \frac{\sigma_{diff}}{\sigma_{tot}} \Big|_{ep}$

Nucleus stays intact in every 1 out of 5 events!

- Incoherent diffraction → Partonic fluctuations inside the proton (Good-Walker) [Schenke, Mantysaari \(2016\)](#)
- Exclusive diffractive dijet → Wigner distribution [YH, Xiao, Yuan \(2016\)](#)

# Can saturation become precision science?

- No all-order proof of factorization.  
`Leading order' already contains infinitely many diagrams with infinitely many twists.

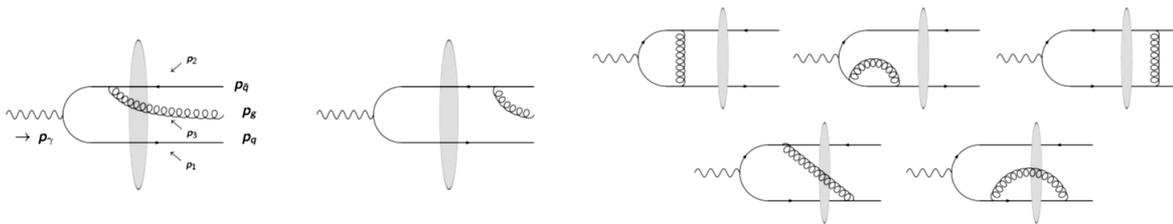
NLL Balitsky-Kovchegov (BK) [Balitsky, Chirilli \(2008\)](#)

NNLL BK [Caron-Huot, Herranen \(2016\)](#)

- Factorization should be checked order by order. Currently NLO for a few processes.

**E.g., exclusive diffractive dijet, vector mesons at NLO**

[Boussarie, Grabovsky, Szymanowski, Wallon \(2016\)](#)



- NLO `global analysis' of the dipole S-matrix? [cf. Albacete, Armesto, Milhano, Salgado \(2009\)](#)

# Proton mass

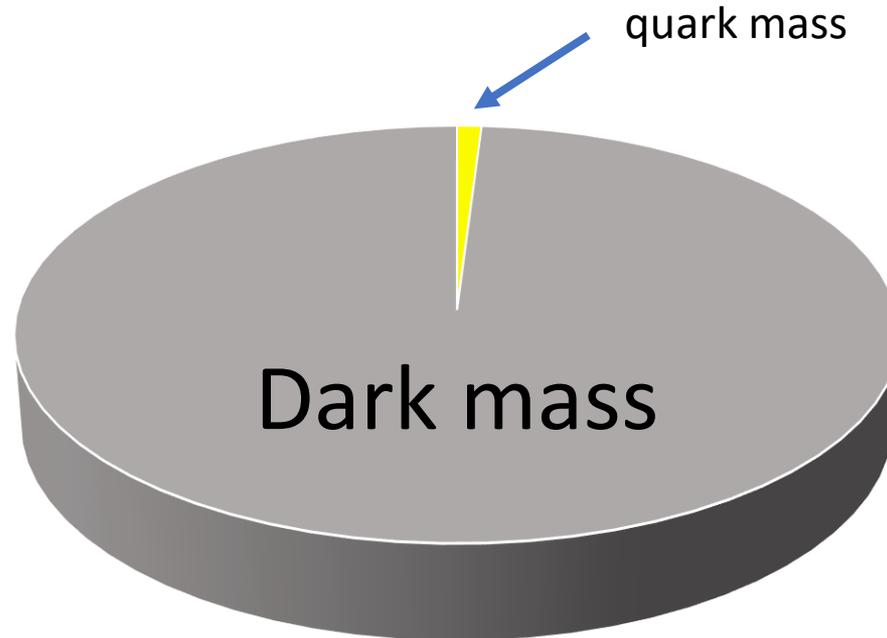
NAS report (July 2018)

**Finding 1:** An EIC can uniquely address three profound questions about nucleons—protons—and how they are assembled to form the nuclei of atoms:

- How does the mass of the nucleon arise?
- How does the spin of the nucleon arise?
- What are the emergent properties of dense systems of gluons?

# Proton mass crisis

u,d quark masses add up to  $\sim 10\text{MeV}$ , only 1 % of the proton mass!



Higgs mechanism explains quark masses, but not hadron masses!



