Polarized light ions

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in collaboration with Ch. Weiss JLab LDRD project on spectator tagging



Light ions at an electron-ion collider

- Nucleon structure
 - polarized deuteron spectator tagging
- Nucleon-nucleon interaction, coherence
- Imaging of light nuclei
- Experimental apparatus

Why focus on light ions at an EIC?

- Measurements with light ions address essential parts of the EIC physics program
 - neutron structure
 - nucleon interactions
 - coherent phenomena
- Light ions have unique features
 - polarized beams
 - breakup measurements & tagging
 - first principle theoretical calculations of initial state
- Intersection of two communities
 - high-energy scattering
 - low-energy nuclear structure

Use of light ions for high-energy scattering and QCD studies remains relatively unexplored

EIC design characteristics (for light ions)



Polarized light ions

- ▶ ³He, other @ eRHIC
- d, ³He, other @ JLEIC (figure 8)
- spin structure, polarized EMC, tensor pol, ...

CM energy $\sqrt{s_{eA}} = \sqrt{Z/A} 20 - 100 \text{GeV}$ DIS at $x \sim 10^{-3} - 10^{-1}$, $Q^2 \le 100 \text{GeV}^2$

High luminosity enables probing/measuring

- exceptional configurations in target
- multi-variable final states
- polarization observables
 - Forward detection of target beam remnants
 - diffractive and exclusive processes
 - coherent nuclear scattering
 - nuclear breakup and tagging
 - forward detectors integrated in designs

Light ions at EIC: physics objectives







Neutron structure

- flavor decomposition of quark PDFs/GPDs/TMDs
- flavor structure of the nucleon sea
- singlet vs non-singlet QCD evolution, leading/higher-twist effects

Nucleon interactions in QCD

- medium modification of quark/gluon structure
- QCD origin of short-range nuclear force
- nuclear gluons
- coherence and saturation

Imaging nuclear bound states

- imaging of quark-gluon degrees of freedom in nuclei through GPDs
- clustering in nuclei

Need to control nuclear configurations that play a role in these processes

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Theory: high-energy scattering with nuclei



- Interplay of two scales: high-energy scattering and low-energy nuclear structure. Virtual photon probes nucleus at fixed lightcone time $x^+ = x^0 + x^3$
- Scales can be separated using methods of light-front quantization and QCD factorization
- Tools for high-energy scattering known from ep
- Nuclear input: light-front momentum densities, spectral functions, overlaps with specific final states in breakup/tagging reactions
 - \blacktriangleright framework known for deuteron, can be extended to ³He
 - still low-energy nuclear physics, just formulated differently

Theory: nuclear structure calculations

First principle NR calculations available for light ions



- Controlled expansion and hierarchy using XEFT for twoand three- body forces
- Variety of methods: finite-basis, no-core SM, GFMC, lattice EFT
- Fadeev methods for ³He reactions

These tools need to be extended for applications in high-energy scattering

Needed for flavor separation, singlet vs non-singlet evolution etc.

EIC will measure **inclusive** DIS on light nuclei [*d*,³He, ³H(?)]

- Simple, no FSI effects
- Compare *n* from ³He \leftrightarrow *p* from ³H
- Comparison *n* from ³He, *d*

 Uncertainties limited by nuclear structure effects (binding, Fermi motion, non-nucleonic dof)

• ³He is in particular affected because of intrinsic Δs

If we want to aim for precision, use tools that avoid these complications

Proton tagging offers a way of controlling the nuclear configuration



Suited for colliders: no target material $(p_p \rightarrow 0)$, forward detection, polarization. fixed target CLAS BONuS limited to recoil momenta ~ 70 MeV

Pole extrapolation for on-shell nucleon structure



Allows to extract free neutron structure

- Recoil momentum p_R controls off-shellness of neutron $t' \equiv t m_N^2$
- Free neutron at pole $t m_N^2 \rightarrow 0$: "on-shell extrapolation"
- Small deuteron binding energy results in small extrapolation length
- Eliminates nuclear binding and FSI effects [Sargsian,Strikman PLB '05]