Can we measure the gluon condensate  $\langle P|F^{\mu\nu}F_{\mu\nu}|P\rangle$  ?

The operator  $F^{\mu\nu}F_{\mu\nu}$  is twist-**four**,  
highly suppressed in high energy scattering.

Purely gluonic operator, very difficult to compute in lattice QCD

Instead, we should look at **low**-energy scattering.

Purely gluonic operator. Use **quarkonium** as a probe.

Luke-Manohar-Savage (1992)

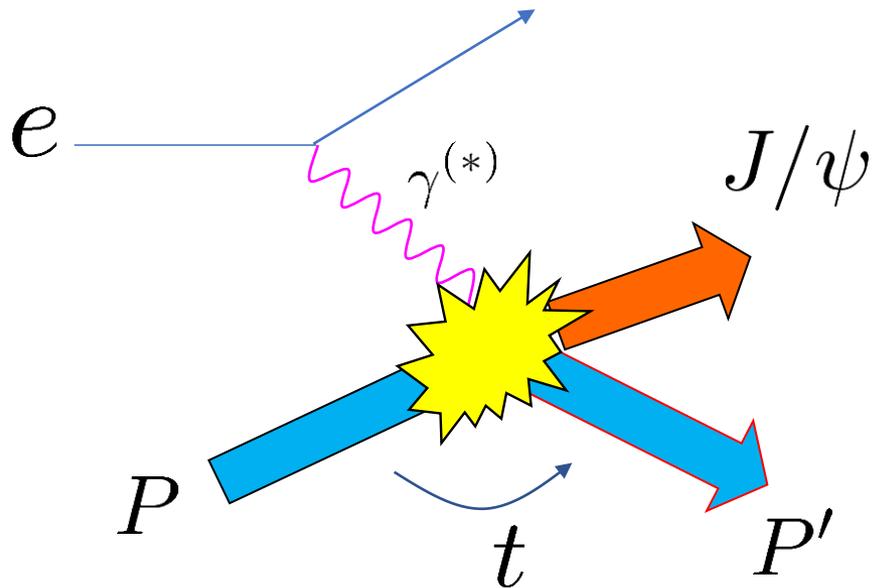
→  $J/\psi$  photo-production near threshold.

# Photo-production of $J/\psi$ near threshold

Kharzeev, Satz, Syamtomov, Zinovjev (1998)

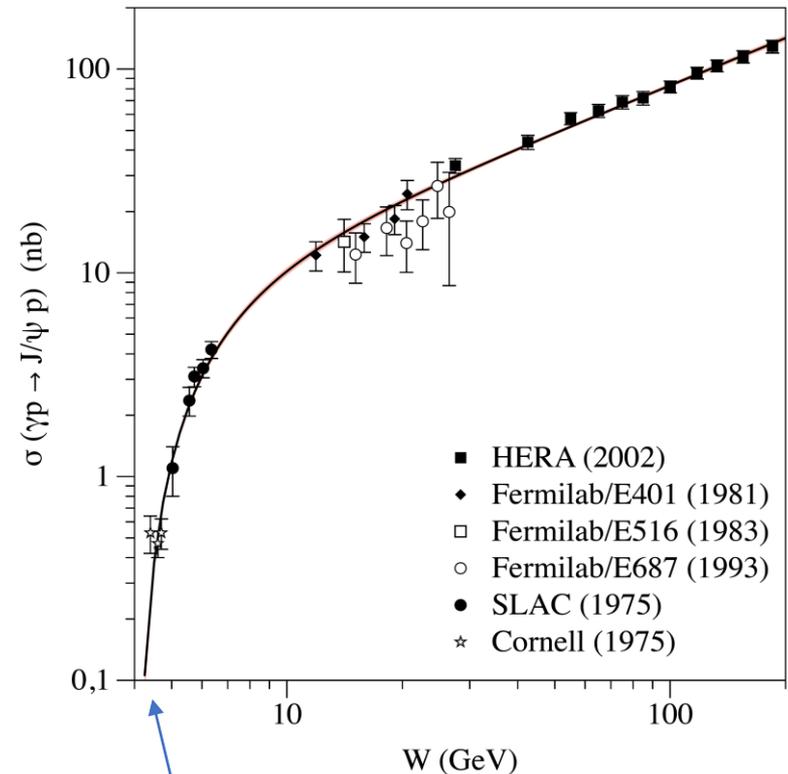
Brodsky, Chudakov, Hoyer, Laget (2000)

Sensitive to the matrix element  $\langle P' | F^{\mu\nu} F_{\mu\nu} | P \rangle$



Straightforward to measure.  
Ongoing experiments at JLab.