D-wave suppressed at on-shell point ightarrow neutron \sim 100% polarized

Precise measurements of neutron (spin) structure at an EIC

General expression of SIDIS for a polarized spin 1 target

► Tagged spectator DIS is SIDIS in the target fragmentation region

$$\vec{e} + \vec{T} \rightarrow e' + X + h$$

Dynamical model to express structure functions of the reaction

- First step: impulse approximation (IA) model
- Results for longitudinal spin asymmetries
- FSI corrections (unpolarized Strikman, Weiss PRC '18)
- Light-front structure of the deuteron
 - Natural for high-energy reactions as off-shellness of nucleons in LF quantization remains finite

Polarized spin 1 particle

Spin state described by a 3*3 density matrix in a basis of spin 1 states polarized along the collinear virtual photon-target axis

$$W_D^{\mu\nu} = Tr[\rho_{\lambda\lambda'}W^{\mu\nu}(\lambda'\lambda)]$$

Characterized by 3 vector and 5 tensor parameters

$$\mathcal{S}^{\mu} = \langle \hat{W}^{\mu}
angle$$
, $T^{\mu
u} = rac{1}{2} \sqrt{rac{2}{3}} \langle \hat{W}^{\mu} \hat{W}^{
u} + \hat{W}^{
u} \hat{W}^{\mu} + rac{4}{3} \left(\mathcal{g}^{\mu
u} - rac{\hat{P}^{\mu} \hat{P}^{
u}}{M^2}
ight)
angle$

Split in longitudinal and transverse components

$$\rho_{\lambda\lambda'} = \frac{1}{3} \begin{bmatrix} 1 + \frac{3}{2}S_L + \sqrt{\frac{3}{2}}T_{LL} & \frac{3}{2\sqrt{2}}S_T e^{-i(\phi_h - \phi_S)} & \sqrt{\frac{3}{2}}T_{TT} e^{-i(2\phi_h - 2\phi_{T_T})} \\ & -\sqrt{3}T_{LT} e^{-i(\phi_h - \phi_{T_L})} & \\ \frac{3}{2\sqrt{2}}S_T e^{i(\phi_h - \phi_S)} & 1 - \sqrt{6}T_{LL} & \frac{3}{2\sqrt{2}}S_T e^{-i(\phi_h - \phi_S)} \\ & -\sqrt{3}T_{LT} e^{i(\phi_h - \phi_{T_L})} & & +\sqrt{3}T_{LT} e^{-i(\phi_h - \phi_{T_L})} \\ \sqrt{\frac{3}{2}}T_{TT} e^{i(2\phi_h - 2\phi_{T_T})} & \frac{3}{2\sqrt{2}}S_T e^{i(\phi_h - \phi_S)} & 1 - \frac{3}{2}S_L + \sqrt{\frac{3}{2}}T_{LL} \\ & +\sqrt{3}T_{LT} e^{i(\phi_h - \phi_{T_L})} & \end{bmatrix}$$

Can be formulated in **covariant** manner $\rightarrow \rho^{\mu\nu} = \sum_{\lambda\lambda'} \epsilon^{*\mu}(\lambda') \epsilon^{\nu}(\lambda) \rho_{\lambda\lambda'}$

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Spin 1 SIDIS: General structure of cross section

To obtain structure functions, enumerate all possible tensor structures that obey hermiticity and transversality condition (qW = Wq = 0)
 Cross section has 41 structure functions.

$$\frac{d\sigma}{dx dQ^2 d\phi_{l'}} = \frac{y^2 \alpha^2}{Q^4 (1-\epsilon)} \left(F_U + F_S + F_T\right) d\Gamma_{P_h} \,,$$

▶ U + S part identical to spin 1/2 case [Bacchetta et al. JHEP ('07)]

$$F_{U} = F_{UU,T} + \epsilon F_{UU,L} + \sqrt{2\epsilon(1+\epsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} + \epsilon \cos 2\phi_h F_{UU}^{\cos 2\phi_h} + \frac{h}{\sqrt{2\epsilon(1-\epsilon)}} \sin\phi_h F_{LU}^{\sin\phi_h}$$

$$\begin{split} F_{S} &= S_{L} \left[\sqrt{2\epsilon(1+\epsilon)} \sin \phi_{h} F_{US_{L}}^{\sin \phi_{h}} + \epsilon \sin 2\phi_{h} F_{US_{L}}^{\sin 2\phi_{h}} \right] \\ &+ S_{L} h \left[\sqrt{1-\epsilon^{2}} F_{LS_{L}} + \sqrt{2\epsilon(1-\epsilon)} \cos \phi_{h} F_{LS_{L}}^{\cos \phi_{h}} \right] \\ &+ S_{\perp} \left[\sin(\phi_{h} - \phi_{S}) \left(F_{US_{T},T}^{\sin(\phi_{h} - \phi_{S})} + \epsilon F_{US_{T},L}^{\sin(\phi_{h} - \phi_{S})} \right) + \epsilon \sin(\phi_{h} + \phi_{S}) F_{US_{T}}^{\sin(\phi_{h} + \phi_{S})} \\ &+ \epsilon \sin(3\phi_{h} - \phi_{S}) F_{US_{T}}^{\sin(3\phi_{h} - \phi_{S})} + \sqrt{2\epsilon(1+\epsilon)} \left(\sin \phi_{S} F_{US_{T}}^{\sin \phi_{S}} + \sin(2\phi_{h} - \phi_{S}) F_{US_{T}}^{\sin(2\phi_{h} - \phi_{S})} \right) \right] \\ &+ S_{\perp} h \left[\sqrt{1-\epsilon^{2}} \cos(\phi_{h} - \phi_{S}) F_{LS_{T}}^{\cos(\phi_{h} - \phi_{S})} + \\ & \sqrt{2\epsilon(1-\epsilon)} \left(\cos \phi_{S} F_{LS_{T}}^{\cos \phi_{S}} + \cos(2\phi_{h} - \phi_{S}) F_{LS_{T}}^{\cos(2\phi_{h} - \phi_{S})} \right) \right] , \end{split}$$

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ight) d\Gamma_{P_h}$$
 ,

> 23 SF unique to the spin 1 case (tensor pol.), 4 survive in inclusive (b_{1-4}) [Hoodbhoy, Jaffe, Manohar PLB'88]

$$\begin{aligned} F_{T} &= T_{LL} \left[F_{UT_{LL},T} + \epsilon F_{UT_{LL},L} + \sqrt{2\epsilon(1+\epsilon)} \cos \phi_{h} F_{UT_{LL}}^{\cos \phi_{h}} + \epsilon \cos 2\phi_{h} F_{UT_{LL}}^{\cos 2\phi_{h}} \right] \\ &+ T_{LL} h \sqrt{2\epsilon(1-\epsilon)} \sin \phi_{h} F_{LT_{LL}}^{\sin \phi_{h}} \\ &+ T_{L\perp} \left[\cdots \right] + T_{L\perp} h \left[\cdots \right] \\ &+ T_{L\perp} \left[\cos(2\phi_{h} - 2\phi_{T_{\perp}}) \left(F_{UT_{TT},T}^{\cos(2\phi_{h} - 2\phi_{T_{\perp}})} + \epsilon F_{UT_{TT},L}^{\cos(2\phi_{h} - 2\phi_{T_{\perp}})} \right) \right. \\ &+ \epsilon \cos 2\phi_{T_{\perp}} F_{UT_{TT}}^{\cos 2\phi_{T_{\perp}}} + \epsilon \cos(4\phi_{h} - 2\phi_{T_{\perp}}) F_{UT_{TT}}^{\cos(4\phi_{h} - 2\phi_{T_{\perp}})} \\ &+ \sqrt{2\epsilon(1+\epsilon)} \left(\cos(\phi_{h} - 2\phi_{T_{\perp}}) F_{UT_{TT}}^{\cos(\phi_{h} - 2\phi_{T_{\perp}})} + \cos(3\phi_{h} - 2\phi_{T_{\perp}}) F_{UT_{TT}}^{\cos(3\phi_{h} - 2\phi_{T_{\perp}})} \right) \right] \\ &+ T_{\perp\perp} h \left[\cdots \right] \end{aligned}$$

Tagged DIS with deuteron: model for the IA



 Hadronic tensor can be written as a product of nucleon hadronic tensor with deuteron light-front densities

$$W_D^{\mu\nu}(\lambda',\lambda) = 4(2\pi)^3 \frac{\alpha_R}{2-\alpha_R} \sum_{i=U,z,x,y} W_{N,i}^{\mu\nu} \rho_D^i(\lambda',\lambda),$$