Difficult to compute from first principles  
(need nonperturbative approaches)



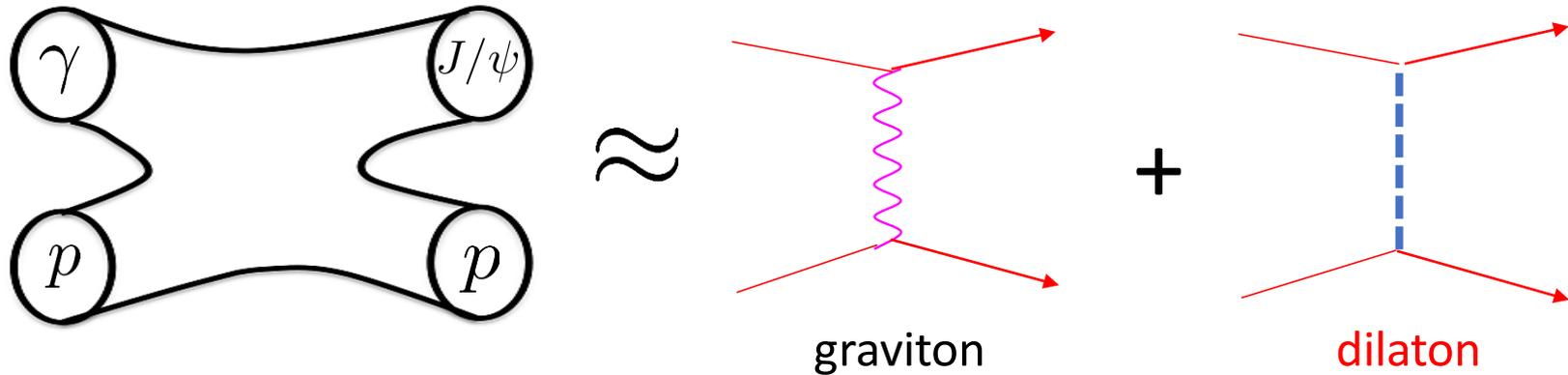
$W_{th} \approx 4.04 \text{ GeV}$

# Holographic approach

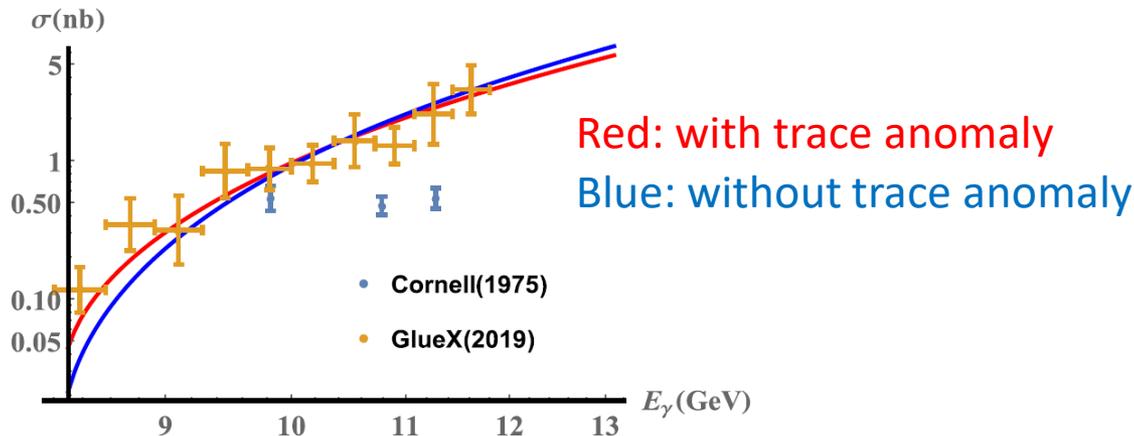
YH, Yang (2018)

YH, Rajan, Yang (2019)

The operator  $F^{\mu\nu} F_{\mu\nu}$  is dual to a massless string called **dilaton**



Fit of the latest JLab data [GlueX collaboration 1905.10811](#)



Amplitude proportional to the gravitational form factors.