$\begin{array}{l} \mbox{All SF can be written as} \\ F_{ij}^k = \{ \mbox{kin. factors} \} \times \{ F_{1,2}(\tilde{x}, Q^2) \mbox{or } g_{1,2}(\tilde{x}, Q^2) \} \times \{ \mbox{bilinear forms} \\ \mbox{in deuteron radial wave function } f_0(k) \mbox{[S-wave]}, f_2(k) \mbox{[D-wave]} \} \end{array}$

• In the IA the following structure functions are ${\it zero} \rightarrow {\it sensitive}$ to FSI

- beam spin asymmetry $[F_{LU}^{\sin \phi_h}]$
- target vector polarized single-spin asymmetry [8 SFs]
- target tensor polarized double-spin asymmetry [7 SFs]

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Deuteron light-front wave function



- Up to momenta of a few 100 MeV dominated by NN component
- Can be evaluated in LFQM [Coester,Keister,Polyzou et al.] or covariant Feynman diagrammatic way [Frankfurt,Sargsian,Strikman]
- One obtains a Schrödinger (non-rel) like eq. for the wave function components, rotational invariance recovered
- Light-front WF obeys baryon and momentum sum rule

$$\Psi_{\lambda}^{D}(\boldsymbol{k}_{f},\lambda_{1},\lambda_{2}) = \sqrt{E_{k_{f}}} \sum_{\lambda_{1}^{\prime}\lambda_{2}^{\prime}} \mathcal{D}_{\lambda_{1}\lambda_{1}^{\prime}}^{\frac{1}{2}} [R_{fc}(k_{1_{f}}^{\mu}/m_{N})] \mathcal{D}_{\lambda_{2}\lambda_{2}^{\prime}}^{\frac{1}{2}} [R_{fc}(k_{2_{f}}^{\mu}/m_{N})] \Phi_{\lambda}^{D}(\boldsymbol{k}_{f},\lambda_{1}^{\prime},\lambda_{2}^{\prime})$$

- Differences with non-rel wave function:
 - appearance of the Melosh rotations to account for light-front quantized nucleon states
 - ▶ k_f is the relative 3-momentum of the nucleons in the light-front boosted rest frame of the free 2-nucleon state (so not a "true" kinematical variable)

Tagging: unpolarized neutron structure



JLab LDRD arXiv:1407.3236, arXiv:1409.5768, https://www.jlab.org/theory/tag/

 $\alpha_R = 2p_R^+/p_D^+$

- *F*_{2n} extracted with percent-level accuracy at x < 0.1
- Uncertainty mainly systematic due to intrinsic momentum spread in beam (JLab LDRD project: detailed estimates)
- In combination with proton data non-singlet $F_{2p} - F_{2n}$, sea quark flavor asymmetry $\bar{d} - \bar{u}$

Polarized structure function: longitudinal asymmetry

 $\lambda_e = \pm 1/2 \qquad \lambda_d = \pm 1, 0$

- On-shell extrapolation of double spin asymmetry
 - Nominator

 $d\sigma_{||} \equiv \frac{1}{4} \left[d\sigma(+\frac{1}{2},+1) - d\sigma(-\frac{1}{2},+1) - d\sigma(+\frac{1}{2},-1) + d\sigma(-\frac{1}{2},-1) \right]$ Two possible denominators: 3-state and 2-state

$$\begin{split} d\sigma_3 &\equiv \frac{1}{6} \sum_{\Lambda_e} \left[\mathrm{d}\sigma(\Lambda_e, +1) + \mathrm{d}\sigma(\Lambda_e, -1) + \mathrm{d}\sigma(\Lambda_e, 0) \right] \\ d\sigma_2 &\equiv \frac{1}{4} \sum_{\Lambda_e} \left[\mathrm{d}\sigma(\Lambda_e, +1) + \mathrm{d}\sigma(\Lambda_e, -1) \right] \end{split}$$

Asymmetries: tensor polarization enters in 2-state one

$$A_{||,3} = \frac{d\sigma_{||}}{d\sigma_{3}} [\phi_{h} \operatorname{avg}] = \frac{F_{LS_{L}}}{F_{T} + \epsilon F_{L}}$$
$$A_{||,2} = \frac{d\sigma_{||}}{d\sigma_{2}} [\phi_{h} \operatorname{avg}] = \frac{F_{LS_{L}}}{F_{T} + \epsilon F_{L} + \frac{1}{\sqrt{6}} (F_{T_{LL}T} + \epsilon F_{T_{LL}L})}$$

Impulse approximation yields in the Bjorken limit $\left[\alpha_p = \frac{2p_p^+}{p_D^+}\right]$

$$A_{\parallel,i} \approx \mathcal{D}_i(\alpha_p, |\boldsymbol{p}_{pT}|) A_{\parallel n} = \mathcal{D}_i(\alpha_p, |\boldsymbol{p}_{pT}|) \frac{D_{\parallel} g_{1n}(\tilde{x}, Q^2)}{2(1 + \epsilon R_n) F_{1n}(\tilde{x}, Q^2)}$$

Nuclear structure factors \mathcal{D}_2 , \mathcal{D}_3

\$\mathcal{D}_2\$ has physical interpretation as ratio of nucleon helicity density to unpolarized density in a deuteron with polarization +1.
 \$\mathcal{D}_3\$ has no such interpretation.



WC, C. Weiss, PLB ('19); in preparation

- Bounds: $-1 \leq \mathcal{D}_2 \leq 1$
- Due to lack of OAM $\mathcal{D}_2\equiv 1$ for $p_{\mathcal{T}}=0$
- Clear contribution from D-wave at finite recoil momenta
- D₃ violates bounds due to lack of tensor pol. contribution
- $\mathcal{D}_3 \neq 0$ for $p_T = 0$
- D₂ closer to unity at small recoil momenta
- 2-state asymmetry is also easier experimentally!!

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Tagging: simulations of A_{\parallel}



JLab LDRD arXiv:1407.3236, arXiv:1409.5768 https://www.jlab.org/theory/tag/

D-wave suppr. at on-shell point
 → neutron ~ 100% polarized

 Systematic uncertainties cancel in ratio (momentum smearing, resolution effects)

Statistics requirements

- Physical asymmetries ~ 0.05 0.1
- Effective polarization $P_e P_D \sim 0.5$
- Luminosity required $\sim 10^{34}$ cm $^{-2}$ s $^{-1}$

Tagging: simulations of A_{\parallel}



Precise measurement of neutron spin structure

- separate leading- /higher-twist
- non-singlet/singlet QCD evolution
- ▶ pdf flavor separation Δu , Δd . ΔG through singlet evolution
- non-singlet $g_{1p} g_{1n}$ and Bjorken sum rule

Final-state interactions in tagging







- Issue in tagging: DIS products can interact with spectator → rescattering, absorption
- Dominant contribution at intermediate x ~ 0.1 - 0.5 from "slow" hadrons that hadronize inside nucleus
- Measure fracture functions with EIC
- Features of the FSI of slow hadrons with spectator nucleon are similar to what is seen in quasi-elastic deuteron breakup.
- FSI vanish at the pole → pole extrapolation **still feasible**

How do nucleon interactions emerge from QCD?

Short-range structure of nuclei, NN force at very short distances

- Quasi-elastic d breakup
- ► Short-range correlation studies: (multi-)nucleon knockout w high (>k_F) initial momenta, 3N correlations?
- ► Gluonic content $\gamma + D \rightarrow J/\psi + p + n$ (at high p_T) Miller, Sievert, Venugopalan, PRC93 ('16) (in) coherent J/ψ production Mäntysaari, Schenke, 1910.03297

 Medium modification of nucleon properties embedded in nucleus: EMC effect, other quantities

Non-nucleonic dof in nuclei: Δ tagging in deuteron

JLab12 will measure some of these processes, but open questions will remain that can be addressed at EIC

Tagging: EMC effect



- Medium modification of nucleon structure embedded in nucleus (EMC effect)
 - dynamical origin?
 - caused by which momenta/distances in nuclear WF
 - spin-isospin dependence?