$d\sigma/dt$  sensitive to the gluon D-term.

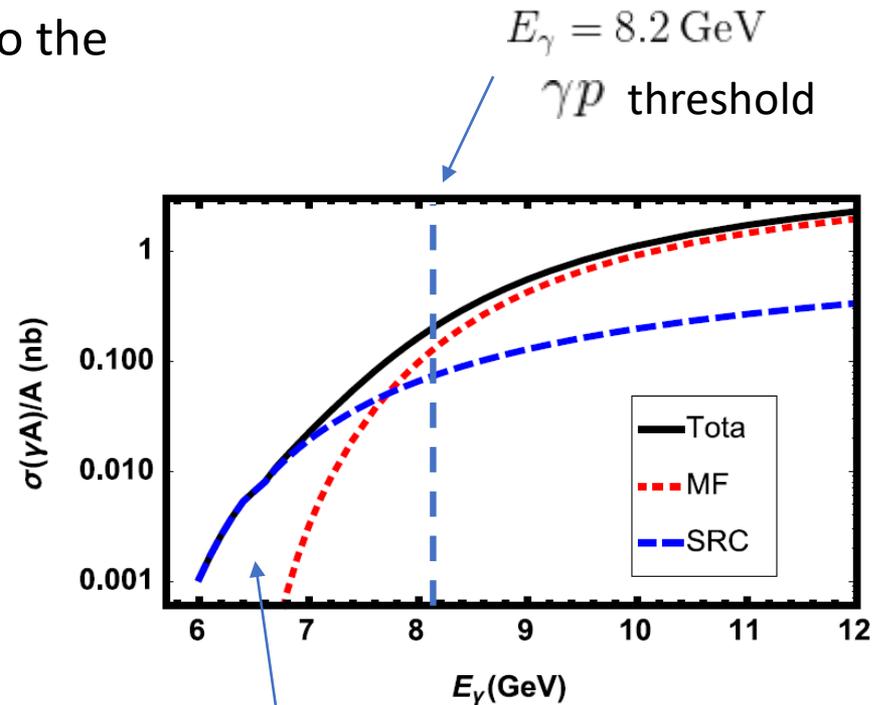
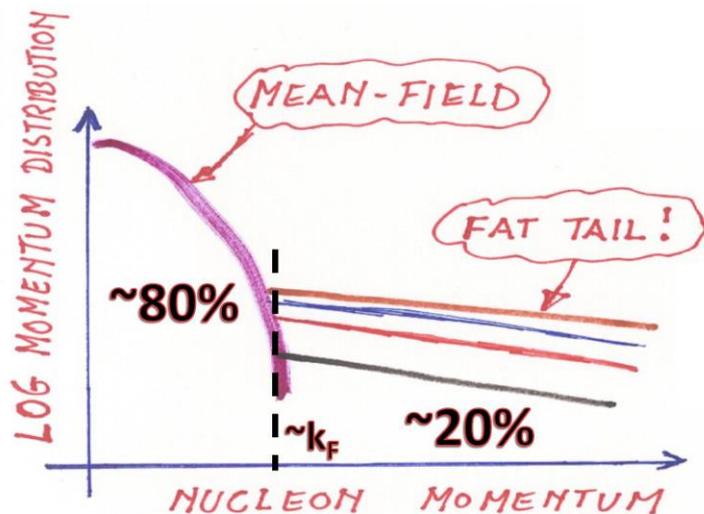
# Sub-threshold photo-production of $J/\psi$

Xu, Yuan (2019)

YH, Strikman, Xu, Yuan, in progress

If the target is a nucleus,  $J/\psi$  can be produced at lower photon energy

Cross section in this region is sensitive to the **short range correlation** in the target nucleus.



Completely dominated by the SRC contribution

# Conclusion

- In 10-15 years from now, DIS experiments will be running in the US, China and Europe. Exciting times ahead.
- EIC will significantly advance our knowledge of the nucleon/nuclei, the fundamental building blocks of the universe.
- Great opportunity for lattice QCD, too.