tagged EMC effect

- recoil momentum as extra handle on medium modification (off-shellness, size of nuclear configuration) away from the on-shell pole
- EIC: Q² evolution, gluons, spin dependence!
- Interplay with final-state interactions!
 - use $\tilde{x} = 0.2$ to constrain FSI
 - constrain medium modification at higher \tilde{x}

Nuclear interactions: Coherence



 x, Q^2

 interaction of high-energy probe with coherent quark-gluon fields



Shadowing is manifestation of coherence

- **Diffractive** DIS at $x \ll 0.1$: 10–15% of events at HERA
- ► Interference between diffractive amplitudes → reduction of cross section, leading twist
- Extensively studied in heavy nuclei
- ▶ Is especially clean in the **deuteron**, effects can be calculated
- Dynamics of shadowing can be explored in tagging: single and double
- ▶ Tagging also results in **FSI** between the slow *n* and *p*

[Guzey,Strikman,Weiss; in preparation]

Nuclear imaging

Images of nuclei in terms of quark and gluon dof

- Deeply virtual Compton scattering, meson production → GPDs
 - coherent: transverse imaging of nuclei
 - incoherent: medium modification of transverse nucleon densities
- Tagged DVCS/DVMP provides additional control over initial configuration
- Transverse **gluon** structure with exclusive coherent J/ψ production
- Polarization: ²D [spin 1], ³He [1/2], ⁴He [0]
- Clustering & spin-orbit phenomena in nuclear structure of light nuclei
- Other resolved final states: SIDIS etc.





⁹Be Clustering

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Nuclear imaging: deuteron tensor polarization

- Tensor polarization in D probes nuclear effects
- Little explored in high-energy scattering
- Inclusive b₁ result from HERMES: no conventional nuclear calculation reproduces data
- Unique features: eg access **gluon transversity** \rightarrow talk Shanahan
- Tagged cross section yields 23 additional structure functions with specific azimuthal dependences [Cosyn, Sargsian, Weiss, in prep.]
- *T*-odd SF [DSA] are zero in impulse approximation \rightarrow sensitive to FSI

EIC: forward detection system



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[not to scale]
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Large acceptance forward detector [concept: P. Nadel-Turonski, Ch. Hyde et al.]

- beams collide at small crossing angle 25–50 mrad
- forward p/n/ions travel through ion beam quadrupole magnets
- dispersion generated by dipole magnets
- detector systems:
 - tracking in dipole magnets
 - Roman pots for charged (*p*,ions) forward particles
 - zero-degree calorimeters (ZDCs) for neutrals (neutron, photon)
- Major optimization and integration challenge
 - Forward particles with range of rigidities (momentum/charge), different from beam
 - Range in ion beam energy
 - Geometry of magnets and infrastructure
 - More complex than forward detectors at HE colliders [HERA, RHIC, LHC]

EIC: forward detection system



JLEIC IR design: V. Morozov et al 2019, eRHIC IR design, Ch. Montag et al 2019

IR designs

- JLEIC and eRHIC design similar
- Differences: crossing angle 50 [JLEIC] - 25 mrad [eRHIC]; JLEIC secondary focus at RP location
- Forward acceptance and resulotion
 - software framework developed
 - simulations on-going
- Momentum spread in ion beam
 - transverse momentum spread
 ~ few 10 MeV
 - Smearing effect: p_T[vertex] ≠ p_T[measured], systematic uncertainty

- Light ions address important parts of the EIC physics program
- Tagging and nuclear breakup measurements overcome limitations due to nuclear uncertainties in inclusive DIS → precision machine
- Unique observables with **polarized deuteron**: free neutron spin structure, tensor polarization
- Extraction of nucleon spin structure in a wide kinematic range
- Lots of extensions to be explored!
- EIC forward detection design ongoing

 Exploring QCD with light nuclei at EIC Jan 22–24, CFNS Stony Brook

https://indico.bnl.gov/event/6799/

- Tomography of light nuclei at an EIC June 15-19, ECT* [Trento]
- Exploring QCD with Tagged Processes
 Sep 14 Oct 23 [6 week program], Institut Pascal [Saclay, Paris]

https://www.universite-paris-saclay.fr/fr/exploring-qcd-with-tagged-processes